

# IN2DREAMS

Welcome by the Project Coordinator  
**STEFANOS GOGOS (UNIFE)**

IN2DREAMS Final Conference, Milan, 02.10.2019



# IN2DREAMS Final Conference Agenda

Time	Topic	Speaker
09:00 – 10:00	Registration and welcome coffee	
10:00 – 10:05	Welcome address	Stefanos Gogos (UNIFE)
10:05 – 10:15	Introduction by Shift2Rail	Sébastien Denis (Shift2Rail JU)
10:15 – 10:30	IN2DREAMS introduction	Stefanos Gogos (UNIFE)
10:30 – 11:00	Integrated Communication Platform	Markos Anastasopoulos (University of Bristol)
11:00 – 11:30	Sensing/Monitoring Devices and Data Management Platform	Guillaume Pelletier (Dotvision)
11:30 – 12:00	User Applications	Simona Soldi (Evolution Energie)
12:00 – 12:30	Smart metering demo	Markos Anastasopoulos (University of Bristol) Guillaume Pelletier (Dotvision)
12:30 – 13:30	Networking Lunch	
13:30 – 14:00	Smart contracts for Railway Data Transactions	Nadia Fabrizio (CEFRIEL)
14:00 – 14:30	Knowledge extraction from Railway Asset Data	Davide Anguita (Uni. of Genoa)
14:30 – 14:55	Proof of Concept	Nadia Fabrizio (CEFRIEL) Davide Anguita (Uni. of Genoa)
14:55 – 15:00	Closing remarks	Stefanos Gogos (UNIFE)
15:00	Networking Coffee	



Introduction by Shift2Rail

SÉBASTIEN DENIS (SHIFT2RAIL JU)

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IN2DREAMS Introduction

STEFANOS GOGOS (UNIFE)

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# IN2DREAMS Facts & Figures



## PROJECT COORDINATOR



## TECHNICAL LEADERS



## BENEFICIARIES



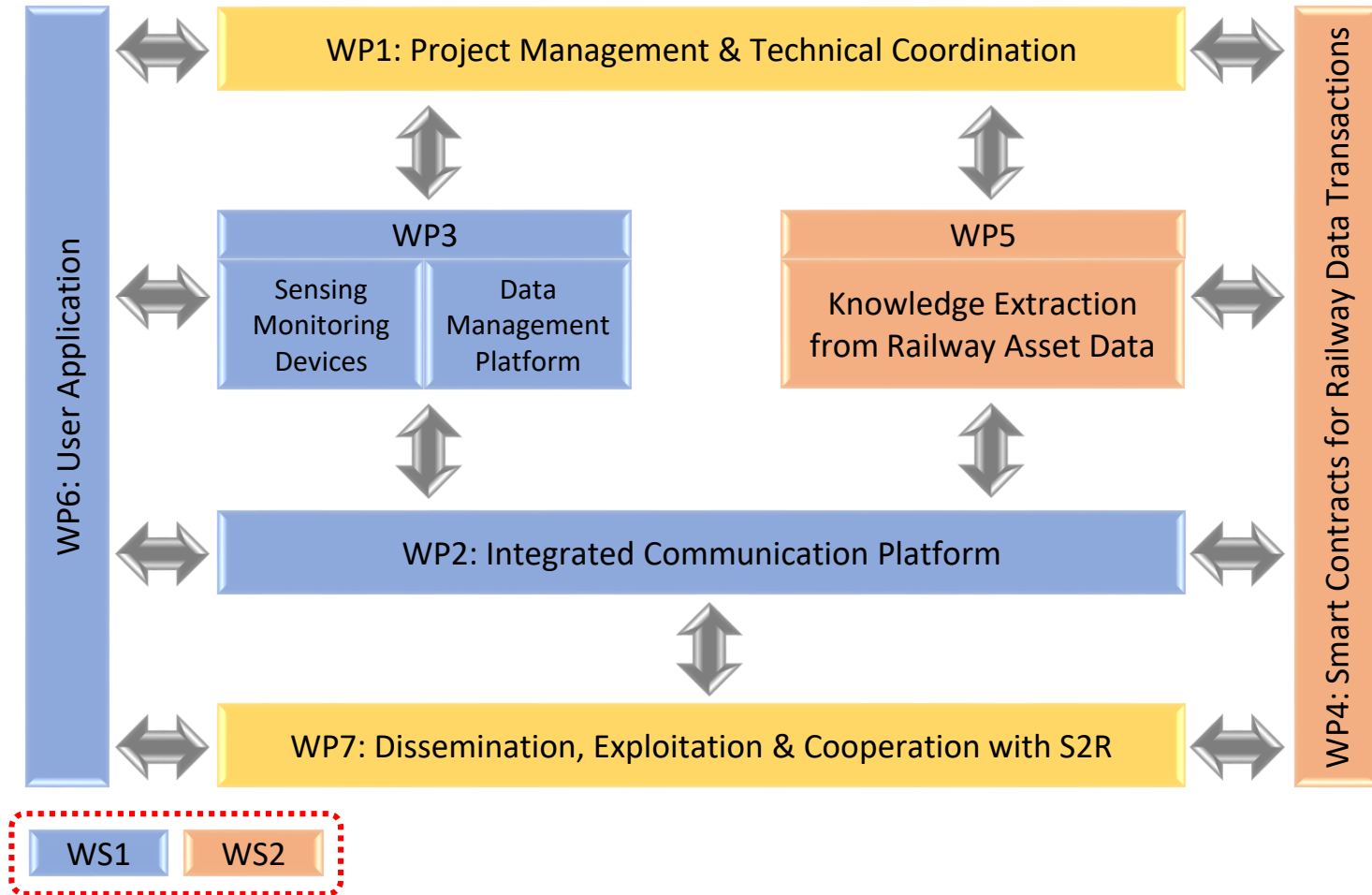
- Predicted growth of transport, especially in European railway infrastructures, is expected to introduce a dramatic increase in freight and passenger services by the end of 2050.
- To support sustainable development of these infrastructures, novel data-driven ICT solutions are required.
- These will enable monitoring, analysis and exploitation of energy and asset information for the entire railway system including power grid, stations, rolling stock and infrastructure.
- IN2DREAMS addressed these challenges through two distinct work streams: Work Stream 1 (WS1), focusing on the management of energy-related data and Work Stream 2 (WS2), focusing on the management of asset-related data

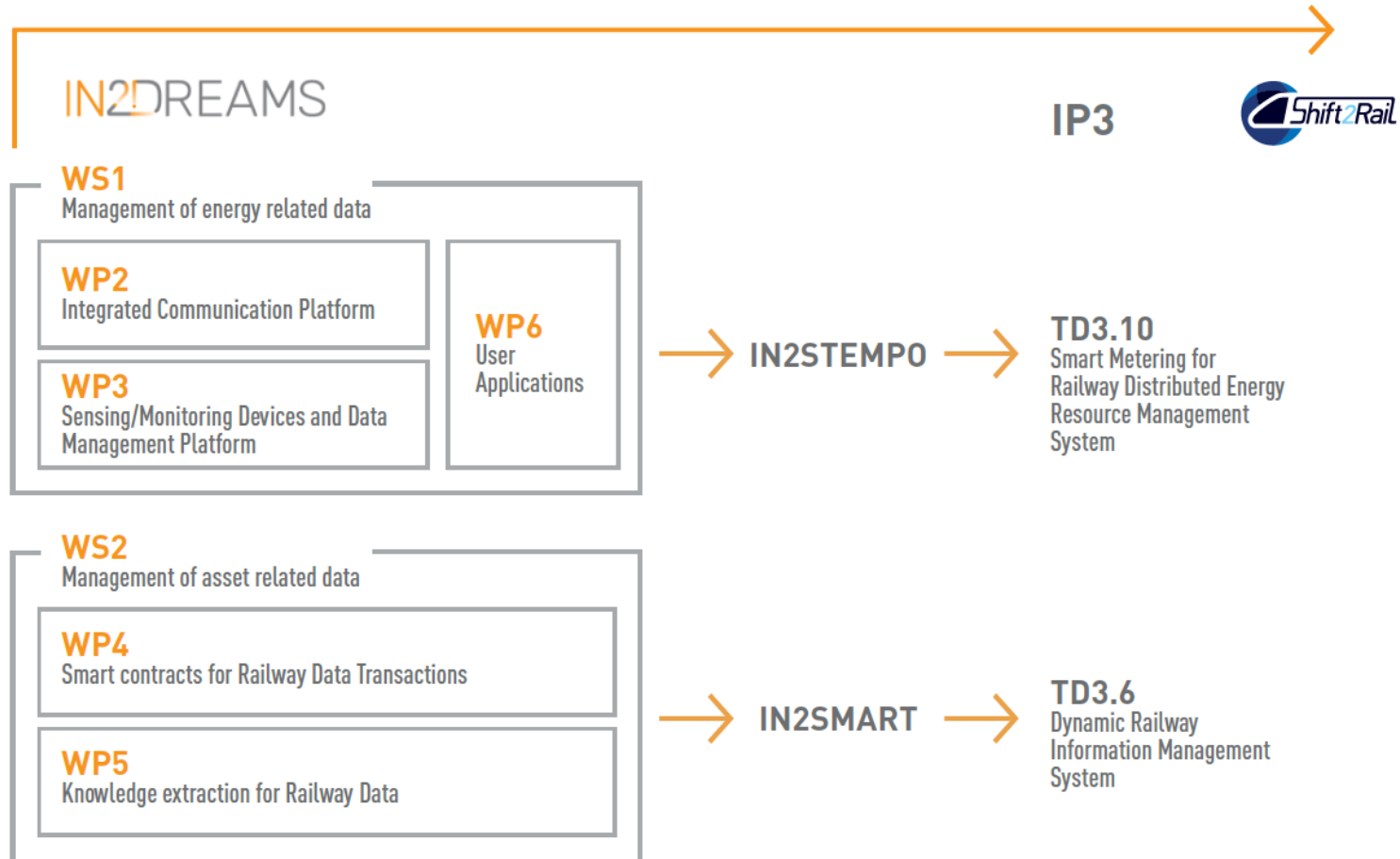
## Work Stream 1 – Management of Energy-related Data

WS1 aimed at removing the current and anticipated limitations of REMS, by making these capable of supporting a much wider array of requirements than it is currently the case.

## Work Stream 2 – Management of Asset-related Data

WS2 aimed at improving efficiency and sustainability of the railway asset data management, by applying research advances in machine learning, data visualization and decentralized architecture with smart contracts.





# IN2DREAMS

## Integrated Communication Platform

MARKOS ANASTASOPOULOS (UNIVERSITY OF BRISTOL)

IN2DREAMS Final Conference, Milan, 02.10.2019



## **5G systems**

- *Motivation: 5G Vision*
- *Overview of 5G architectures: The IN2DREAMS solution*
- *IN2DREAMS Building blocks (Multi-technology access, Heterogeneous Transport Network Interconnecting Compute Resources with Remote Radio Units)*

## **On – Board Solution**

- *WiFi/LiFi/LTE*
- *Dimensioning*

## **Trackside Solution**

- *FSO/Optical/*
- *Control Plane*

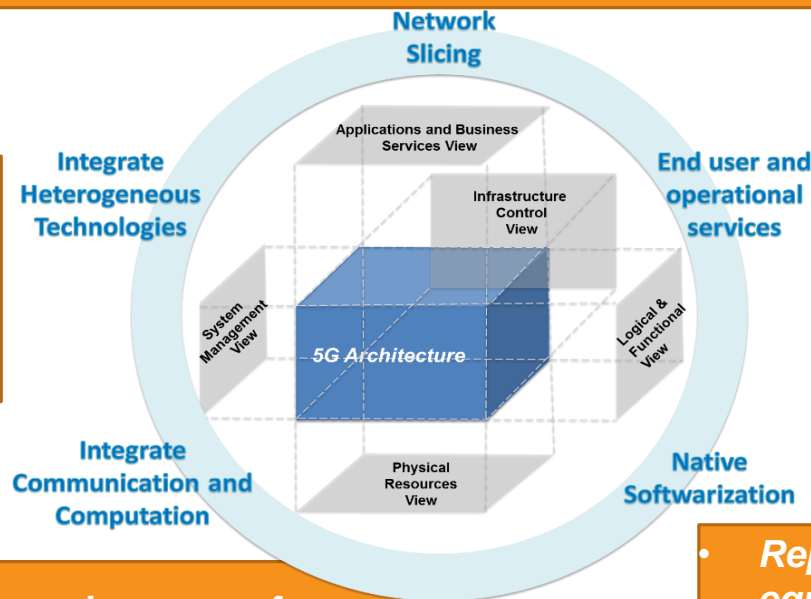
## **Conclusions**



Deploy a communication infrastructure able to support a large variety of services and applications for the railway industry. Specific use case: Smart Energy metering.

- *Common Platform for ALL services*
- *Efficient resource sharing and multi-tenancy*

- *Multi-technology networks for access and transport*
- *Improved efficiency, capacity etc*



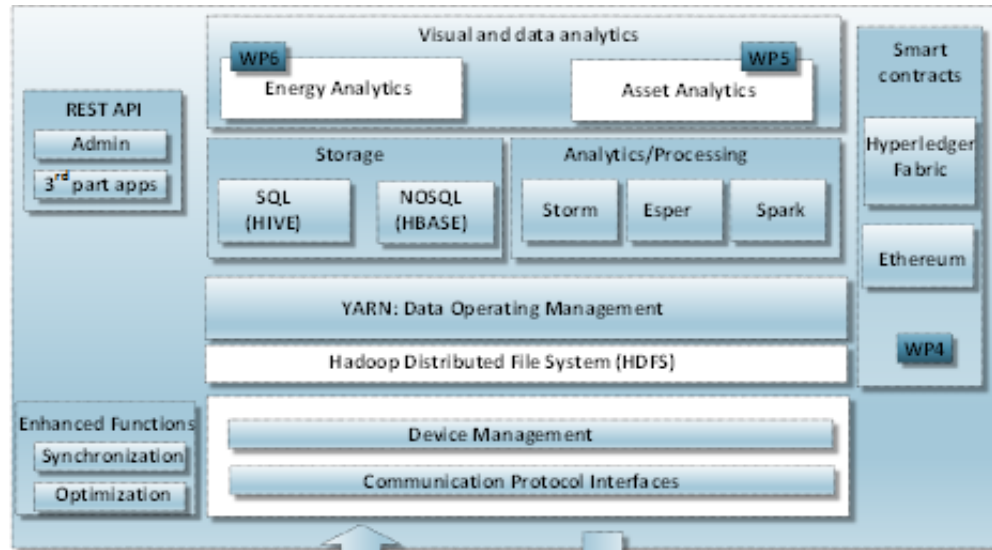
- *Multiplexing of Mission Critical Services with Passenger services*
- *Multiplexing of Telecom with end user services*

- *Provides the necessary processing power for computational intensive tasks AI, ML, NN etc*
- *Supports delay sensitive services (i.e. using MEC)*

- *Replacement of costly equipment with general purpose devices*
- *Paradigm shift from Network Entities to Network Functions*

Goal: Define the Architecture of the In2Dreams Solution

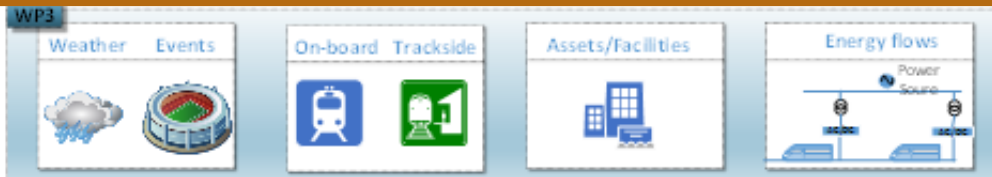
Partners: RINA-C, UNIVBRIS, IASA, DOTVISION, PURELIFI, ISKRATEL



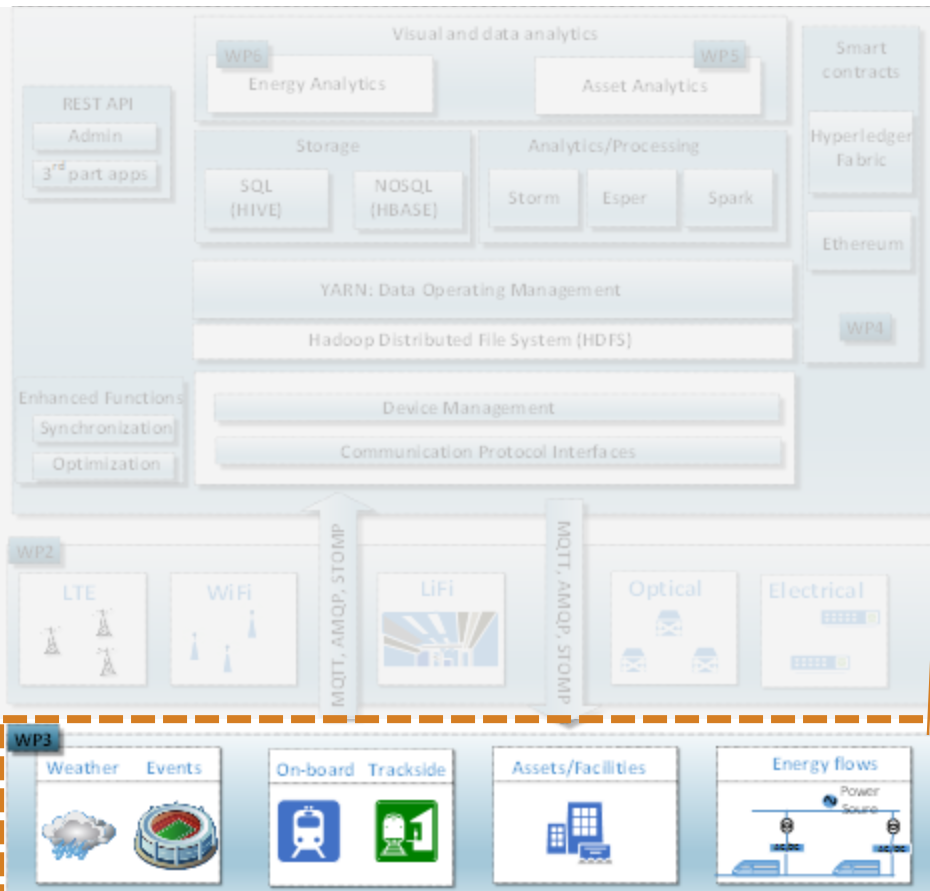
**Operational Data Management Platform**



**Integrated Communication Platform**



**Sensing/Monitoring Devices**



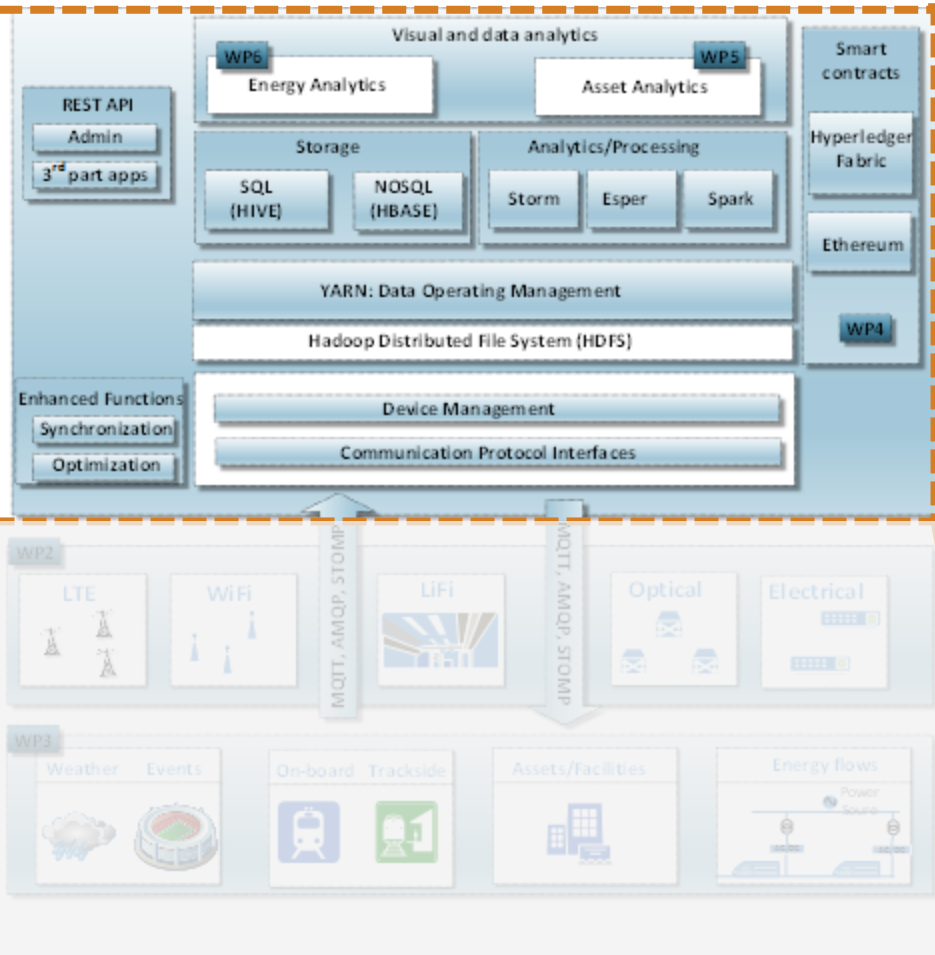
## Sensing/Monitoring Devices and Data Management Platform

- Interconnecting a variety of sensing and monitoring devices providing on-board and track side energy measurements.
- Supporting applications related to surveillance, observation, monitoring of environmental parameters (temperature, CO2, humidity, noise), energy monitoring (voltage, current), localization and environmental parameters.



## Integrated Communication Platform

- Based on a heterogeneous secure and resilient telecommunication platform, consisting of both wireless (e.g. Long Term Evolution – LTE, WiFi, LiFi) and wireline (e.g. optical) systems converging energy and telecom services.
- This infrastructure interconnects a plethora of monitoring devices and end-users to the OSS.
- Control and management of the integrated network infrastructure using an open SDN-based network management framework

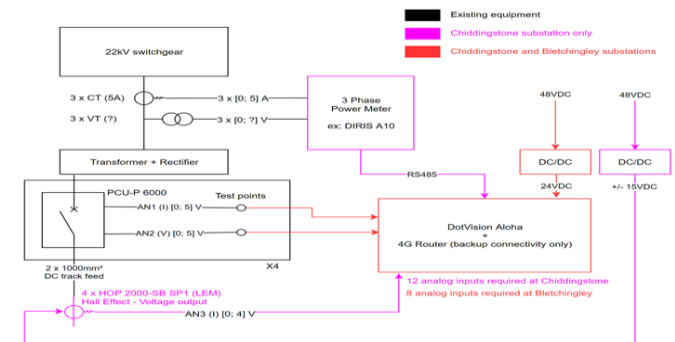
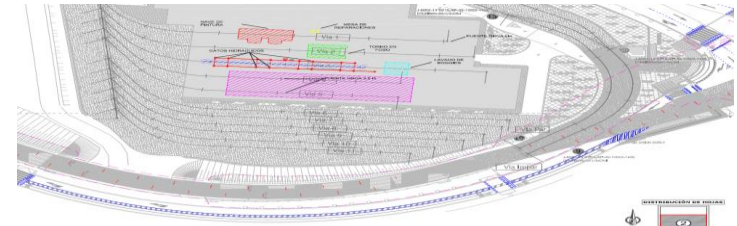
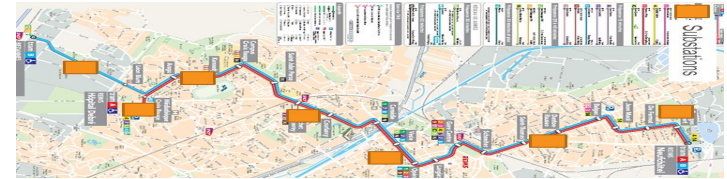
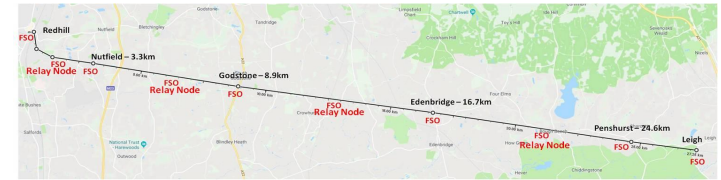


## ODM Platform

ODM platform offering Scalable Data Collection, Aggregation, Processing and Interfaces offering the following features:

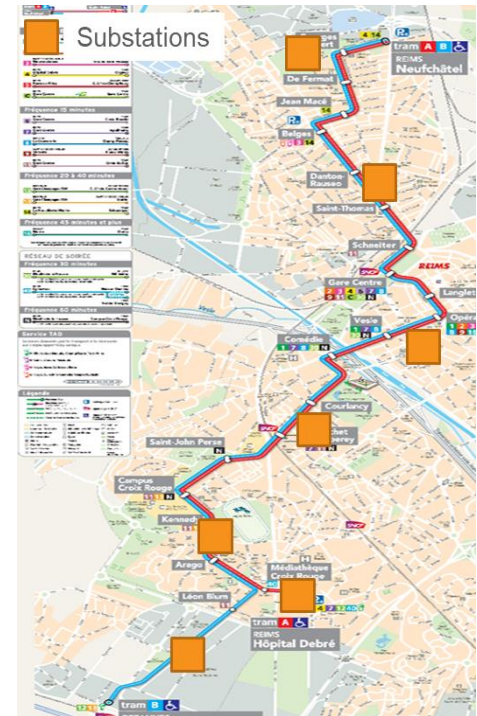
- Operation in accordance to the cloud computing paradigm
- Secure access to data based on a proven user management system
- Hybrid scalable data storage mechanisms based on open source SQL/Non-SQL DBs
- Large-scale data processing engine to execute machine learning, (de) compression algorithms or extracting analytics data.
- Multi-protocol support: MQTT, AMQP, Sockets, etc).
- Synchronization

- **Use case 1: Commercial Line Operation**
  - Baseline: Smart energy metering for the REIMs tramway
  - Extension: Metering use case for a commercially operated railway line under normal traffic conditions at Network Rail facilities.
- **Use Case 2 – Stationing and maintenance**
  - Monitoring: monitoring of current/voltage/environmental conditions
- **Use case 3 - Electrical Infrastructure Monitoring**
  - Detection of electrical anomalies (IN-OP) on London North Western (LNW), a line between London Euston station and Scotland

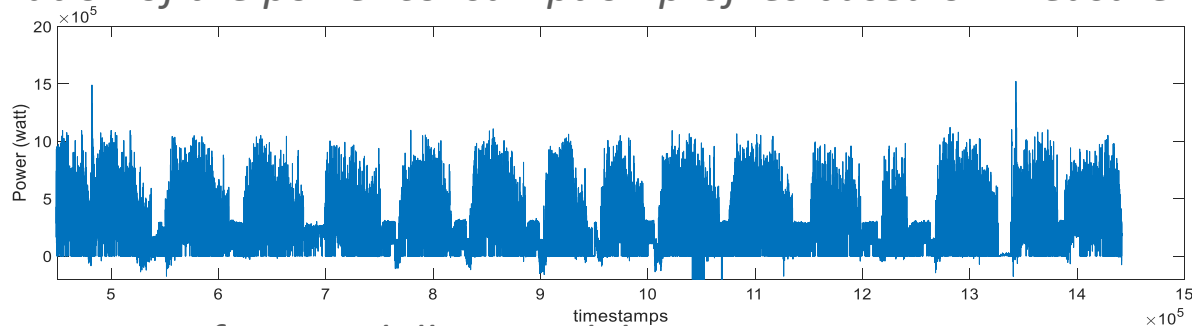




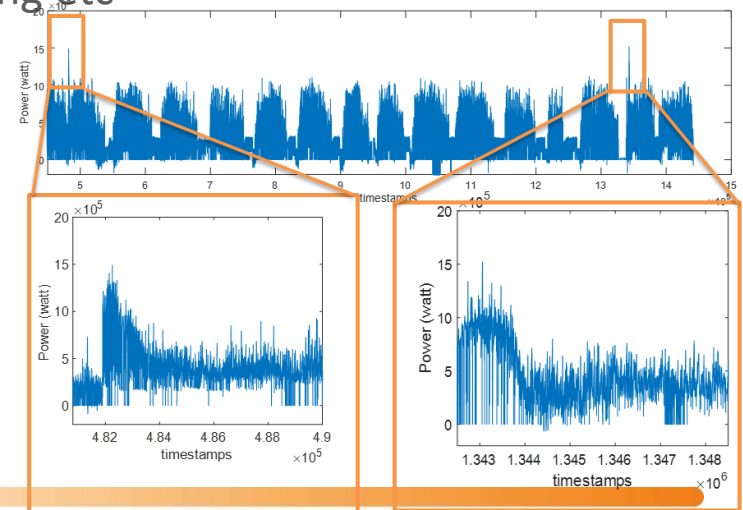
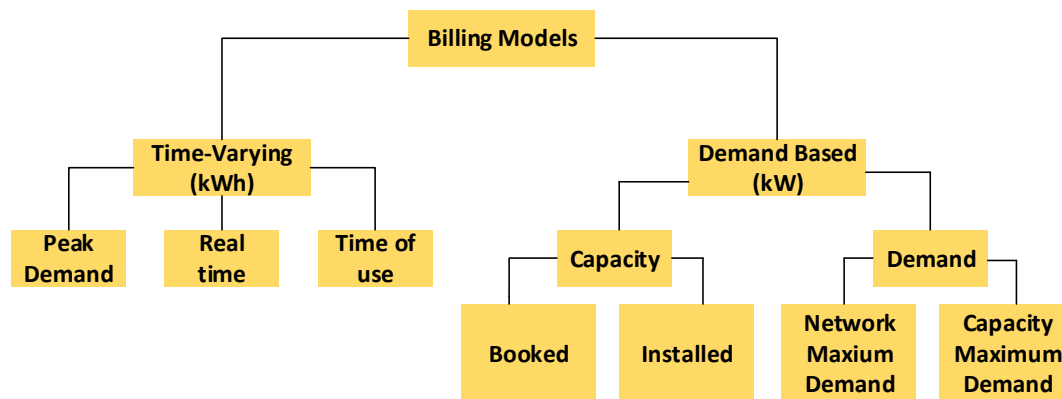
- Techno-economic analysis following the techno economic assessment (TEA) methodology considering both **capital** and **operational** expenditures
- Identification of deployment and operational costs based on the REIMS tramway experience covering
  - Monitoring equipment: Sensors
  - Network devices: LTE, LiFi, WiFi, Gateways etc
  - Processing resources: CPU, RAM
- Benefits for the operators related to
  - Energy billing
  - System level Optimization
  - Preventive maintenance



- *Step 1: Identification of the costs for the Monitoring, Telecom and Processing Equipment*
- *Step 2: Estimation of the power consumption profiles based on Measurements*

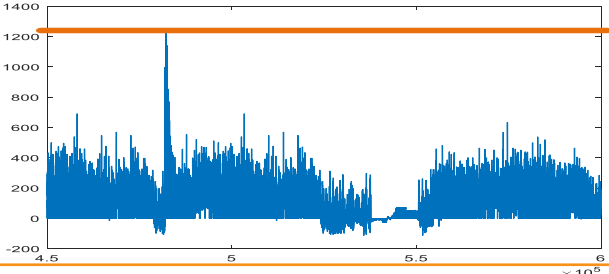
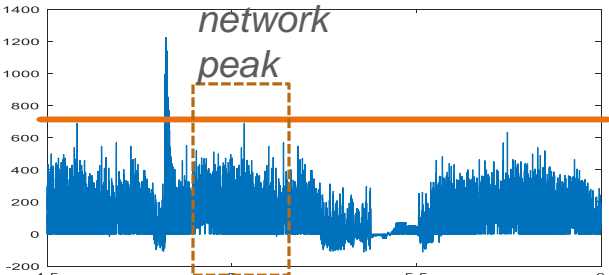
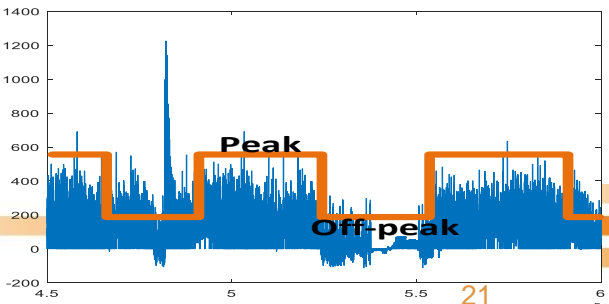


- *Step 3: Investigation of energy billing models*
  - Models considering peak events, half-hour billing etc

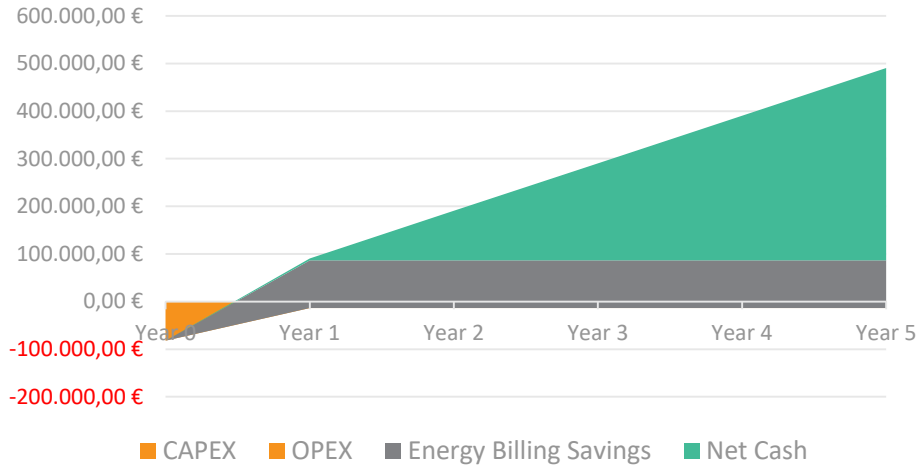




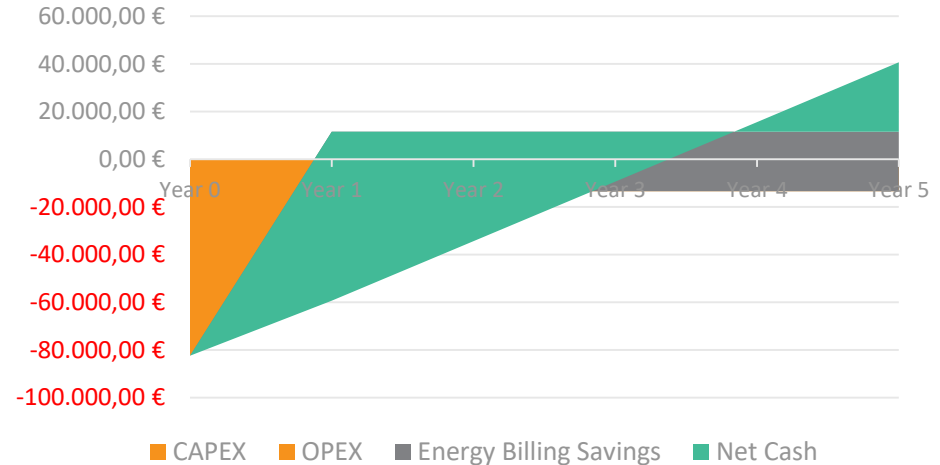
- Step 4: Quantifying energy savings under various power supply models

Type of energy billing	Load Profile at REIMS (blue) and billing policy (orange)	Effects on load by the proposed scheme
Fixed (maximum demand based)		<ul style="list-style-type: none"> <li>Cost reduction as the maximum demands will be reduced.</li> <li>Energy cost reduction: 40%.</li> </ul>
Network Capacity (maximum demand based)		<ul style="list-style-type: none"> <li>Cost reduction as the maximum demand at network peak can be reduced.</li> <li>Energy cost reduction: 10%-20%.</li> </ul>
Time of Use		<ul style="list-style-type: none"> <li>Cost reduction due to load shifting.</li> <li>Energy Cost reduction: 10-20%</li> </ul>

## Maximum Demand Based Model

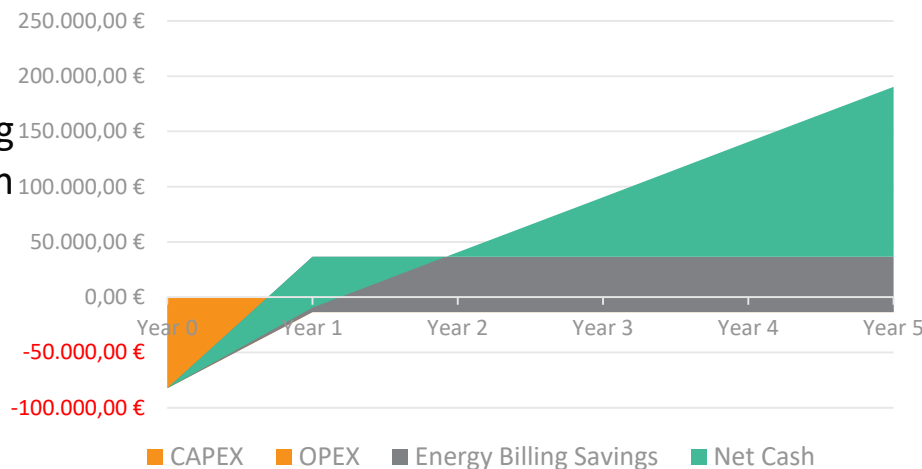


## Network Capacity Based Model



## Time of Use based Model

high €/KWh during peak hours, low €/KWh during off-peak

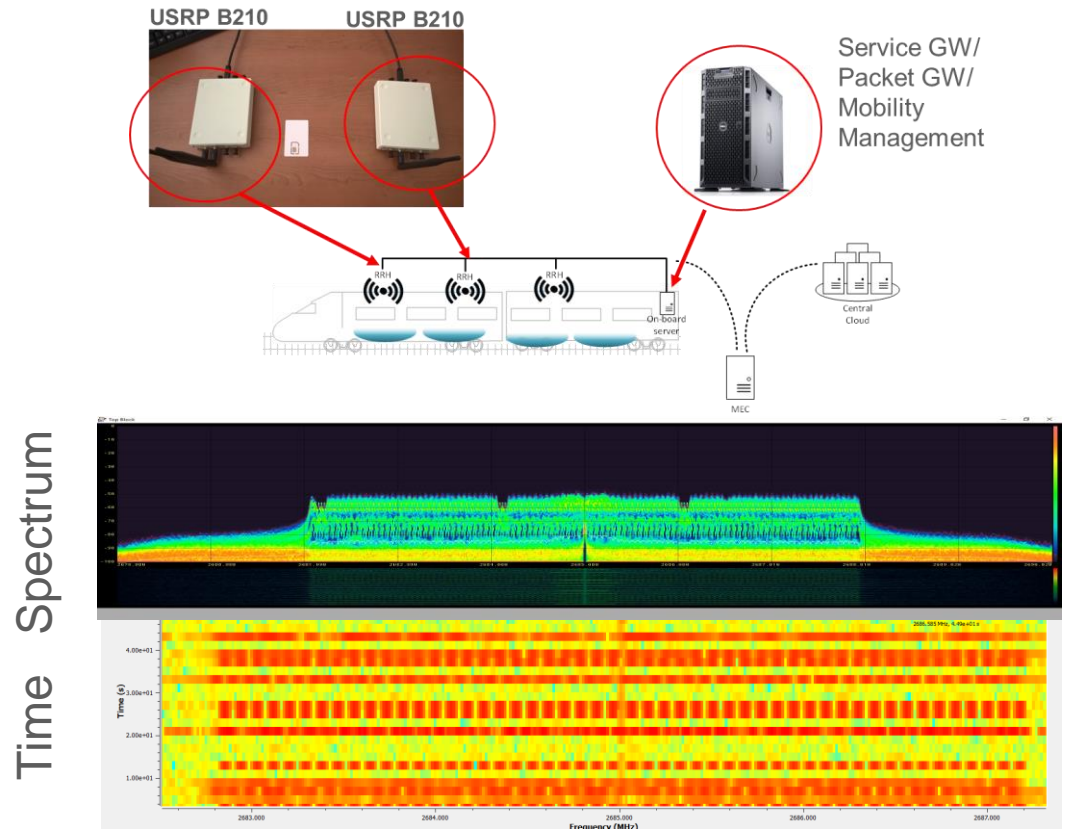


Goal: Deployment of a multi-technology on-board wireless access system

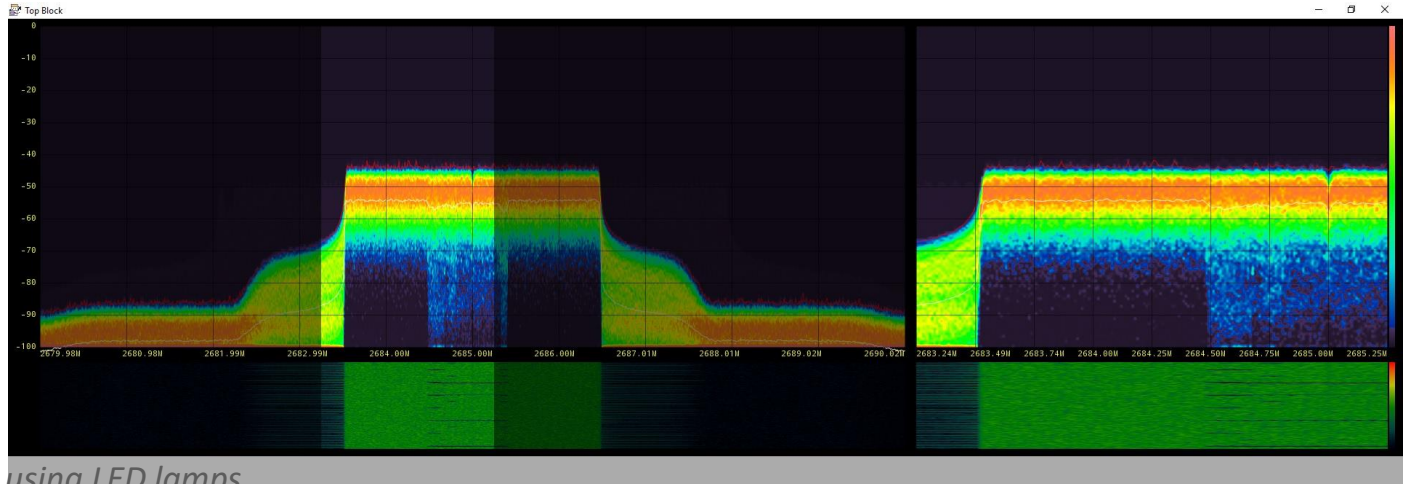
Partners: PURELIFI, UNIVBRIS, IASA, DOTVISION

- *Deployment of a multi-technology wireless access system based on the integration of heterogeneous WiFi/LiFi and LTE systems. On-board installation of*
  - commercially available devices Teltonica routers providing WiFi/LTE connectivity
  - Purposely developed Gateways by DotVision providing WiFi/LTE connectivity
  - Extensive experimentation with open source LTE platforms
  - Lab validation of LiFi technology
- *Planning and dimensioning studies to identify the optimal mix of WiFi/LiFi and LTE technologies*
  - Planning model based on Integer Linear Programming (ILP)
  - Sensitivity and reliability analysis.

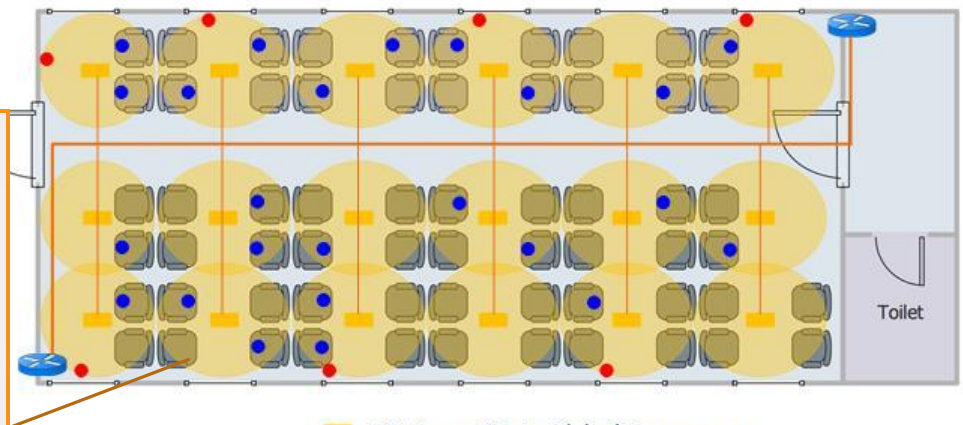
- *Open Source LTE Platform*
  - Based on OpenAirInterface
  - Remote Antennas based on Ettus USRPs
- *LTE Platform: Performance Evaluation*
  - Downlink up to 20Mbps for 5MHz bandwidth
  - Uplink 5Mbps
  - Resource allocation



- *Open source LTE: Speed tests on mobile devices*



- *LiFi: Communication using LED lamps*



LiFi connection  
to the train station

- LiFi Access Point with built-in memory
- LiFi enabled customer equipment (internet connection, multimedia, ...)
- LiFi enabled rolling stock sensors
- Network gateway

Goal: Optimal Mix of WiFi/LTE and LiFi technologies aiming at identifying a cost-effective implementation for the on-board communication platform

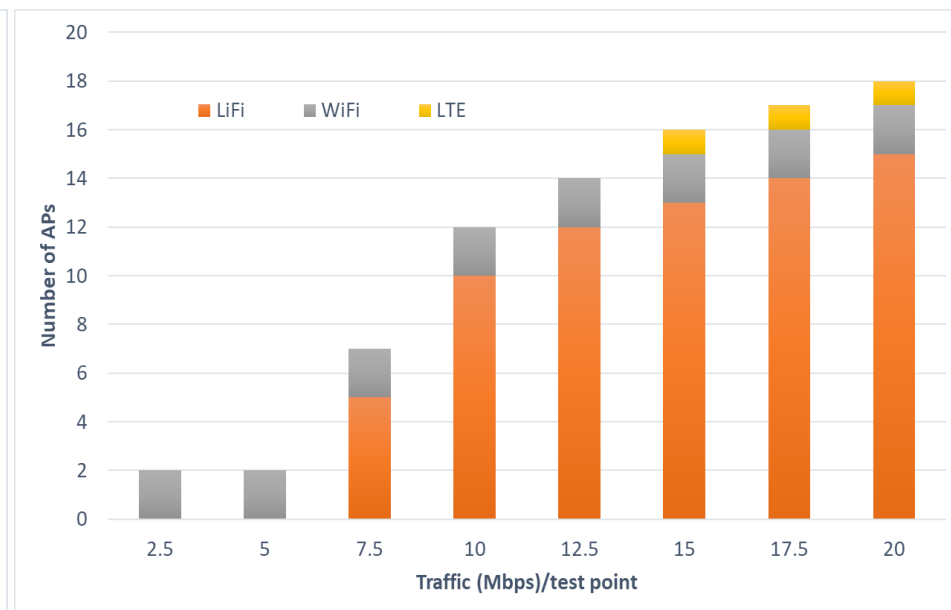
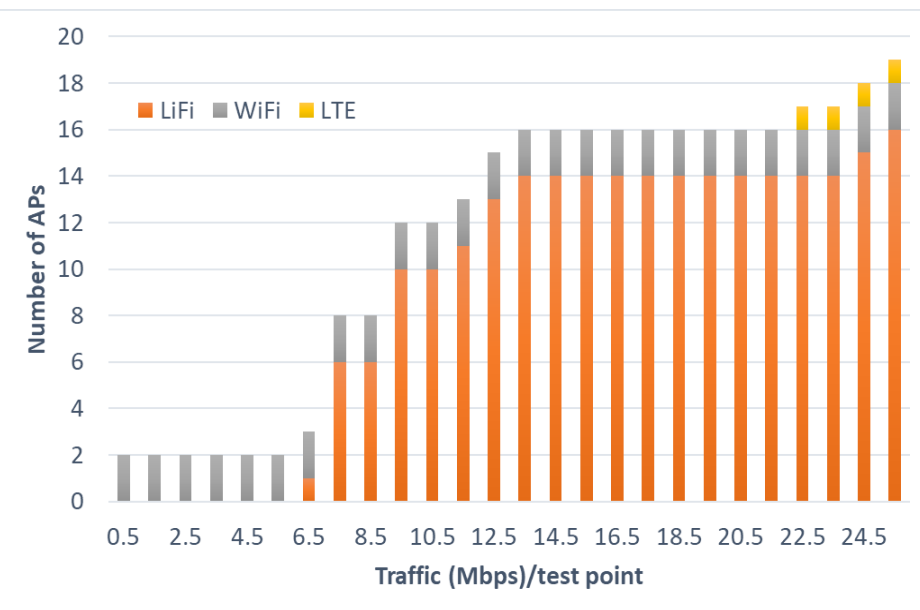
- Modeling framework based on Integer Linear Programming
- Identify the optimal placement of the various access points so that operational and capital expenditures are minimized subject to demand and capacity constraints



- Objective function: Minimize the overall operational plus capital expenditures of the combined solution

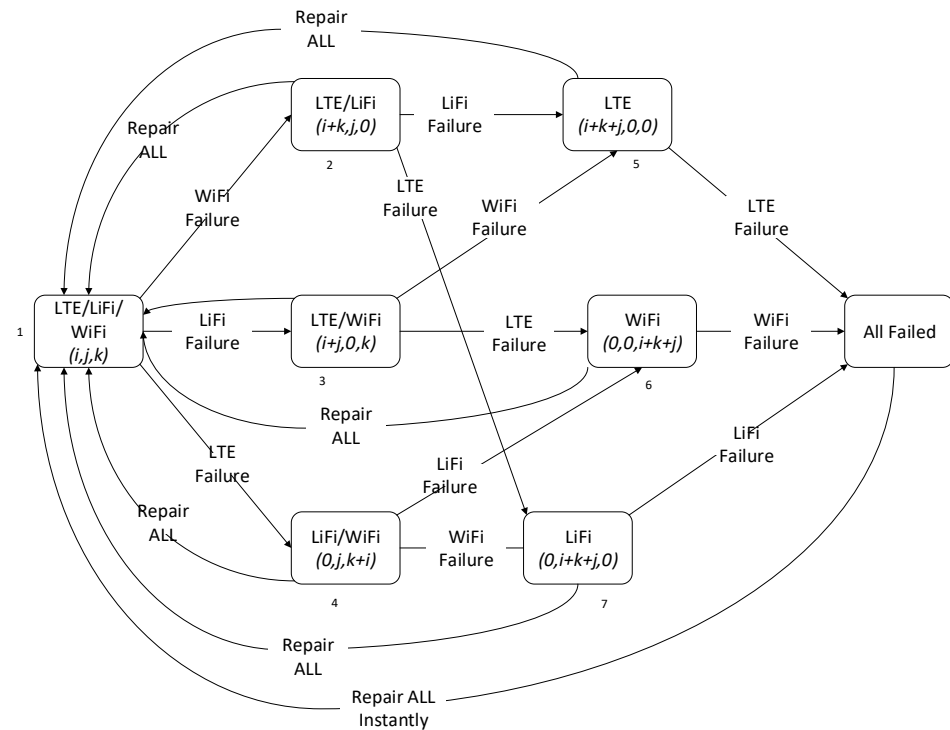
$$\min \left[ \sum_{j \in \mathcal{S}_{LTE}} c_j^{LTE} y_j^{LTE} + \sum_{j \in \mathcal{S}_{LF}} c_j^{LF} y_j^{LF} + \sum_{j \in \mathcal{S}_{WF}} c_j^{WF} y_j^{WF} \right] + \sum_{i \in \mathcal{P}} \mathcal{D}_i \left[ \sum_{j \in \mathcal{S}_{LTE}} \varepsilon_{ij}^{LTE} x_{ij}^{LTE} + \sum_{j \in \mathcal{S}_{LF}} \varepsilon_{ij}^{LF} x_{ij}^{LF} + \sum_{j \in \mathcal{S}_{WF}} \varepsilon_{ij}^{WF} x_{ij}^{WF} \right]$$

- Subject to access traffic and capacity constraints (Anastasopoulos et al., TRA 2020)

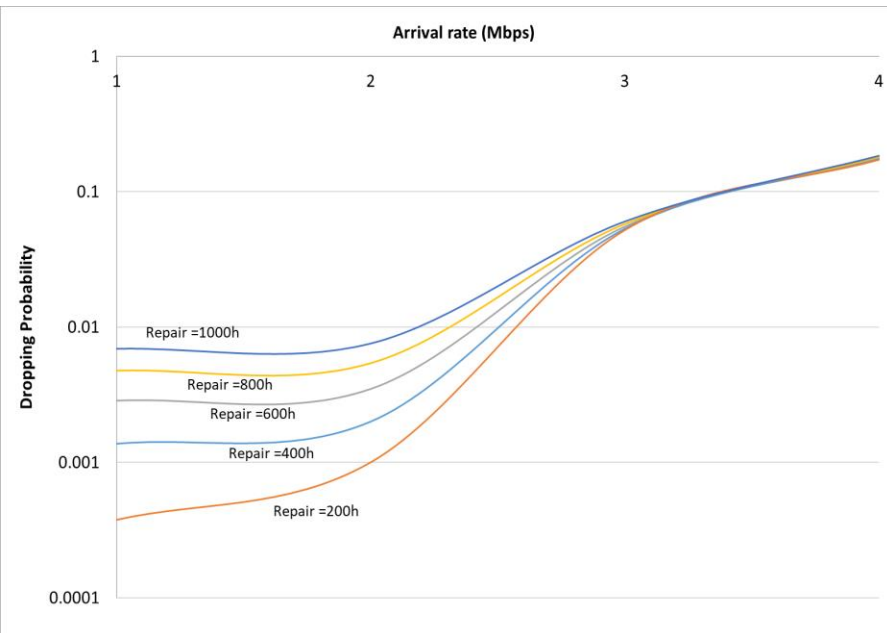


- *Benefits of the combined solution:*
  - Improved Capacity
  - Improved resilience
- *Theoretical evaluation based on Markov Chain Analysis*

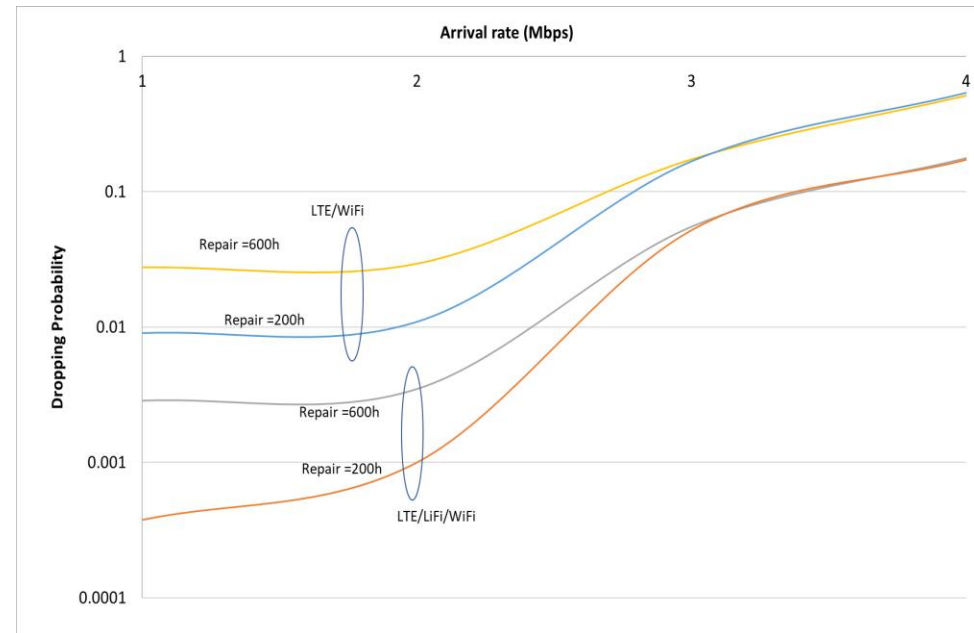
**Repair/failure transition states of the on-board multi-technology access network comprising LTE/LiFi/Wi-Fi**







*LTE/WiFi/LiFi*



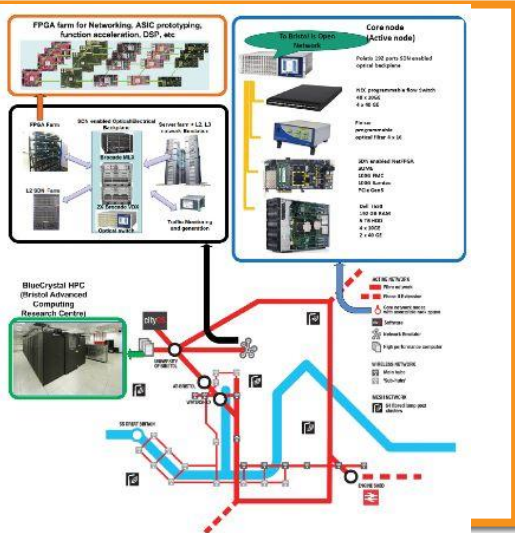
*LTE/WiFi/LiFi vs LTE/WiFi*

***Dropping probability as a function of the arrival rate per AP for various repair time intervals***

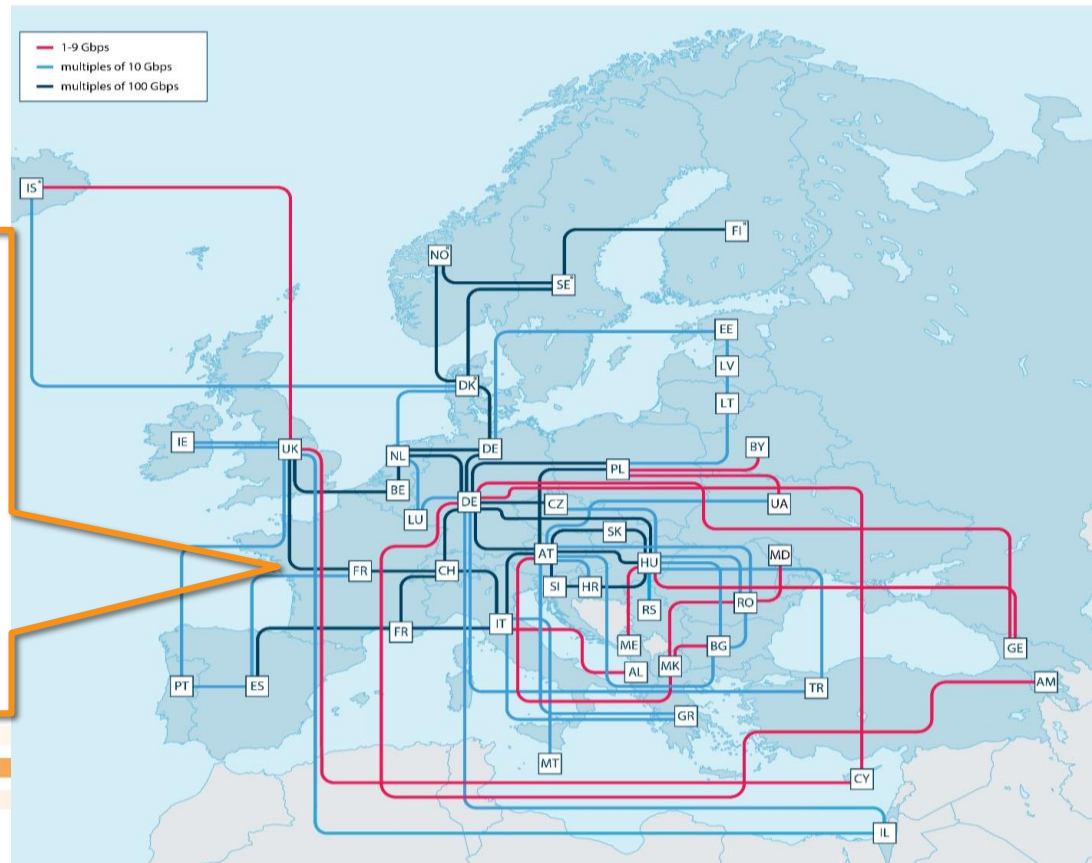
# IN2DREAMS T2.3 Trackside to Control Centre

Goal: Development of the ground to operations control Centre network infrastructure  
Partners: UNIVBRIS, IASA, DOTVISION

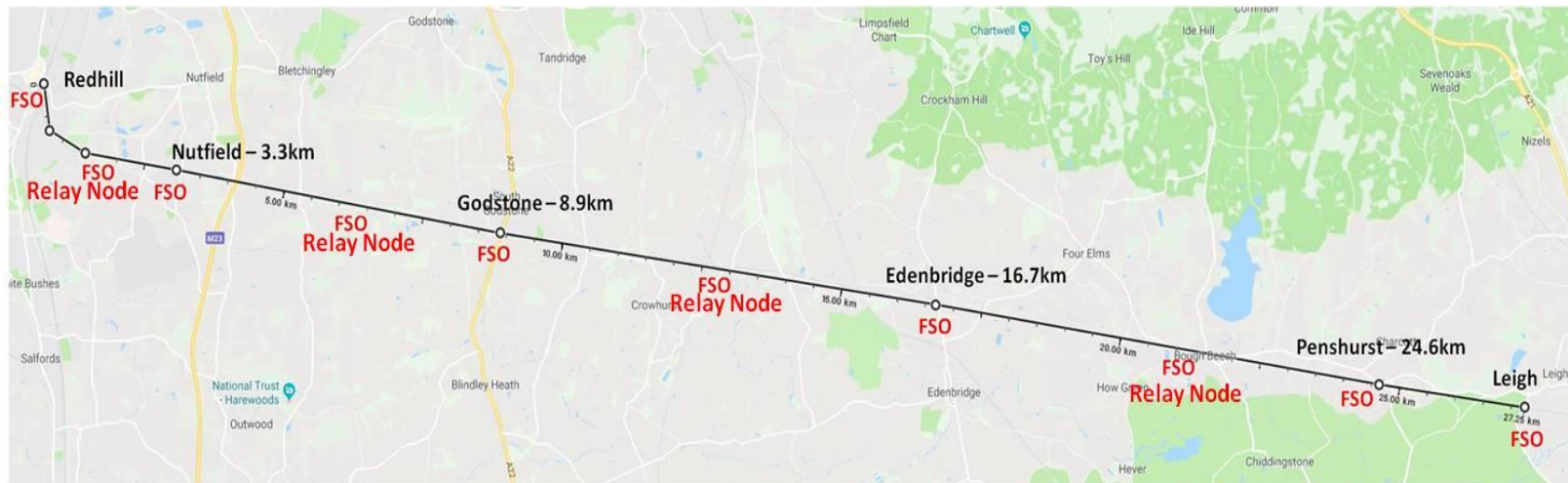
- ***Data Plane Technologies***
  - Wired Optical
  - Standard Electrical
- ***Alternative Communication Bearers:***
  - Free Space Optical (FSO) Communication providing trackside connectivity
- ***Control Plane***
  - *Adopting the Software Defined Networking paradigm*



- Access to GEANT through IASA & UNIBRIS
- Access to NDIFS through UNIBRIS



- *Use of FSO systems for Ground to Ground communications between train stations*



Communication Coverage of railway network between Redhill and Leigh using FSO systems

- *High Performance, reliability and availability (1.25Gbps up to 10Gbps – Availability up to five 9's)*
- *Low Cost*
- *High Security Level*
- *Low Power consumption*

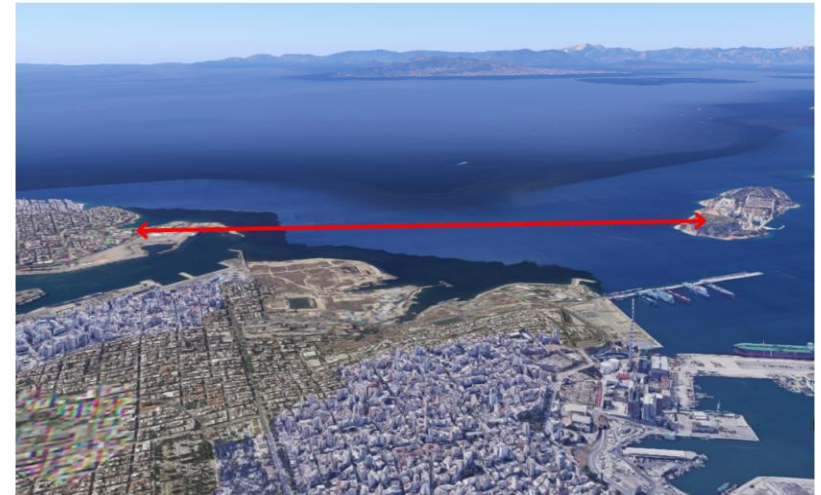
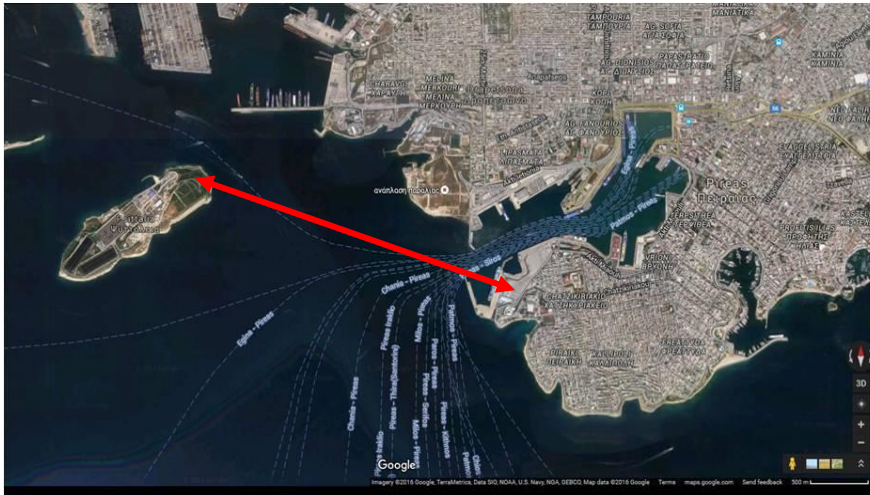


Flat area that is ideal for point to point FSO communication

**Limitation: FSO systems are affected by various atmospheric phenomena (e.g. turbulence) and weather conditions (mostly by fog)**



- *Deployment of an FSO system for research purposes in the city of Piraeus, Greece*



- Link Length: 3km
- Total Power Consumption: 22W
- Total output power (3 LASER beams): 100mW
- Wavelength: 830nm-860nm
- Data Protocol: Fast Ethernet, ATM, OC3, STM1, E3, T3, OC1/STM0
- Bit Rate: 100Mbps
- Bit Error Rate: Less than 10<sup>-12</sup> (unfaded)



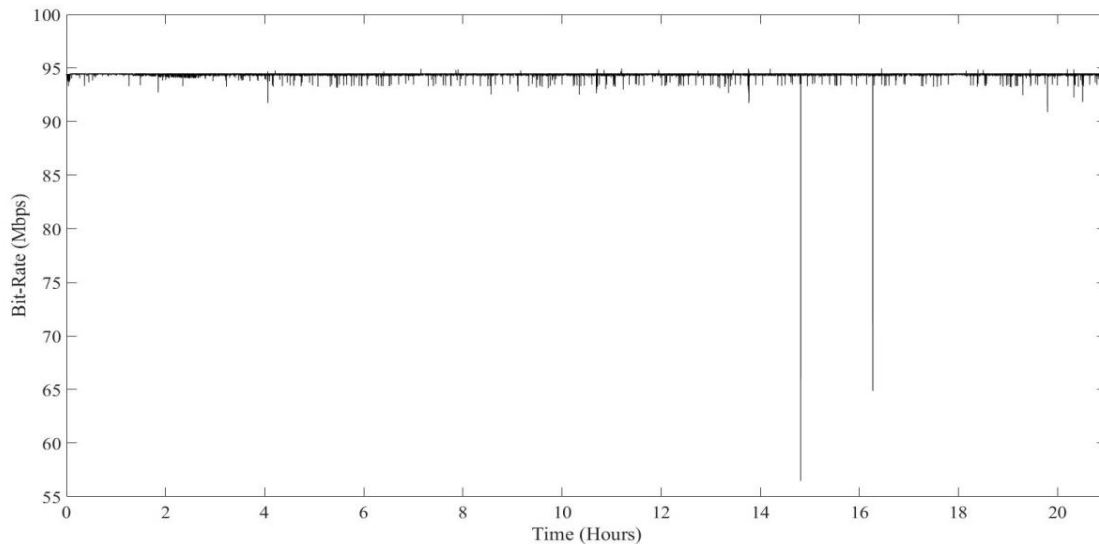
# FSO transceiver



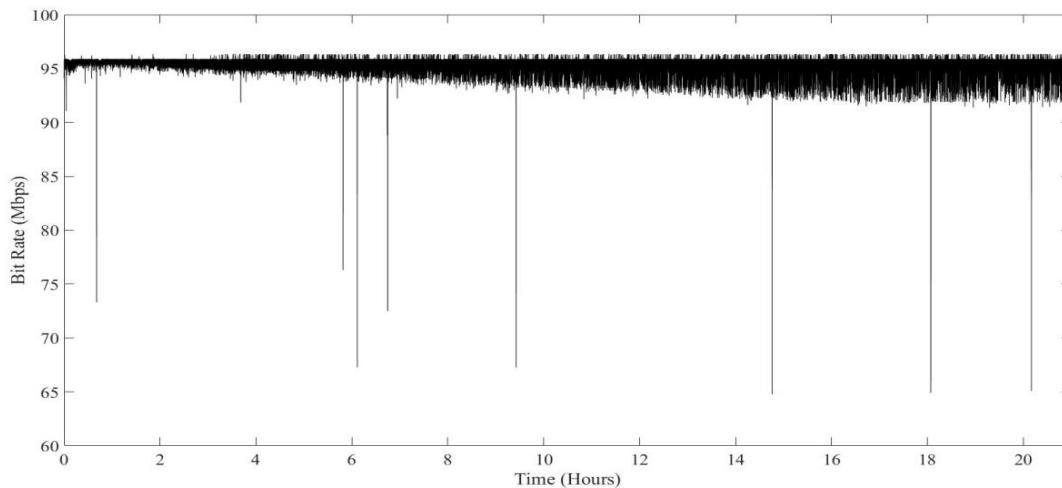
# FSO Panel



## Management Interface



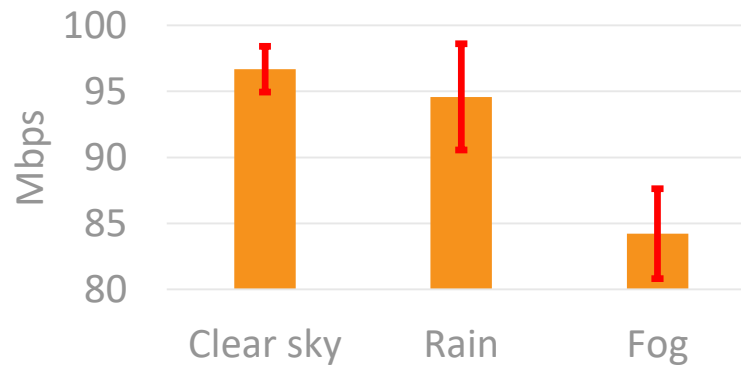
Bit-rate in a typical day of March



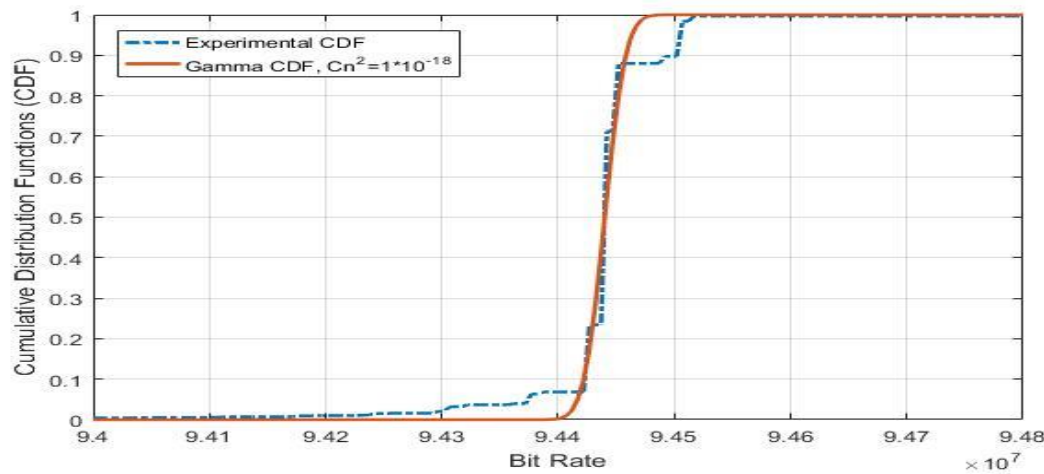
Bit-rate in a typical day of June  
Wind and low humidity causes bit rate fluctuations

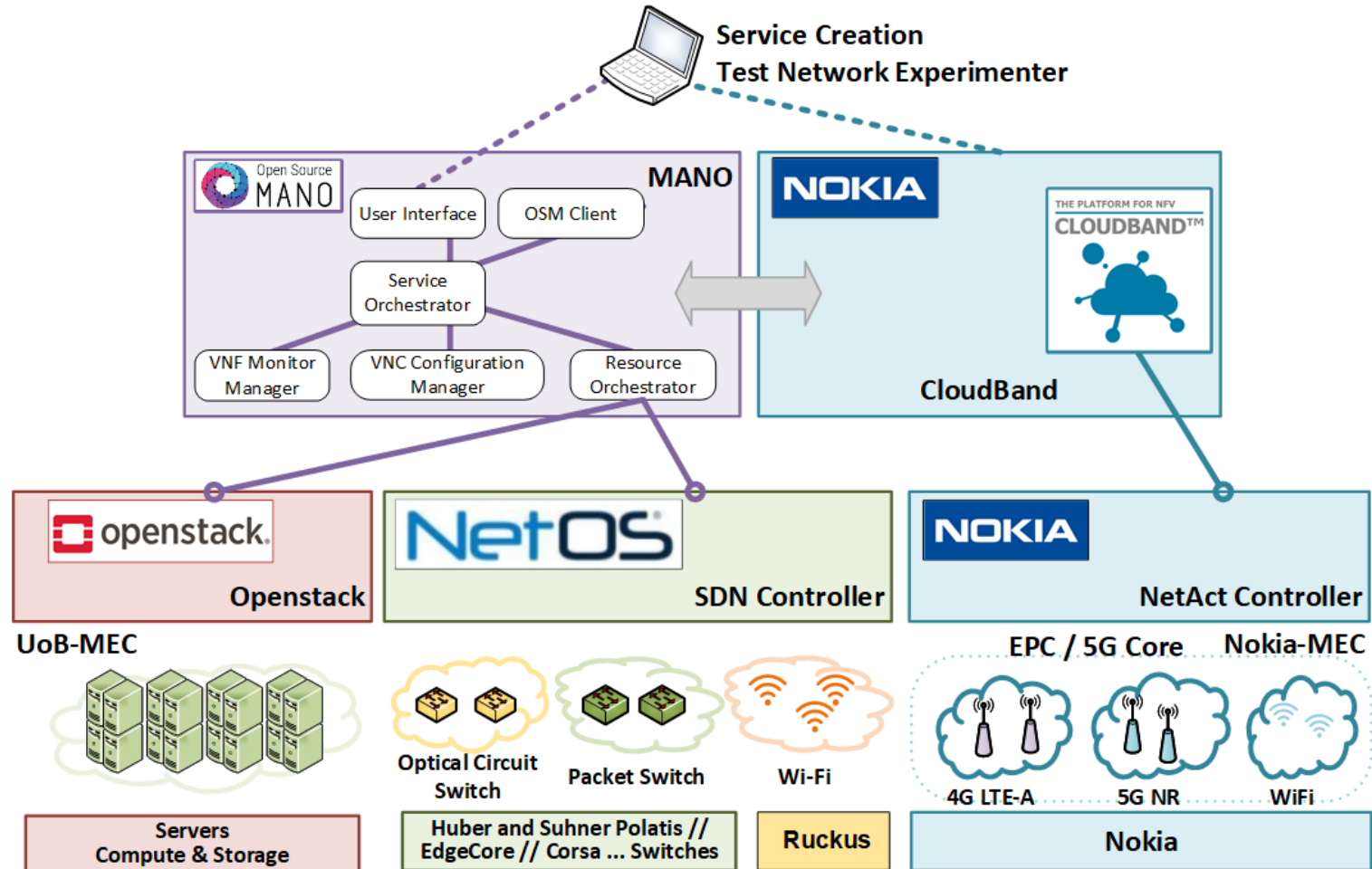


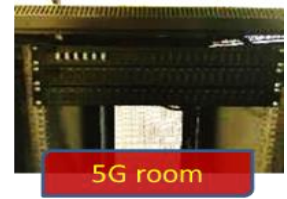
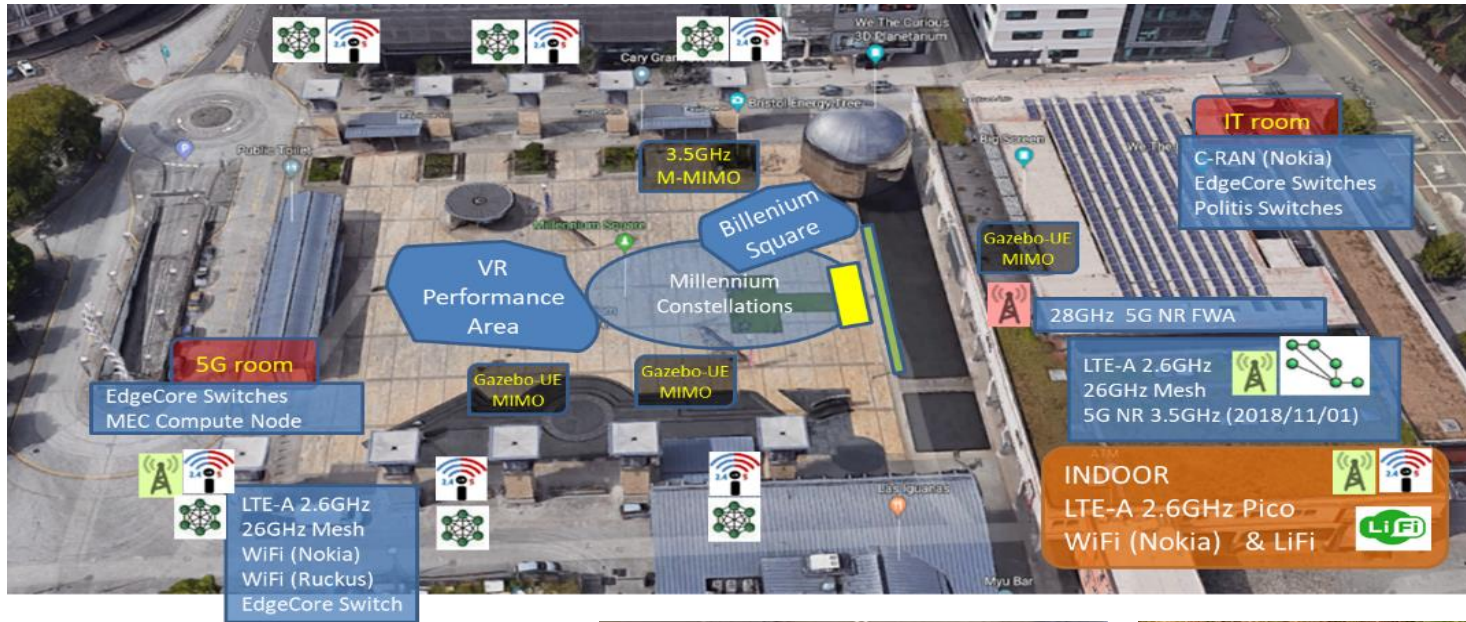
- The FSO system presents high performance with high bit rate and rare outages
- Dependence of FSO system on weather conditions



- Various theoretical Models that describe the effect of turbulence on the performance of an FSO system where verified using experimental Data.



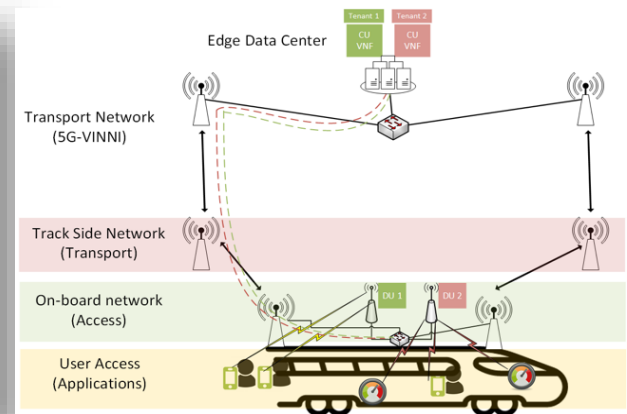






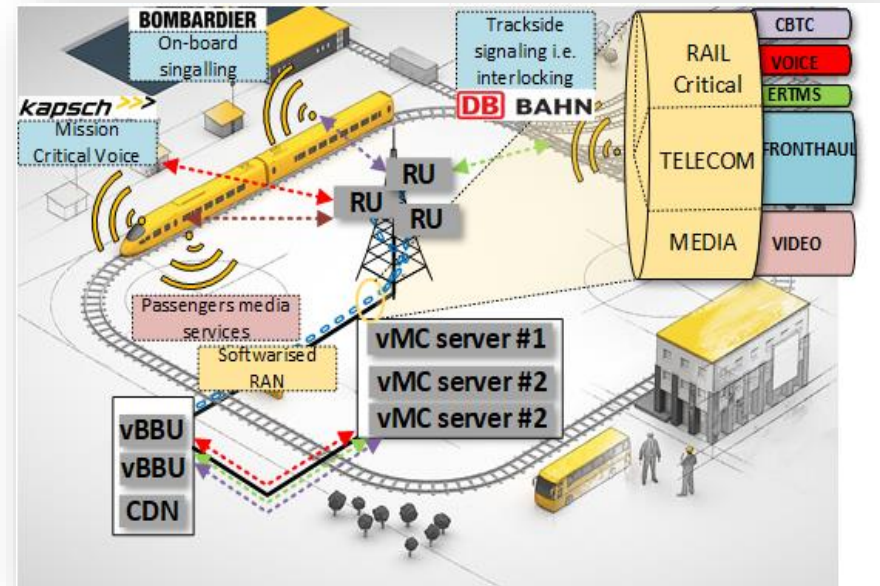
# IN2DREAMS Next steps and Large-Scale Demos

- **Enhanced mobile broadband under high speed mobility in Rail environments**
  - eMBB functionality through heterogeneous technology access for on-board network connectivity in a railway setup
  - Interconnection of on-board devices with the trackside and the trackside with the core network



# IN2DREAMS Next steps and Large-Scale Demos

- **Objective:** common platform for Mission Critical (MC) voice and video and other MC rail-related data and signalling services addressing on-board and trackside elements.
- a softwarized end-to-end MC services solution that will enable the enhanced support of railway specific services
- A softwarized MC solution for rail environments enabling control and management of the on-board elements and trackside components (i.e. interlockings)



# IN2DREAMS

Sensing/Monitoring Devices and Data  
Management Platform

GUILLAUME PELLETIER (DOTVISION)

IN2DREAMS Final Conference, Milan, 02.10.2019



Just for the sake of understanding, WP3 is all about Monitoring and IIOT (which is industrial IOT, a sub part of the Internet Of Things bubble).

Monitoring is about how to gather data from the railway system, on board and on track, in order to evaluate the behavior of the system, using different types of computing resources and processes, from big data to AI.



*Monitoring is often associated with Control, **BUT THIS IS NOT** the case here and we pay huge attention of the system isolation to avoid any security breach.*



Goals has been set during the previous In2Rail Project.

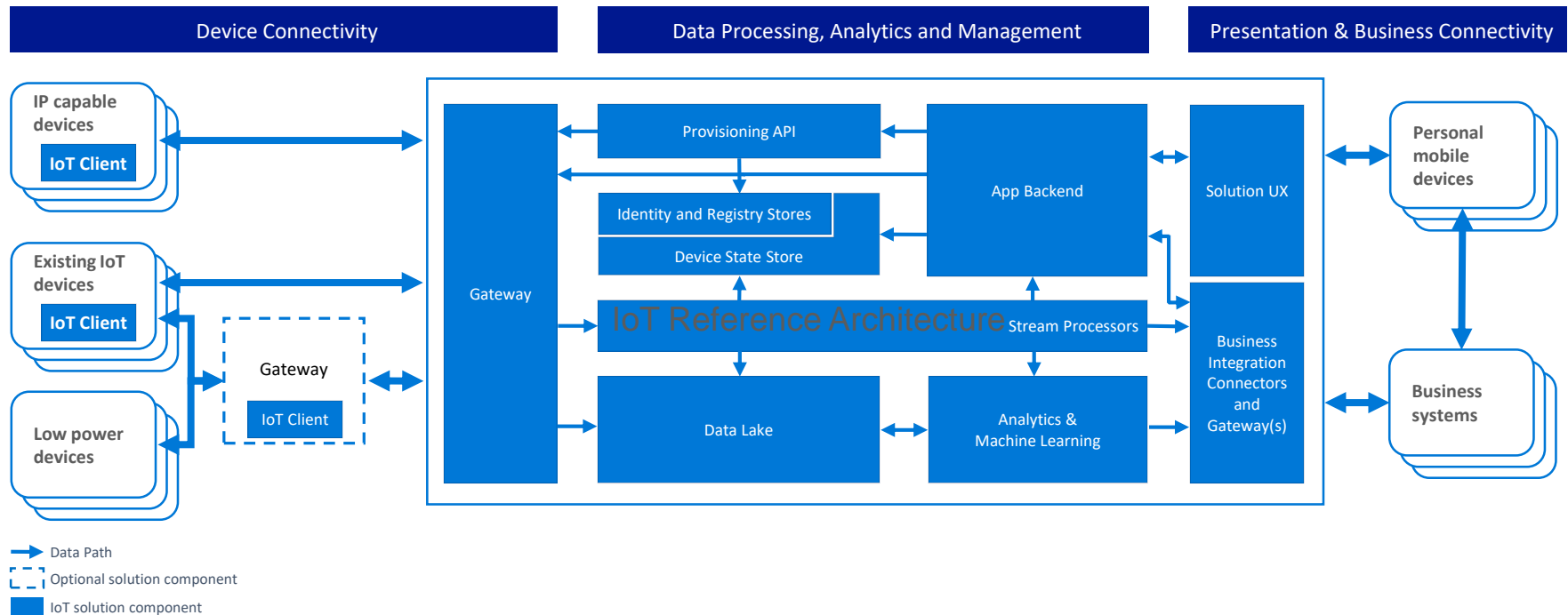
- Data synchronisation
- Real time
- On board monitoring
- On track monitoring



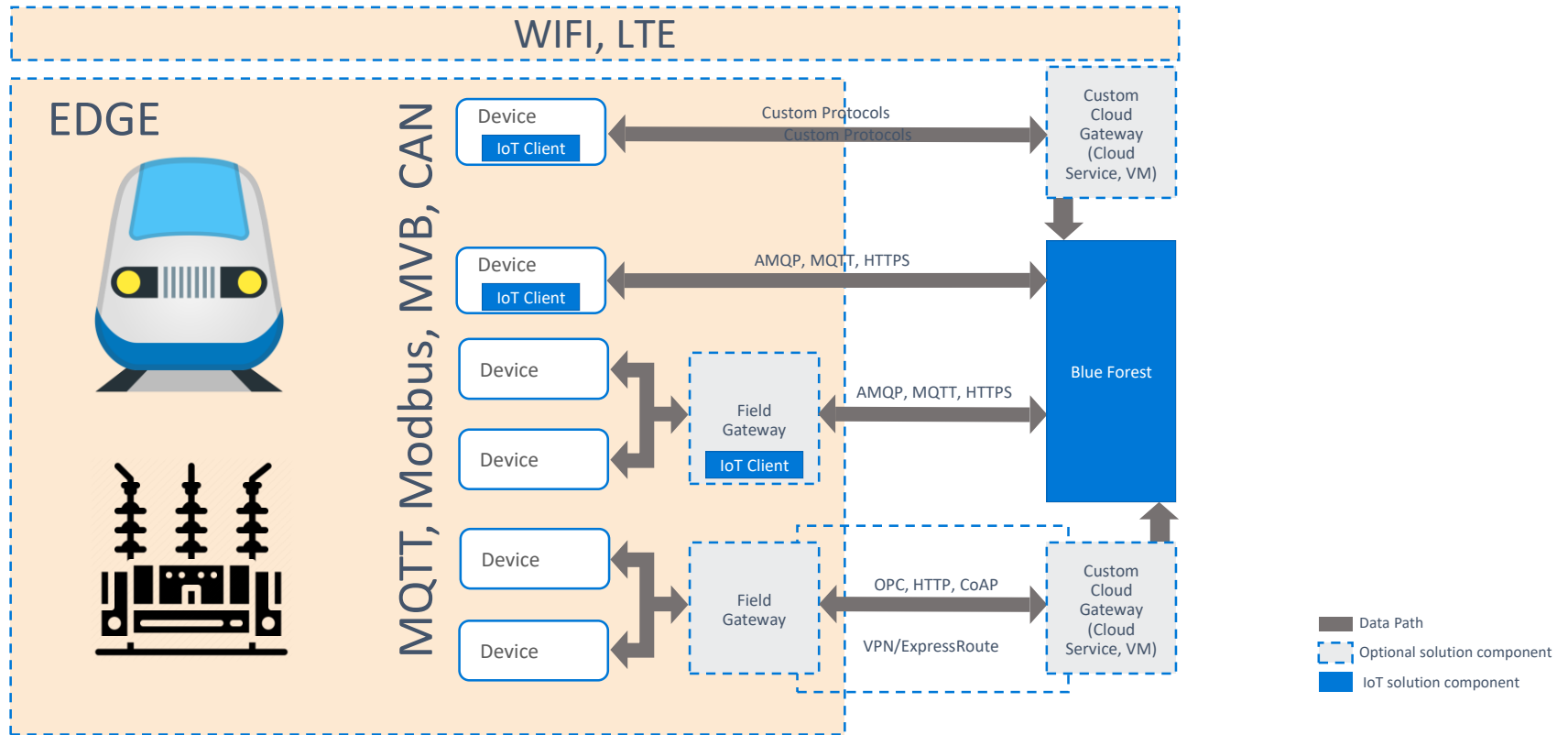
Few Compelling and/or mandatory features were defined:

- Secure;
- Low latency;
- Cost effective;
- Less intrusive;
- Low energy signature;
- Interoperability;
- W3C-oriented to enable Easiest Cloud and process integration.

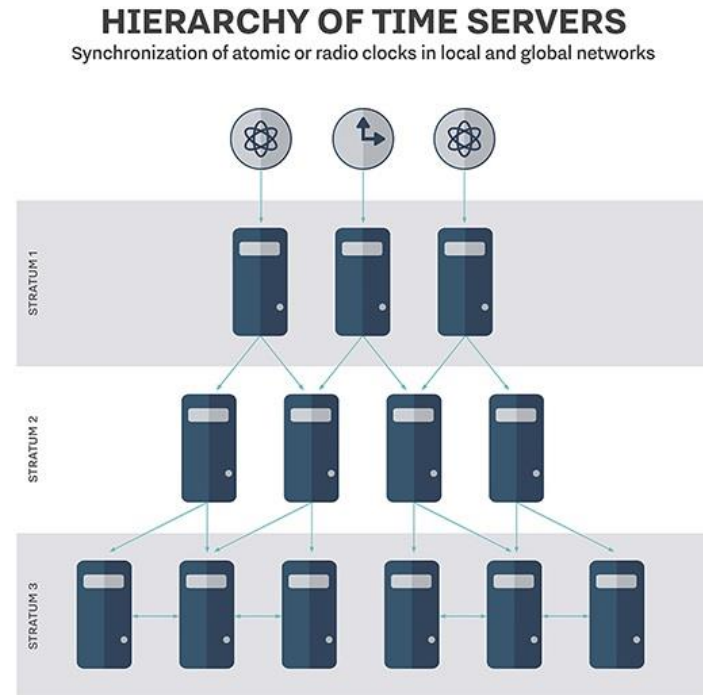
For this purpose, we designed a full IIOT Stack solution, from Hardware (with a Real Time Operating System embedded) to cloud hosted solution.



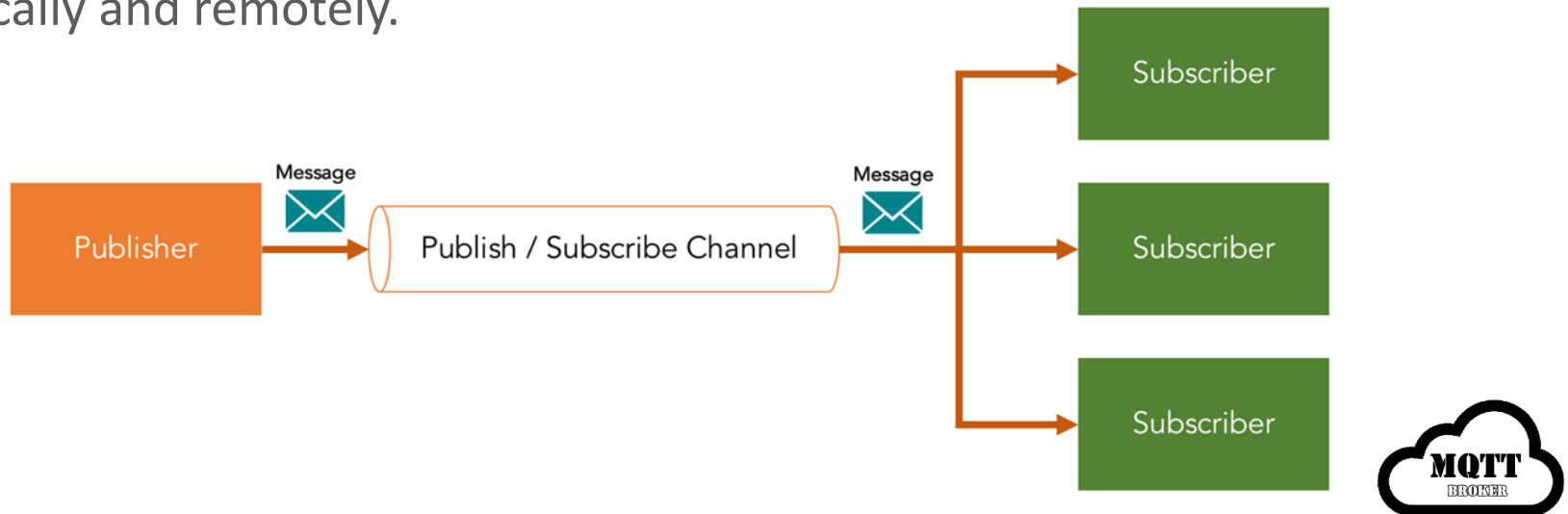
With the help of WP2, we embedded Communication solutions, From LTE to WIFI, respectively for Global and Local physical communications, using dedicated Industrial data transportation Protocol & W3C standards



Data Synchronization is supported using local NTP with a stratum 1 server embedded using GPS signal. When not available, remote NTP server could be used.



Realtime data gathering is by design, using MQTT or SQT protocol, locally and remotely.



On Board monitoring is performed using the ALOHA box, specifically designed for the purpose.

Aloha box act as global gateway, providing ALL the software and hardware stack to send data to the cloud. Aloha box support natively, TCP, TLS, LTE, WIFI and BTLE. LORA could be provided as Extension.





Aloha box acts as local gateway, providing ALL the software and hardware stack to transduce natively some local protocol such modbus (serial and IP) and I/O.

MVB and CAN, are provided as extension.

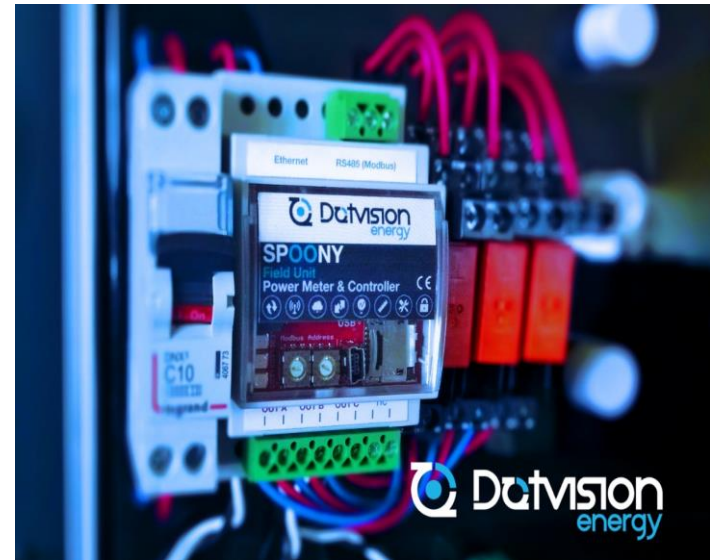
Once onboard, you can monitor in real time all the data from the train and equip the train with additional non-intrusive sensors at low cost.

- CO2 Sensors
- GPS
- IMU
- UV Arcs
- ...



On Track monitoring is performed also using the ALOHA box, thanks to its multipurpose and programmable I/O.

We also use SPOONY, which is a real-time 3 phasis meter.



When hitting the cloud, the data can be stored, visualised



and analysed through WP6

The data-gathering process is to serve applications and services, based upon the underlying data streams.

Available data streams are, but not limited to:

- On board consumption;
- On board dynamic (GPS and IMU);
- Specific Alarm and reports.

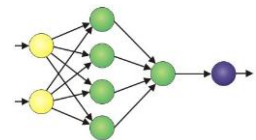


***This data is clearly BIG DATA and the transport over telecommunication network hit the threshold of our LATENCY and COST features.***



With the Help of UNIBRI it was decided to set up an AI model to process the data.

- Using the on Board and On track consumption, coupled with GPS feature on train, to get consumption time series forecast using LSTM neural network.
- Using the on-board dynamic and consumption training set to build another model for consumption estimation using dynamic only.

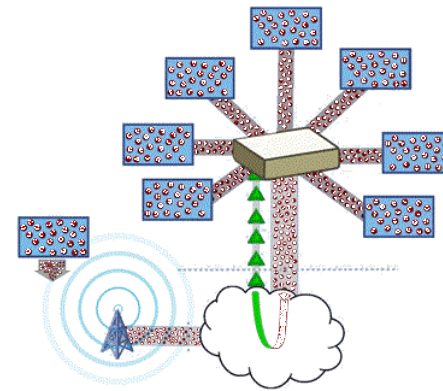


# IN2DREAMS WP3 -Design – Processing - FOG

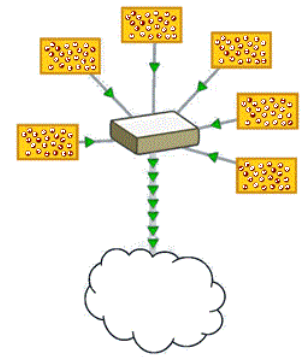
However, as stated before, the data gathering process is too heavy. It was therefore decided to promote a distribution of the AI on Edge.

For this purpose, a specific NN library was embedded over Microcontrollers hosted by the ALOHA. Once trained, the models are provided to the edge using ONNX standard.

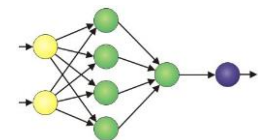
***As result, the Cloud becomes FOG.***



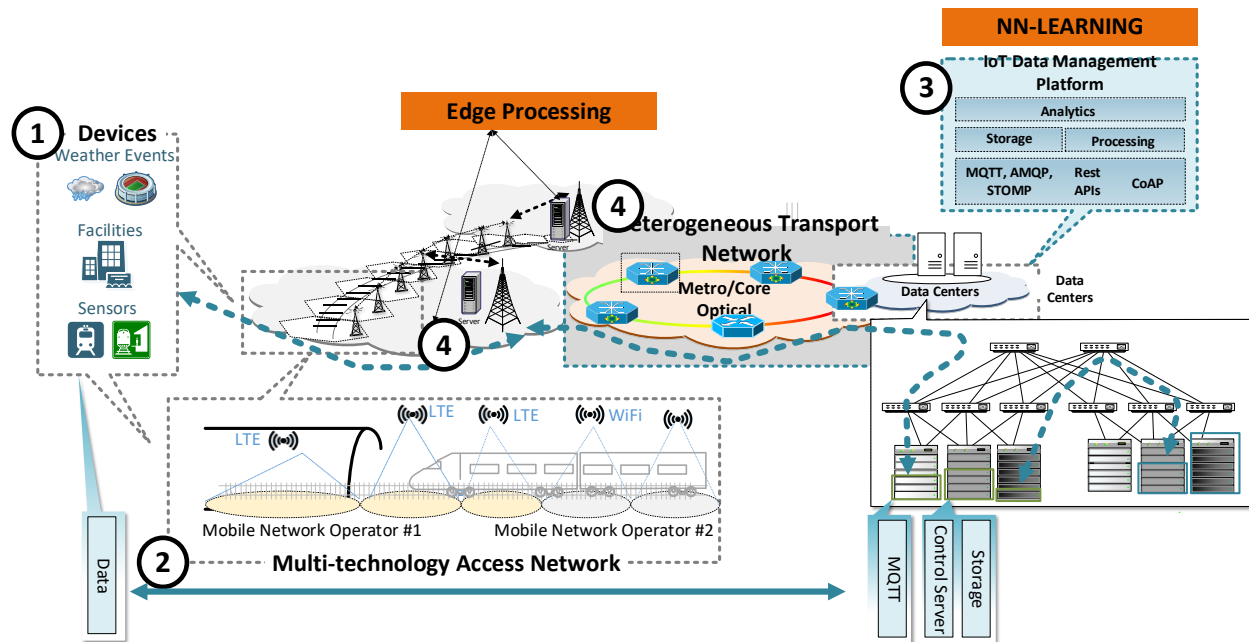
Cloud-centric AI



Edge-node AI



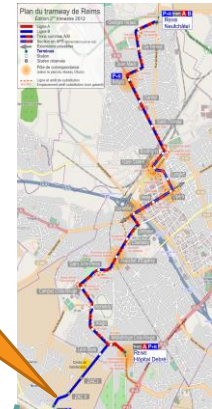
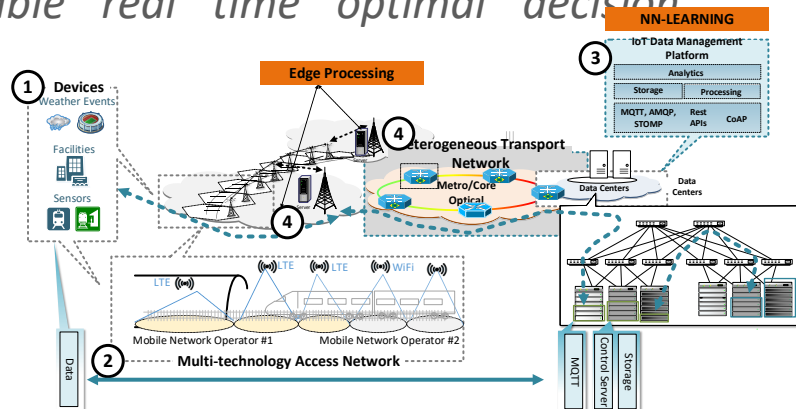
- Mobile Edge Cloud (MEC) assisted Cloud Infrastructure
  - Collaborative edge-central cloud data processing → reduced latency





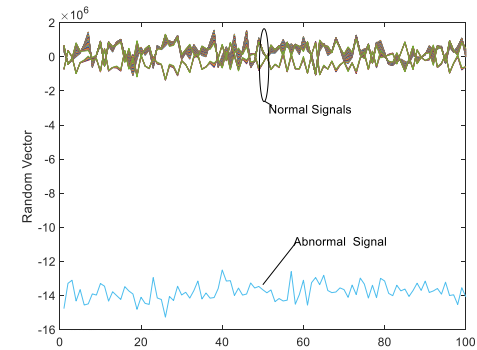
## ■ REIMS Tramway

- Step 1: On-board and trackside data collection
- Step 2: Transmission of data to the central cloud
- Step 3: Knowledge extraction based on Neural Networks
- Step 4: Push the trained Neural networks at the edge to enable real time optimal decision making



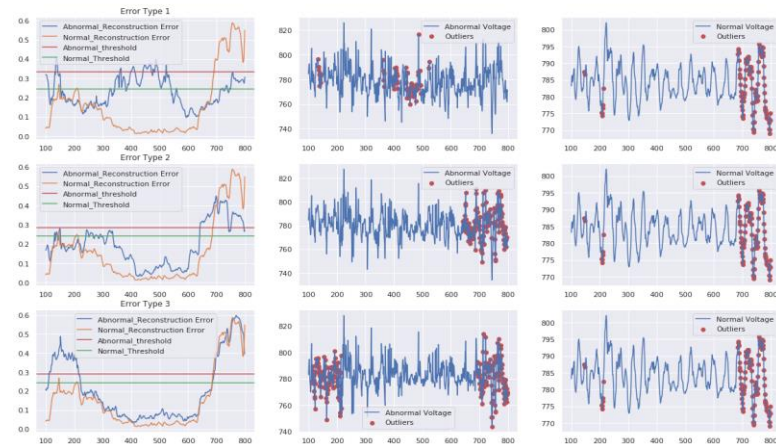
## @Network Rail

- Use case: Fault detection over high frequency sampling at substations

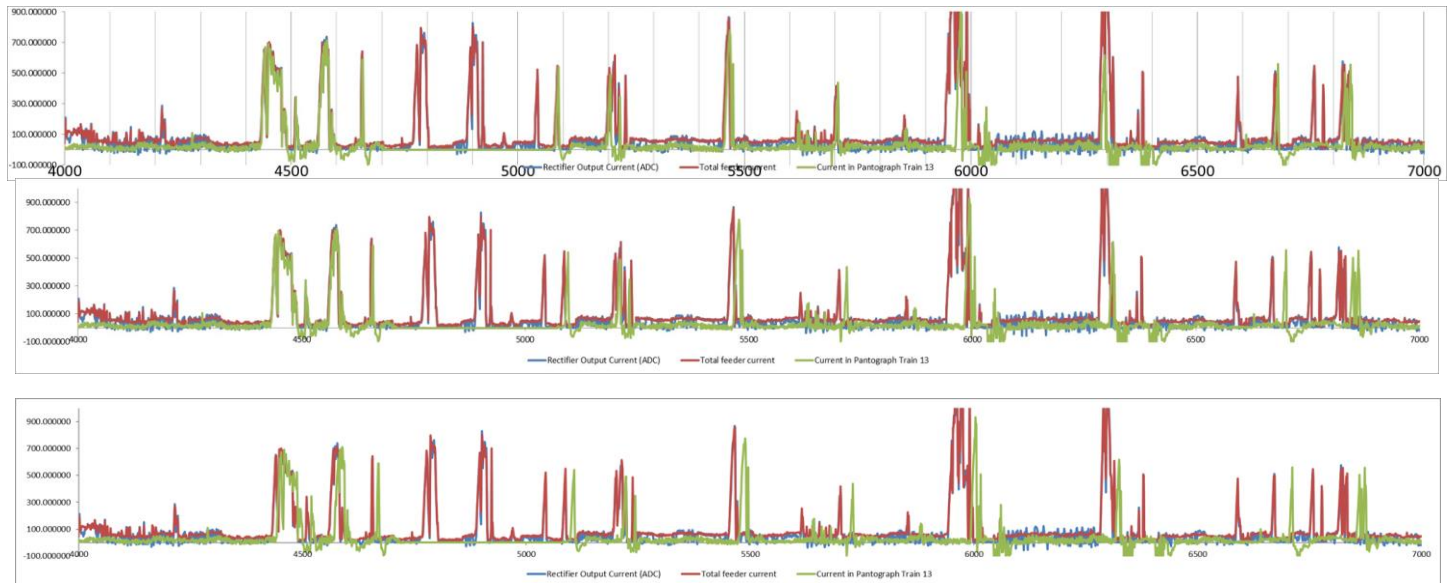


## ■ @REIMS

- Fault detection in an operational tramway system

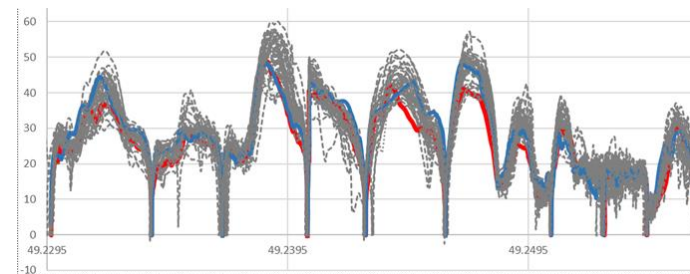
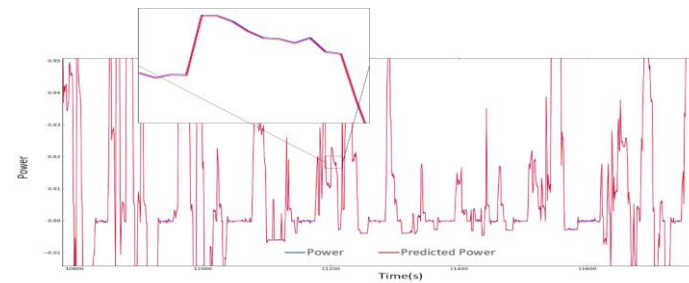
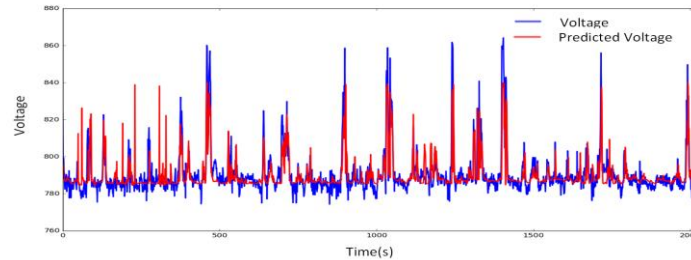


- Synchronization of measurements



- Positioning (Without the need of GPS) using telecom network
  - In-tunnel positioning using LiFi

- Estimation of trackside from on-board measurements (software sensors using purpose developed NN models)
- Energy forecasting using LSTM NNs
- Optimization (optimal driving profiles) (using Machine learning Techniques)



- The global monitoring chain is working as TRL 8 and 9, AI process are still on TRL 6,7.
- The solution was installed on the Reims Tramway.
- The on-track solution was installed at 3 NR sub-stations near London.
- These installations are being duplicated in Zaragoza and Dublin.

- First, we have now to finalise and promote the Hardware/Software solution as real world Industrial product and we are looking forward to new installations.
- Regarding pure R&D, the idea is to couple Edge AI and evolutionary algorithm, such memetic to promote local intelligence over a global geographic, time and machine distributed systems.
- We are therefore welcoming other H2020 projects.



User Applications

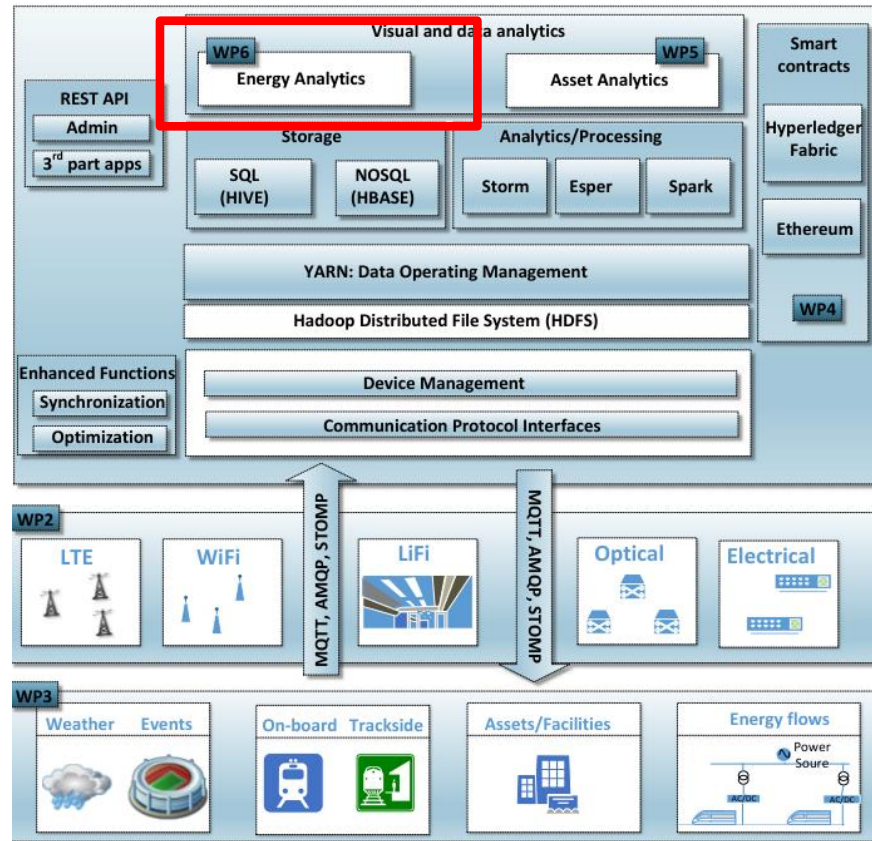
SIMONA SOLDI (EVOLUTION ENERGIE)

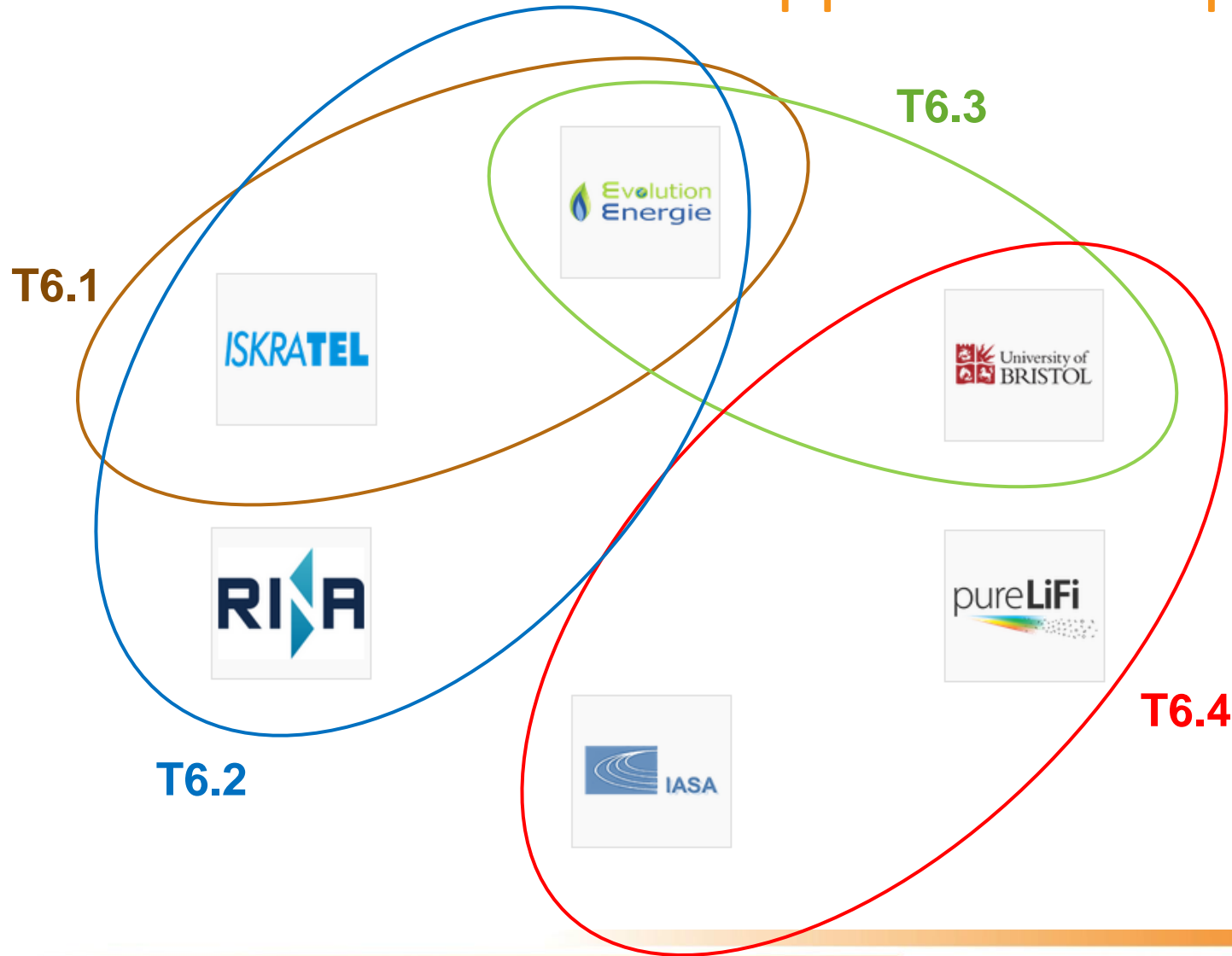
IN2DREAMS Final Conference, Milan, 02.10.2019

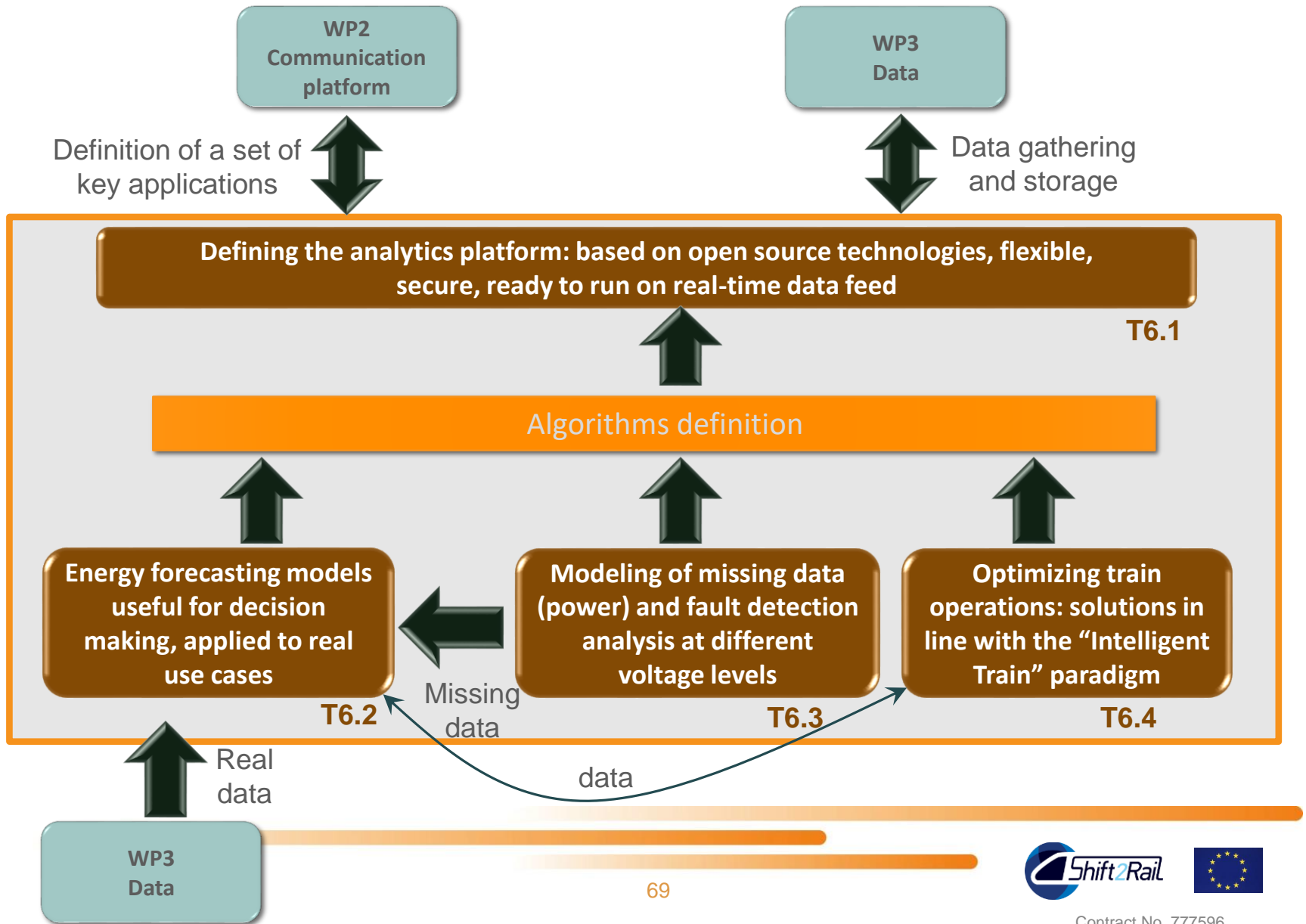


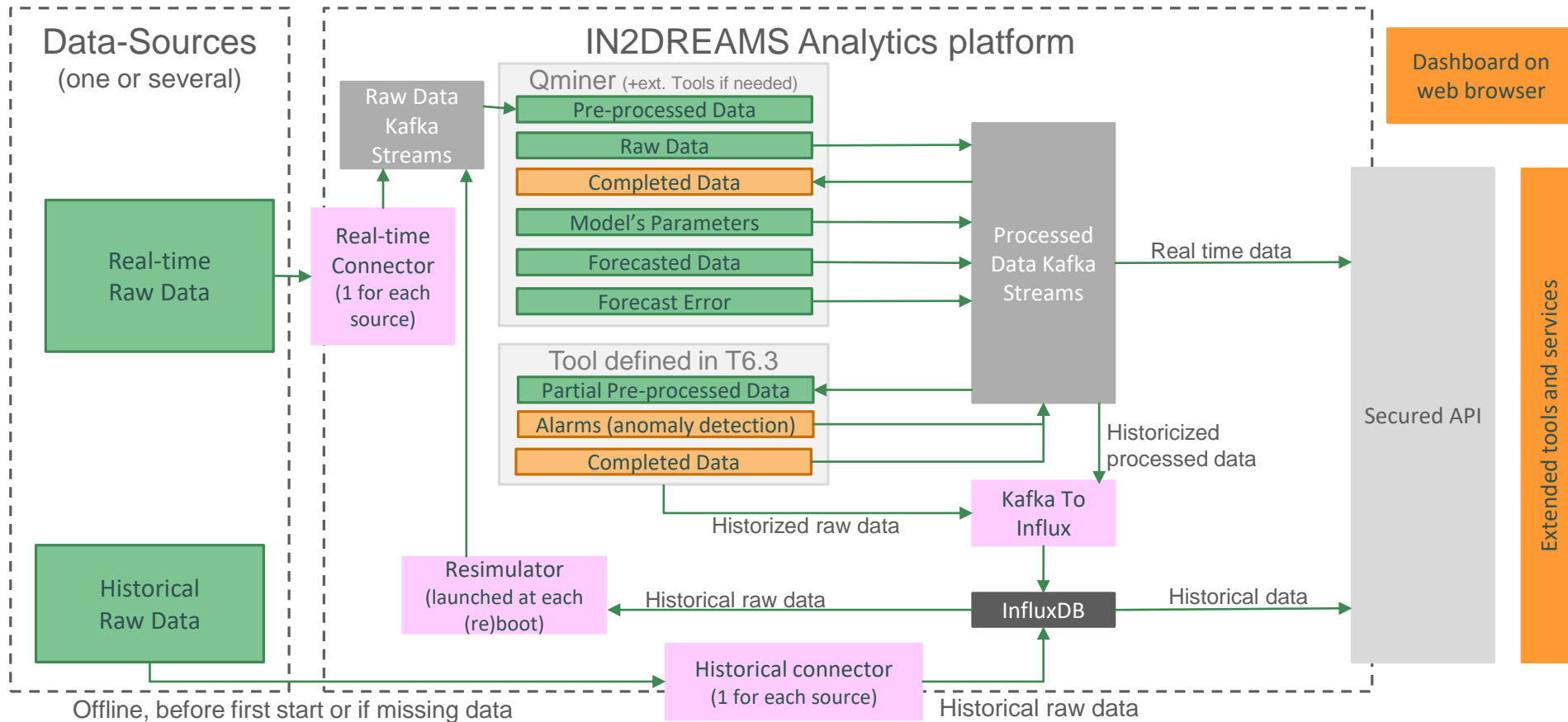


General goal: to assist infrastructure managers and railway operators to select optimal strategies and resources in order to support in a cost-effective and energy-efficient manner, a variety of railway applications





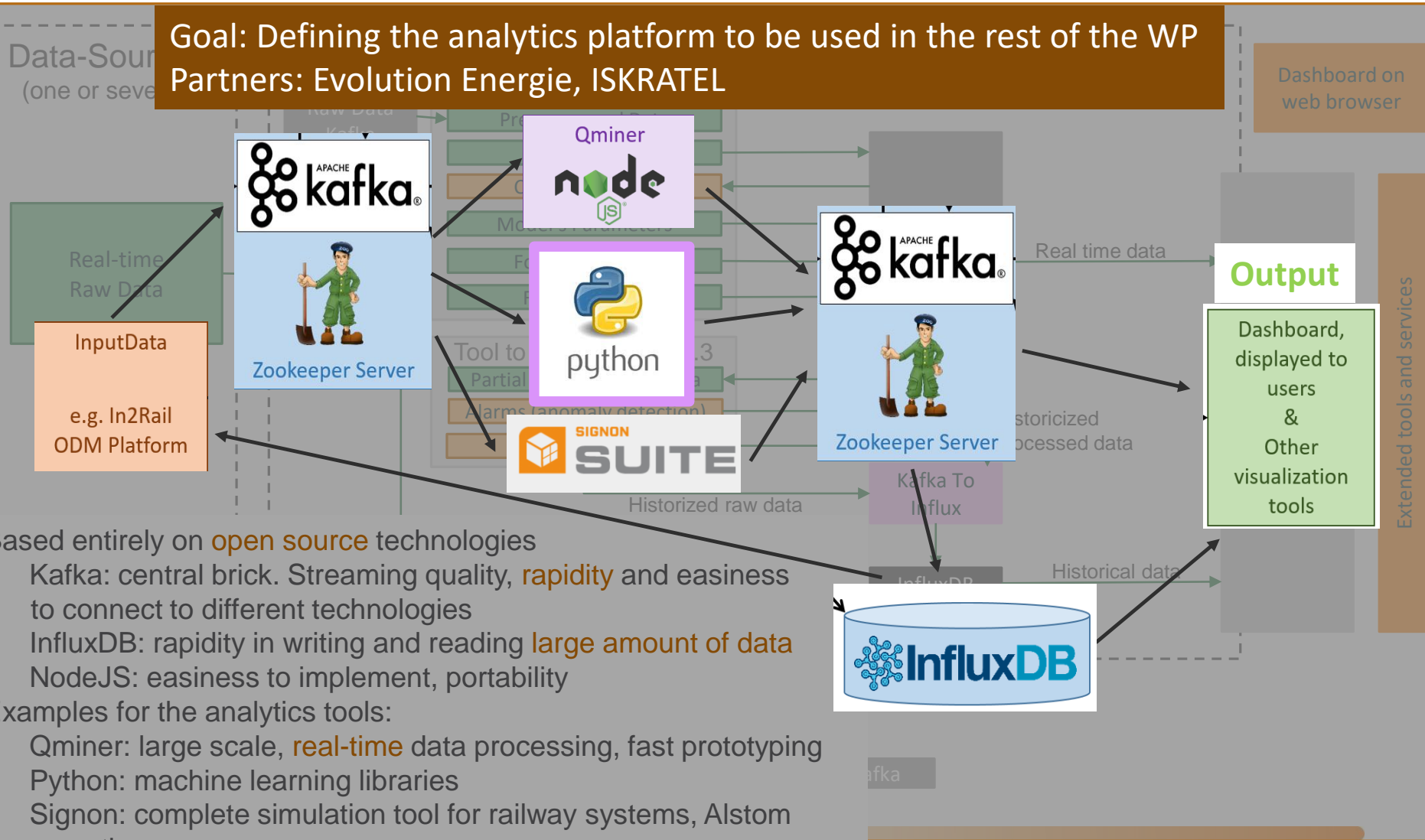




Caption:

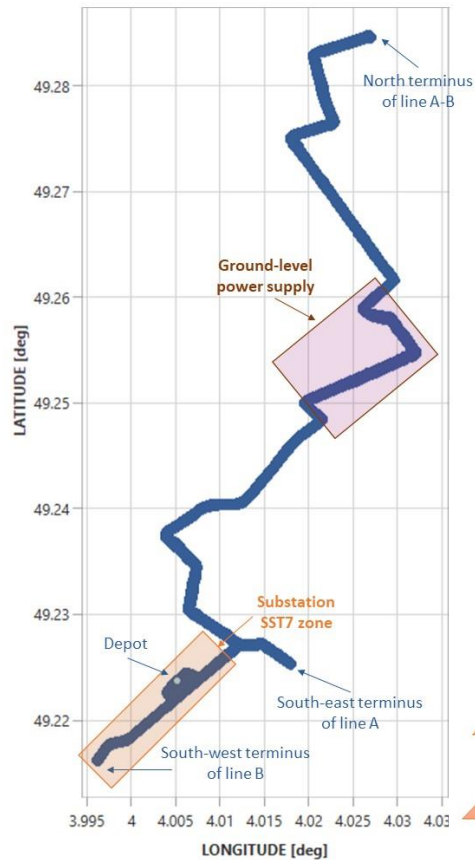
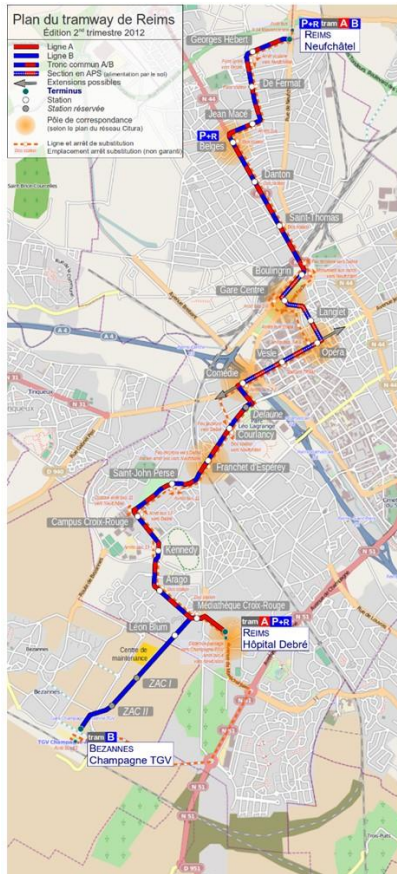


Goal: Defining the analytics platform to be used in the rest of the WP  
Partners: Evolution Energie, ISKRATEL

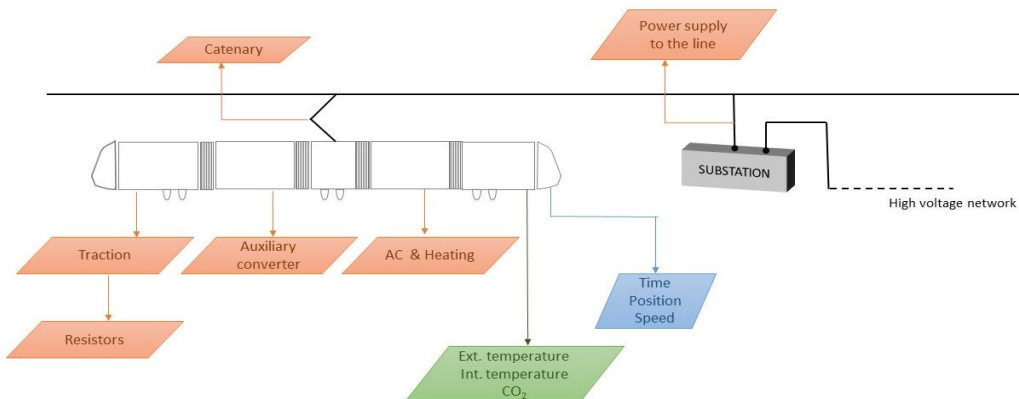


Goal: Providing energy portfolio analytics useful for decision making  
Partners: ISKRATEL, Evolution Energie, RINA-C

- Development of energy forecasting models based on two use cases:
  1. **FULL node**: on-ground unit, advanced computation with complex algorithms, distributed and parallel computing. Forecast time horizons from 1h to 24h
  2. **LIGHT node**: on-board unit, very fast response from computationally less demanding instance. Very short-time forecasts from 1s to 30s
- Applied to a real case: data from tram in Reims (France), instrumented by Alstom, data sent in real-time to Bristol University (IN2RAIL project)
  1. 1 power substation, 3 months of data, 1 second sampling
  2. 2 trains with data from 2015 to 2018, 1 second sampling

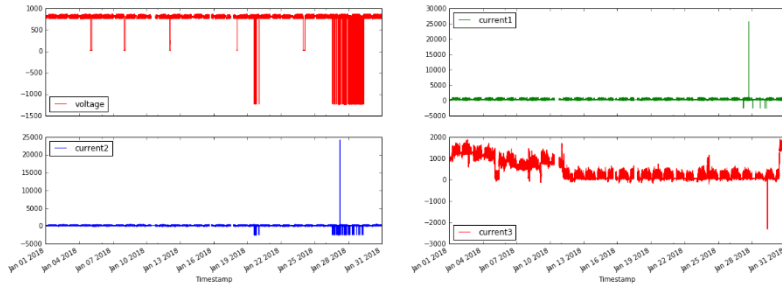


- On-board data: traction and auxiliary power, ambient measurements, train dynamics
- Trackside data: power to three different feeders (depot, southbound, northbound)

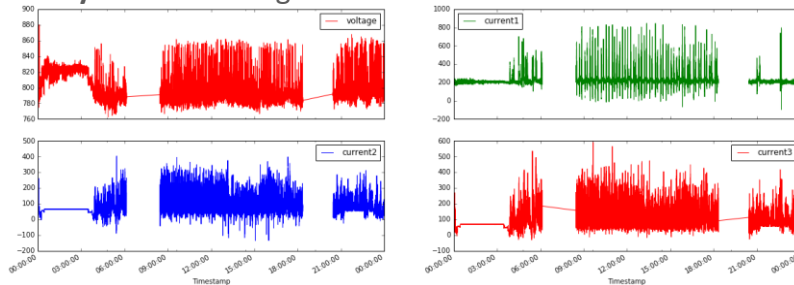




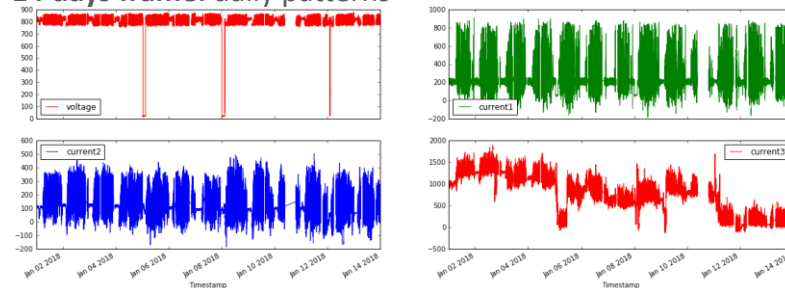
1 month frame: outliers



1 day frame: missing data



14 days frame: daily patterns



- Data cleaning (outliers, offsets, conversions, etc.)
- Data quality flagging
- Data interpretation
- Data fusion (weather data and static)

Flagging of train data at depot



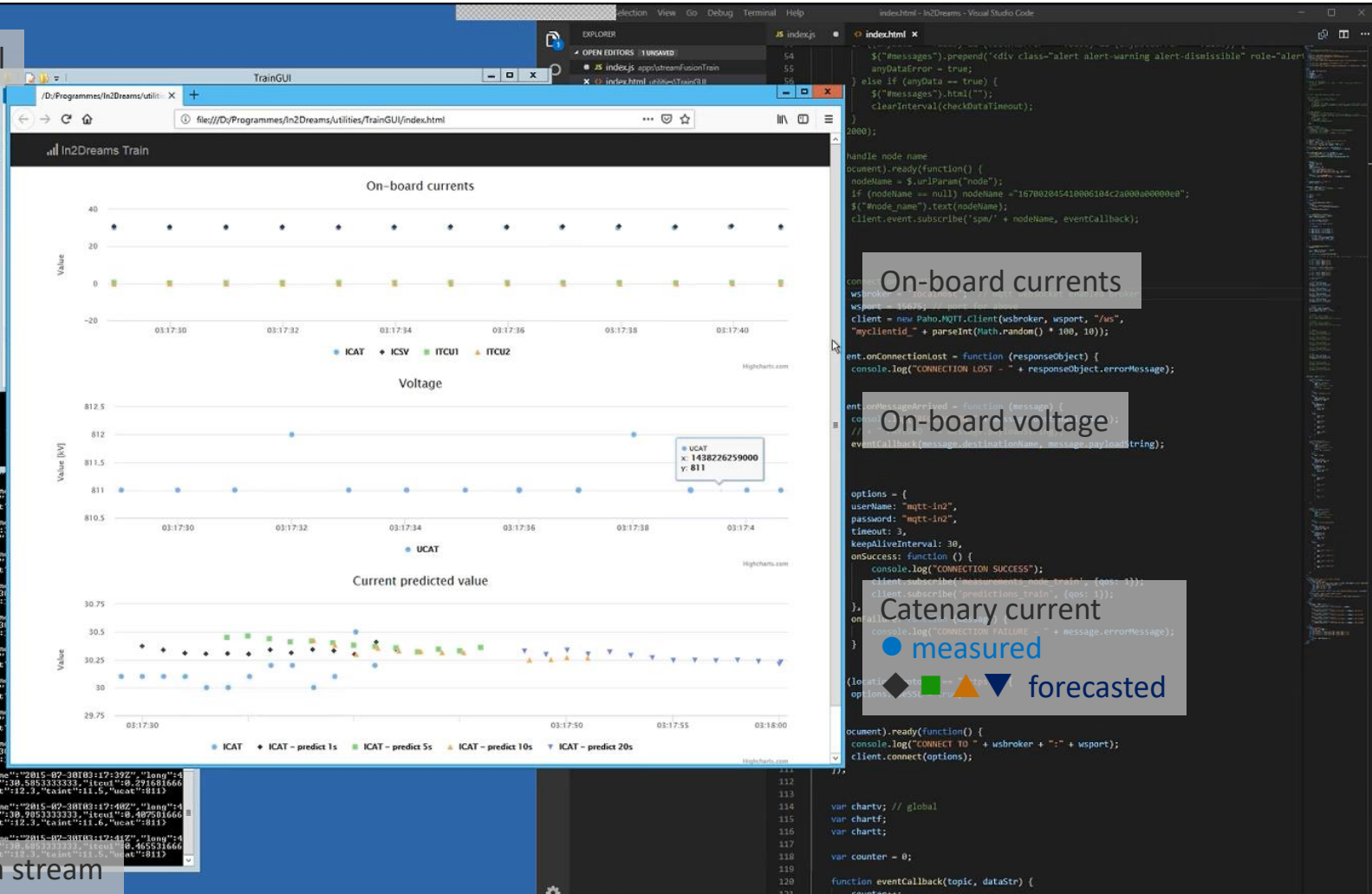


- Feature engineering and selection
- Model testing, selection and evaluation
- Integration into the analytic platform
- Assessment of forecast models for project use cases

	Train – Light node	Substation – Full node
<b>Tools</b>	Qminer (Node.js), RabbitMQ	Qminer, Kafka Broker, Python (scikit-learn)
<b>Model</b>	Ridge Regression	Random Forest
<b>Features</b>	position short-term E	date/time short-term E
<b>Horizons</b>	1s to 30s ahead	1h to 24h ahead
<b>Application</b>	short-term (10s) regulation to avoid consumption peaks on acceleration (getting power from on-board batteries and switching off systems, e.g., AC)	1) choosing the best mix of energy sources (storage, renewable, external grid) to optimize objectives; 2) predictive maintenance of substations knowing in advance power fluctuations

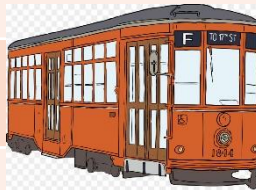


## Forecast model



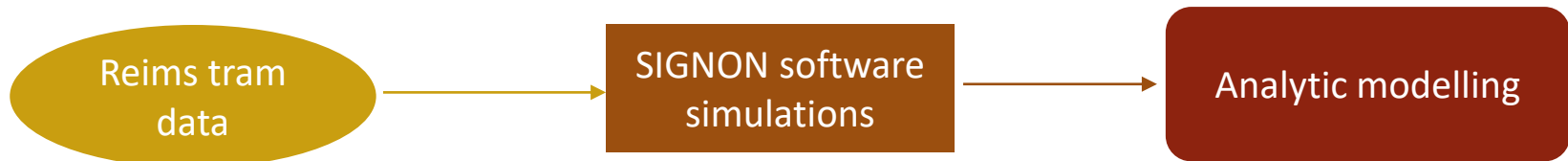
- Feature engineering and selection
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Goal: Enriching the analytics with system simulations and fault detection mechanisms  
Partners: Evolution Energie, Bristol University

## 1. Modeling of missing data (voltage, current) through power system modelling

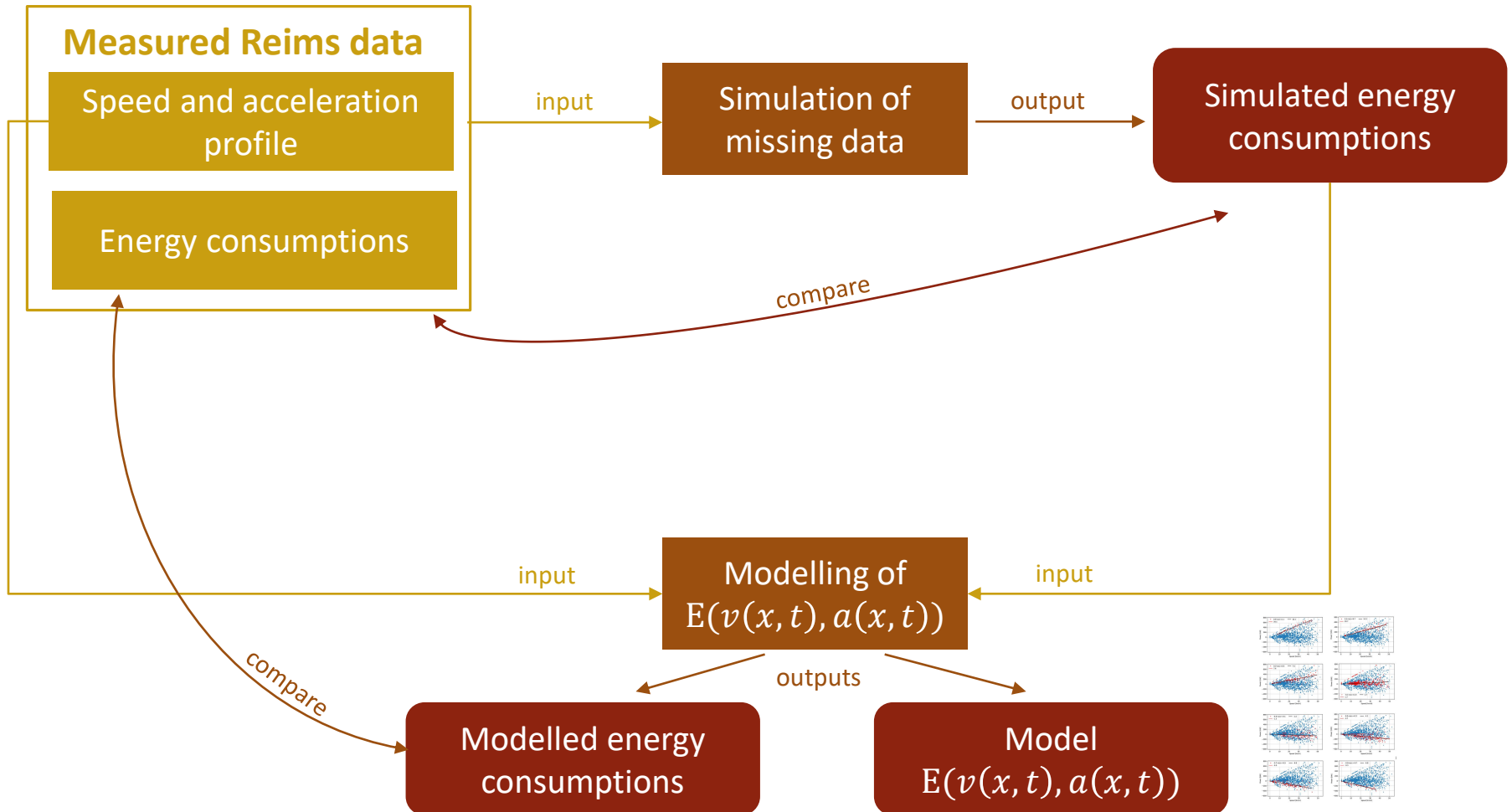


## 2. Fault detection analysis as function of voltage level



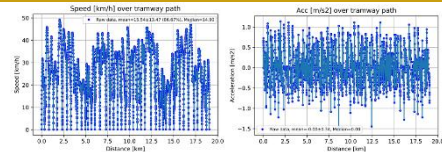
# IN2DREAMS T6.3 - Modelling of missing data

Goal: estimating consumptions from the velocity and acceleration profiles  $v(x, t), a(x, t) \rightarrow E(x, t)$

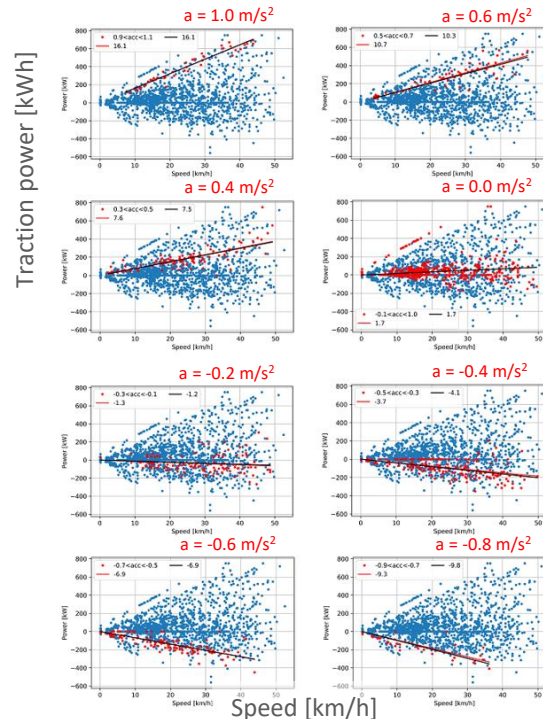


## Measured Reims data

Speed and acceleration profile

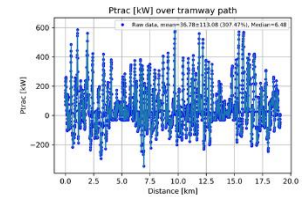


input



output

Modelled energy consumptions



$$P_{TRAC} = Speed * (1.7 + 14.4 * Acc)$$

Predicted energy consumptions

output

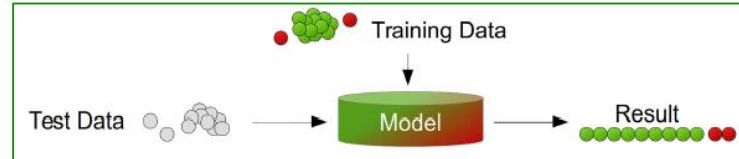
Prediction Models

input

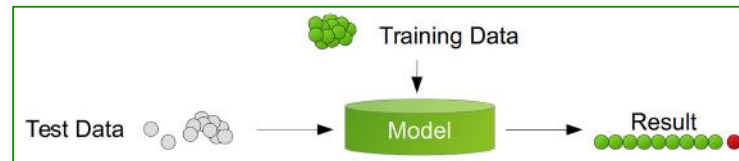
Goal: fault detection analysis of power consumption as a function of voltage level

- Finding unusual patterns not conform to the expected behavior. But what is unusual?
- Two use cases at different levels of voltage operation:
  - Reims tram network (on-board data): Gradient Boosting
  - Network Rail (Autotransformer substation on the Liverpool-Manchester line): Compressive Sensing + Neural Networks

**Supervised methods:**  
fully labelled training set



**Semi-supervised methods:**  
only normal cases in the training set



**Unsupervised methods:**  
unlabelled training set

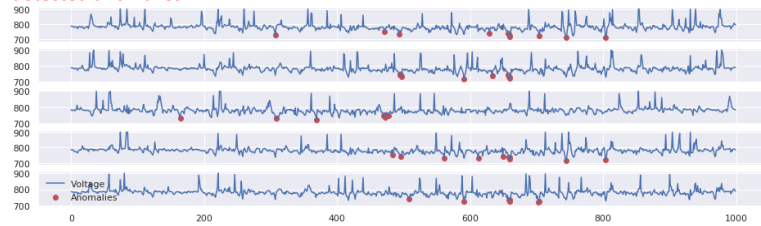




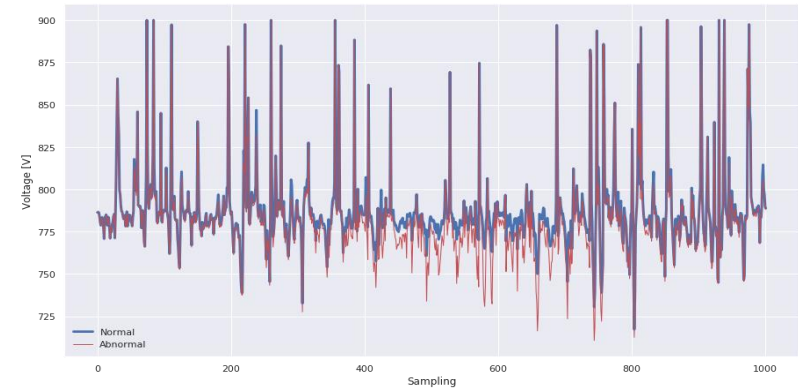
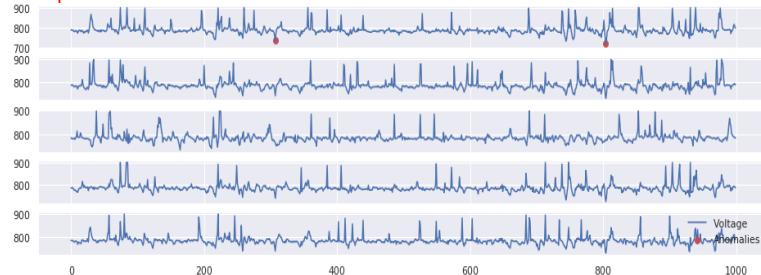
- **Reims tram:** 750 V, 1Hz data sampling, on-board voltage
- Goal: detect the location of substation anomaly from on-board data (E. Stavropoulos et al., TRA 2020) in simulations performed by SIGNON software

### Moving Average Threshold: low complexity, no location info

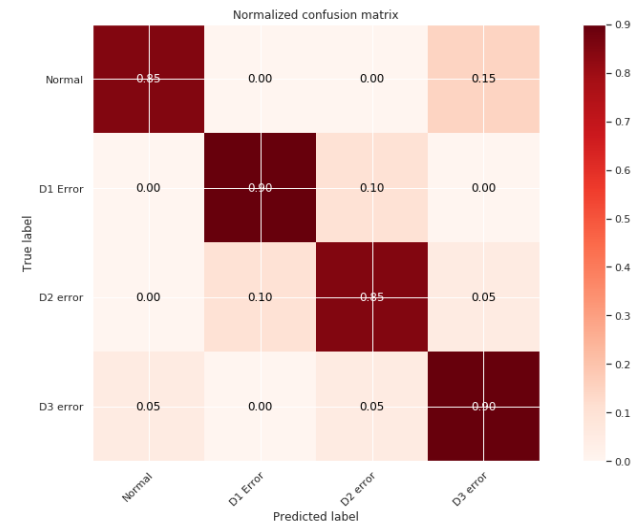
#### detected anomalies



#### false positive



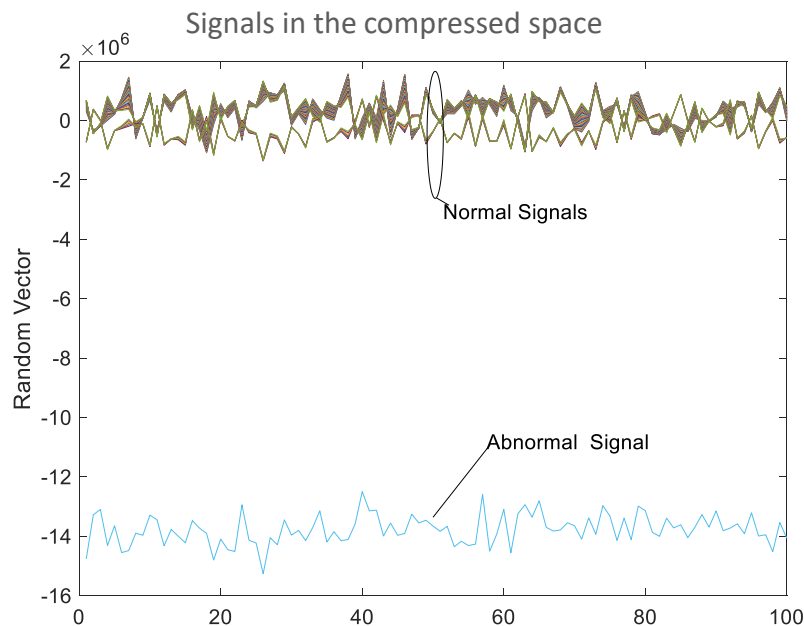
### Gradient Boosting and XGBoost: high complexity, exact location



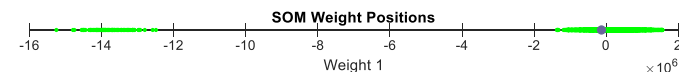
- **Network Rail use case:** 25 kV, 10 kHz data sampling, Overhead Contact System voltage
- New technique: Compressive Sensing + Neural Networks (Anastasopoulos et al. 2016, Anastasopoulos et al., TRA 2020)
- The recovered signal approximates the non-compressed abnormal signal with very high accuracy



Willow Park Auto Transformer Feeder Station



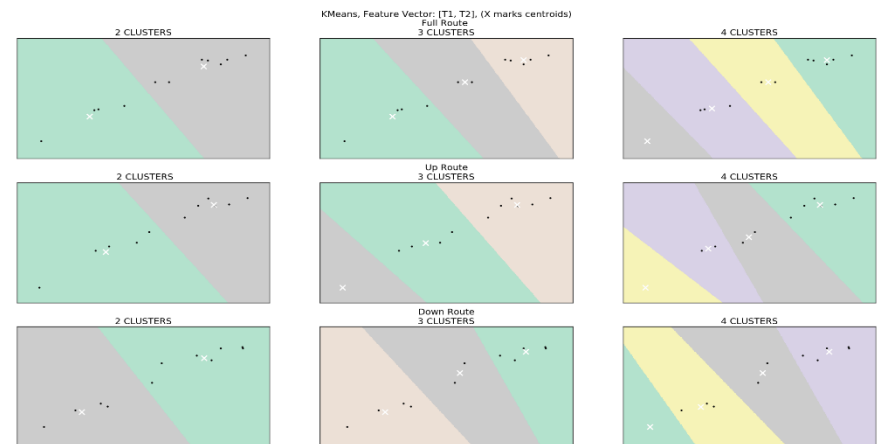
Output from Neural Network analysis



Goal: Development of solutions in line with the “Intelligent Train” paradigm  
Partners: Bristol University, IASA, pureLiFi

- **Offline Optimization Model**
  - New technique based on Data Envelopment Analysis (DEA) (Achilleos et al., VEHITS 2019, Springer LNCS 2020, to appear)
  - History observation can be optimally combined to improve the operational efficiency of the system
  - Modeling framework based on Integer Linear Programming (ILP)
- Example: Estimation of the optimal driving profiles
  - **Step 1:** Selection of the appropriate dataset using clustering

*Route clustering based on CO2 (on-board+External temperature)*



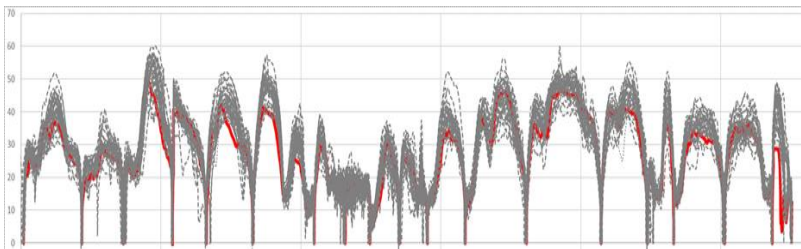
- Step 2:** Identification of the Efficiency Metrics (Interstation traveling time, Total Energy consumption, Number of passengers transferred etc.)

Timestamp	External Temp	Speed	Current HVAC C2	Voltage (catenary)	Current (Ventilation)	Voltage HVAC	Total Energy Pantograph
	°C	km/h	A	V	A	V	kWh
1442729913	10.8	43	15.6	892	38.7	449.32	37.0573402
1442729914	10.8	40.8	15.6	891	37.9	449.28	37.00736674
1442729915	10.7	38.9	15.6	869	38.2	449.55	36.95579201
1442729916	10.7	36.9	15.6	874	38.2	449.64	36.90263086
1442729917	10.8	35.1	15.6	855	39.5	449.73	36.85689206

- Step 3:** Evaluation of the efficiency score  $\theta$  of each driving style through the solution of the following (dual) problem:
- Step 4:** Estimation of the efficiency scores and the best performing driving style

$$\begin{array}{ll}
 \text{input} & \sum_{i \in S} \lambda_i x_{ij} \leq \theta x_{0j}, \forall j \in N \\
 \text{output} & \sum_{i \in S} \lambda_i y_{ij} \geq y_{0j}, \forall j \in M
 \end{array}
 \quad \left. \begin{array}{l} \text{Produce more} \\ \text{output with} \\ \text{less input} \end{array} \right\}$$

$\text{Max } \theta$



- *Implementation in GAMS: Input Example*

## Input

Table data(i,j)

	Acc	Power	Revtime	Speed	CO2
Route1	0.942232346	128704.6061	1755	17.41509681	42.22357631
Route2	0.919242597	129777.278	1755	17.49764806	38.60614465
Route3	0.999685864	131379.2679	1718	17.78226294	32.53169284
Route4	0.972339043	139132.7502	1693	18.15461629	34.02231405
Route5	1.046637832	149468.205	1733	17.65260092	35.52324106
Route6	0.963771429	140220.7884	1750	17.56293547	37.49115363
Route7	1.024353591	160885.694	1810	16.74152402	48.20745445
Route8	1.111648094	163884.4355	1705	17.94290739	42.38620164
Route9	0.866319	125764.1	1812	16.97582	35.4972
Route10	0.897807991	130571.2956	1802	17.21785912	28.54641154
Route11	1.050503067	145805.5571	1630	18.87853988	34.46166871
Route12	1.101036514	146366.4026	1698	18.01551826	37.33954653
Route13	1.088291714	155420.4908	1763	17.39755959	34.8927412
Route14	0.996927195	141505.0625	1869	15.57662062	38.71608491
Route15	0.926585101	137176.2977	1839	16.65852637	37.22825449
Route16	0.906586188	129699.0044	1782	17.1998091	50.14574958
Route17	1.100889571	142595.5615	1630	18.85820859	32.76369939
Route18	1.060148898	142383.0907	1679	18.43340083	32.78078618
Route19	1.007706587	117970.5156	1670	18.44902994	32.25292216
Route20	1.190570749	170094.5498	1682	18.19022592	39.27902497

## Output

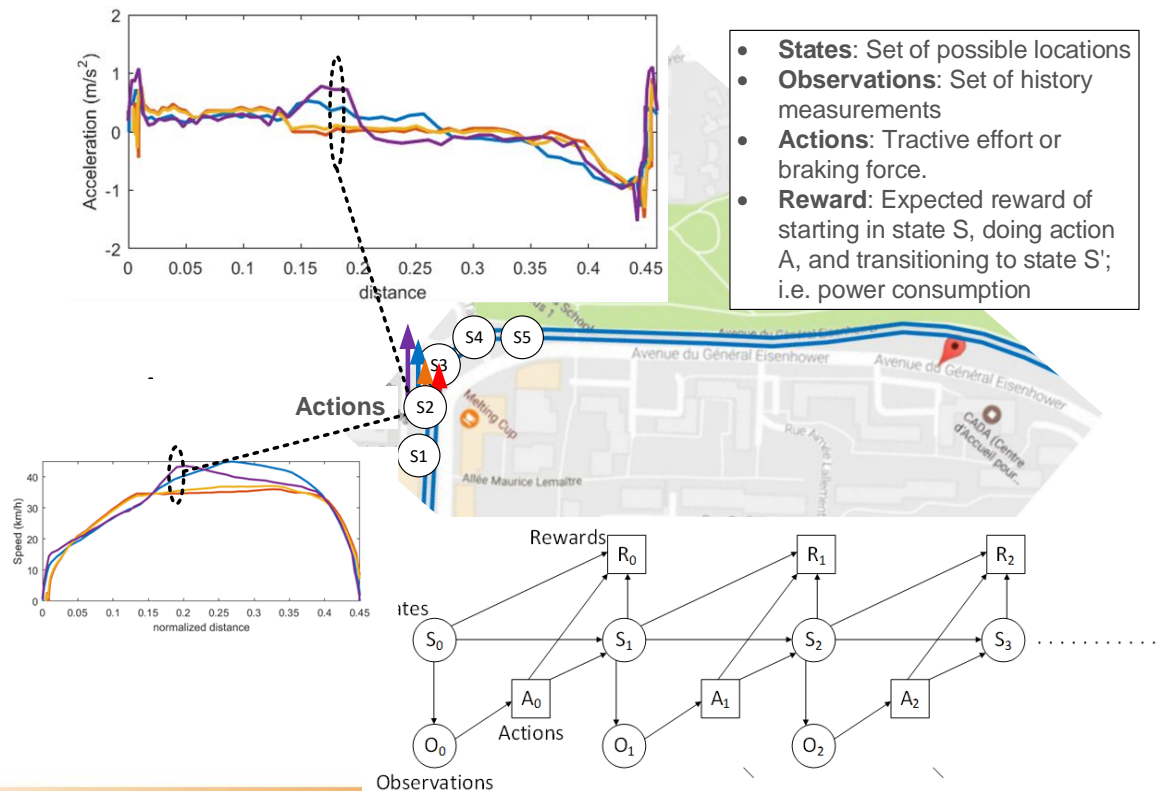
```

rnk1 .Route11 1.0000
rnk4 .Route4 1.0000
rnk4 .Route16 1.0000
rnk4 .Route17 1.0000
rnk6 .Route9 1.0000
rnk6 .Route19 1.0000
rnk7 .Route2 1.0000
rnk8 .Route8 0.9981
rnk9 .Route20 0.9909
rnk10.Route10 0.9899
rnk11.Route1 0.9831
rnk12.Route6 0.9693
rnk13.Route18 0.9618
rnk14.Route3 0.9591
rnk15.Route12 0.9576
rnk16.Route7 0.9530
rnk17.Route15 0.9342
rnk18.Route5 0.9230
rnk19.Route13 0.8812
rnk20.Route14 0.8290

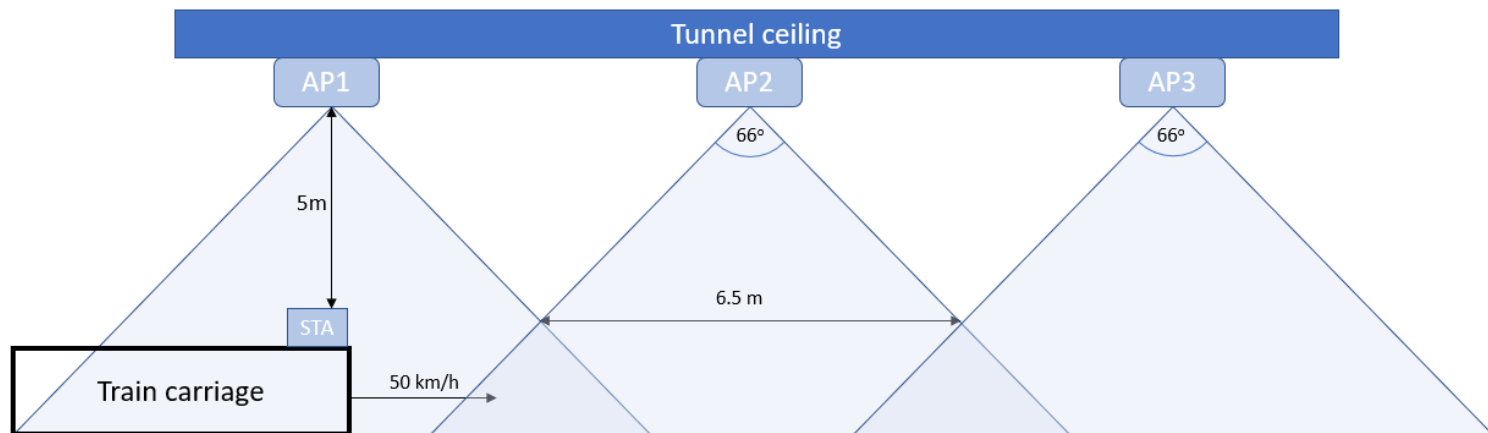
```

- **Real time optimization**

- For every location we can select the optimal actions (acceleration) using history and current observations
- Objective: Minimize expected cost i.e. power consumption



- Principle of operation:
  - LiFi Access point mounted on ceiling
  - LiFi Station mounted on train
  - LiFi Access points periodically transmits beacons, containing unique identifier (MAC address)
  - LiFi Station detects beacon, based on MAC address location can be determined

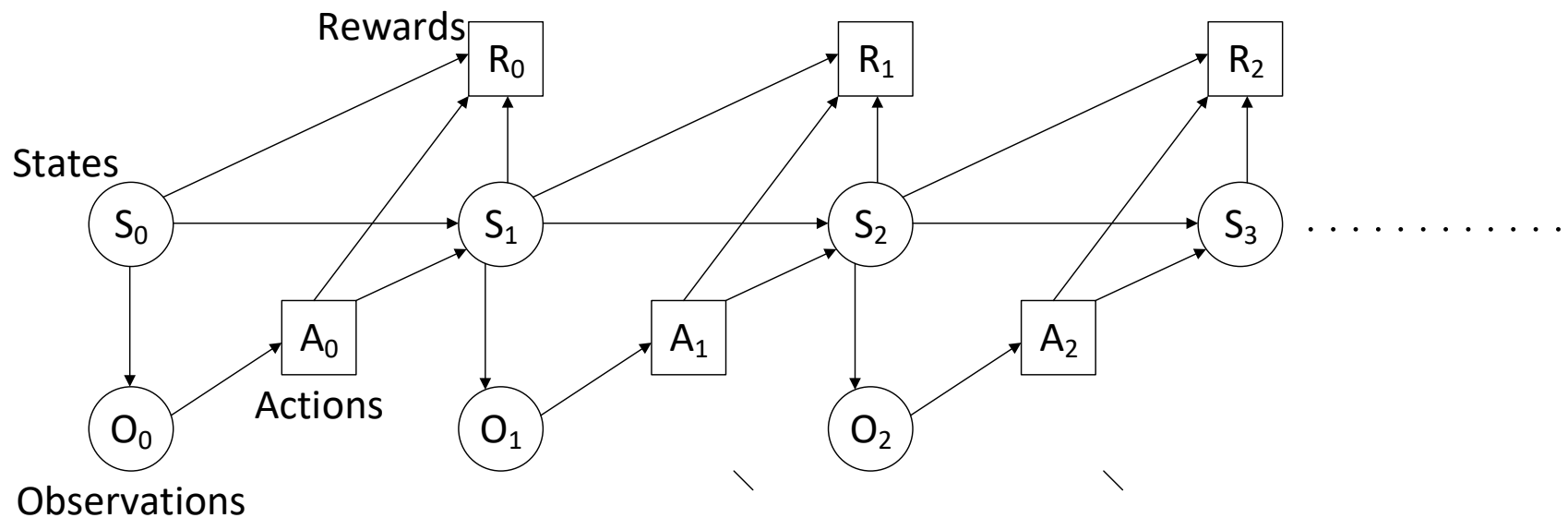




# IN2DREAMS T6.4 - Localisation system prototype

- System architecture
  - Based on pureLiFi's LiFi-XC system
  - 3 Access points, 1 Station
  - Station reporting detected MAC address to MQTT subscriber
- Test results
  - Functional test: Successful
  - Maximum velocity: 400km/h

- Mathematical framework based on Markov Decision Process
- Basic Elements: States (locations), Observations (History), Actions (Acceleration, breaking), Rewards (efficiency score)



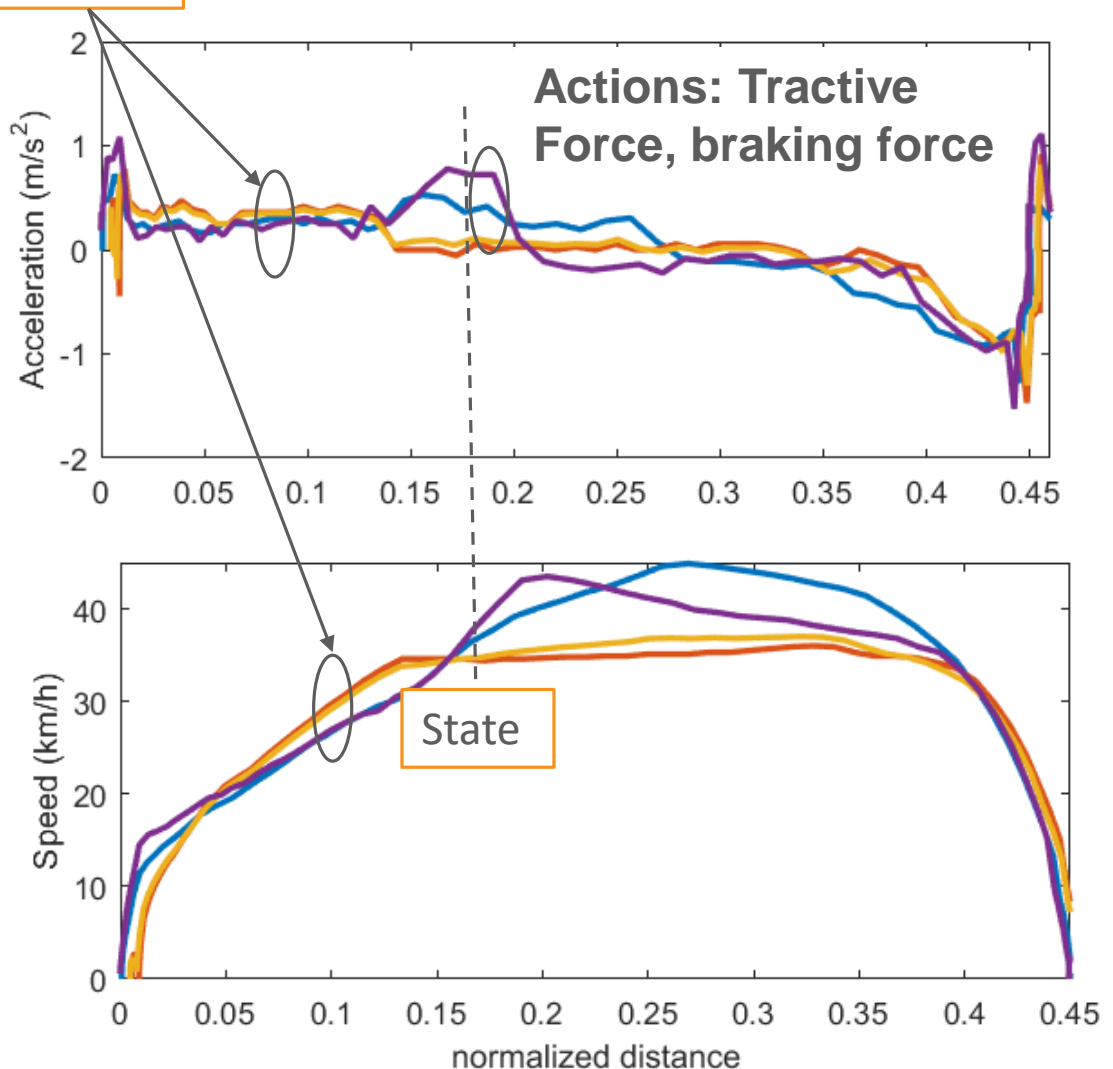
### Observations

**States:** Set of possible locations

**Observations:** Set of history measurements

**Actions:** Tractive effort or braking force.

**Reward:** Expected reward of starting in state  $S$ , doing action  $A$ , and transitioning to state  $S'$ ; i.e. power consumption



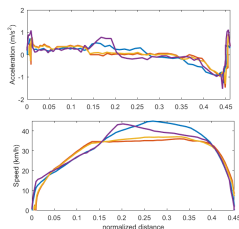
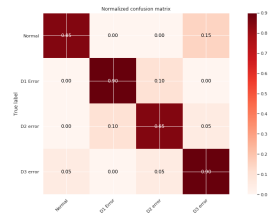
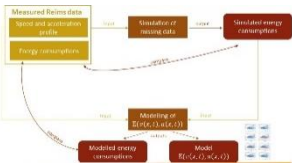
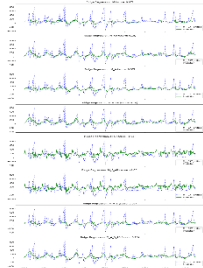
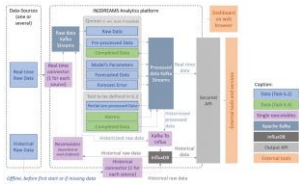
- Analytics platform: based on open source technologies, flexible, secure, ready to run on real-time data feed

- Energy forecasting models for both on-board and track-side data

- Power system modelling to enrich existing but limited datasets

- Implementation of fault detection techniques for anomaly detections for two uses cases

- Development of solutions in line with the “Intelligent Train” paradigm for operations optimization (optimal driving profiles)



Simona Soldi – Evolution Energie – [simona.soldi@evolutionenergie.com](mailto:simona.soldi@evolutionenergie.com)



# Smart contracts for Railway Data Transactions

NADIA FABRIZIO (CEFRIEL)

DIMITAR ANASTASOVSKI (CEFRIEL)

IN2DREAMS Final Conference, Milan, 02.10.2019



# IN2DREAMS Background- why DLT & Smart Contracts?

- Technology is **maturing**
- And is applicable beyond financial use cases
- To tackle the challenge of «**digital trasformation**» in **complex ecosystems**

- Support the experimentation (TRL3) of novel data-driven methodologies in railway context
- Related to Technical Demonstrator 3.6- Dynamic Railway Information Management System (DRIMS).



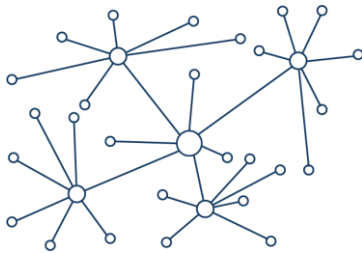
IMPACT	ACTIONS
Capacity improvement by more effective asset maintenance management	<ul style="list-style-type: none"> <li>• Apply Data-driven methodologies</li> <li>• Develop an holistic approach to asset management and decision support including diagnostics and prognostics</li> </ul>
Improved Reliability: by new “intelligent asset management”	<ul style="list-style-type: none"> <li>• reduce unexpected maintenance interventions</li> <li>• increase reliability and availability</li> </ul>
Significant LCC savings	<ul style="list-style-type: none"> <li>• move from reactive and preventive maintenance to prescriptive maintenance based -</li> <li>• -nowcast and forecasting of asset condition and diagnosis</li> </ul>
Improved safety	<ul style="list-style-type: none"> <li>• Reduce of the number and magnitude of incidents will be reduced</li> </ul>



Data and transactions security and safeguarding data ownership rights, in the contract mechanisms

## WP4 Scenarios

- Asset Maintenance



**Cefriel**  
POLITECNICO DI MILANO

**Evolution  
Energie**

## WP5 Scenarios

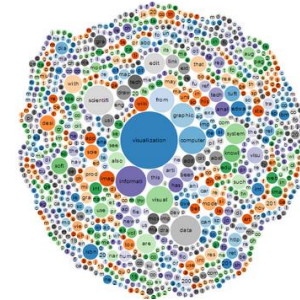
- Restoration Time
- Train Delay Prediction



UNIVERSITÀ DEGLI STUDI  
DI GENOVA

## WP5 Scenario

- Visualization in Control Center



University of  
Konstanz

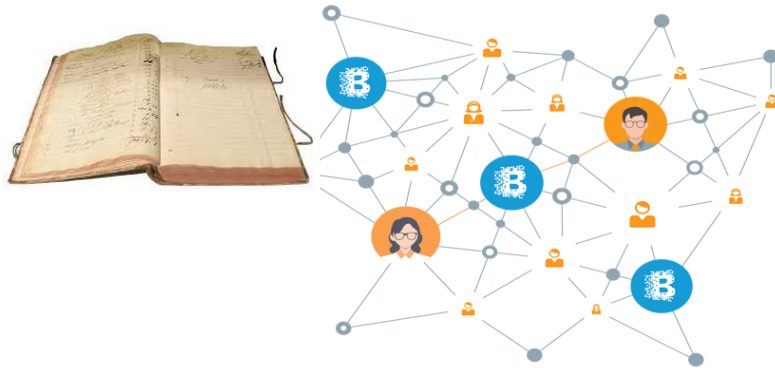


# IN2DREAMS Background: why SMART CONTRACTS?

- Bitcoin and Cryptocurrencies are just applications powered by Blockchain technology
- More, **the applications are not limited to just FINANCE**

**Emerging as a groundbreaking approach**

**Blockchain** = chronologically timestamped ledger of transactions maintained by a collaborative distributed “consensus” mechanism and operating in a distributed network of nodes that keeps track of transactions



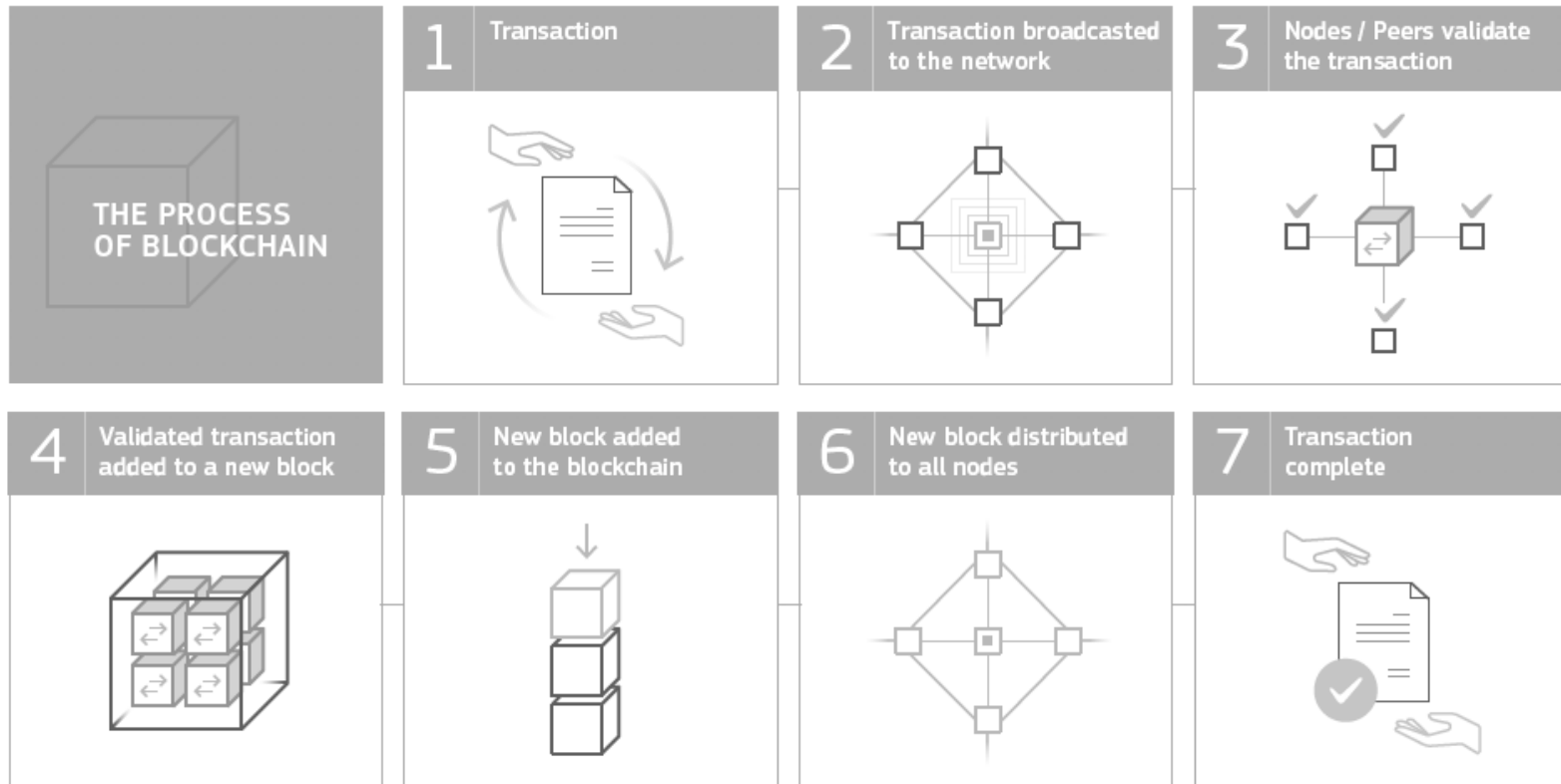
## LEDGER

- The **ledger** is an append only database where information are stored in a **chronological order** with a **timestamping** in an **immutable** way
- Every subsequent block is appended to the previous with an hash pointer

+

## CONSENSUS

- The **consensus** is the key mechanism that replaces the third party in the exchange of an asset or value, preventing double spends
- It is based on **majority of all nodes ( public) or selected nodes ( private)**



• **SC=It** is a piece of software that executes its terms automatically and encodes rules agreed upon by all parties:

- Viewable by all parties
- Decentralized
- Can transfer value
- Can be triggered by events
- Every one in the network can verify
- Has its own address
- It is the owner of the digital asset





Greater transparency



Enhanced security



Improved traceability



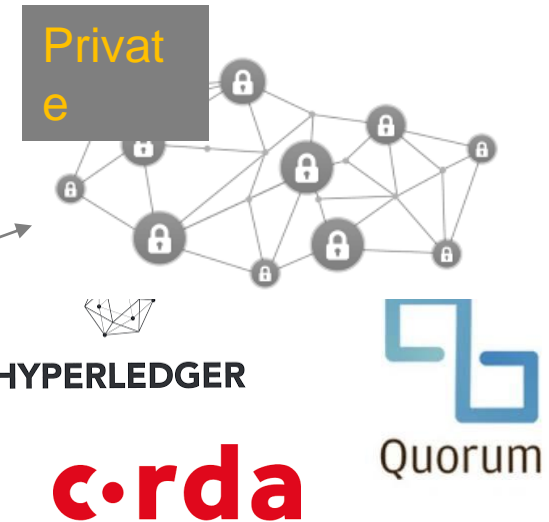
Increased efficiency and speed



Reduced Costs



Enabling effective monitoring and auditing by participants, supervisors, and regulators



- All nodes are **unknown**
- Everybody is free to become a node
- The consensus rely on: incentives ( economic rules) + probabilistic approach (PoW, PoS,..)

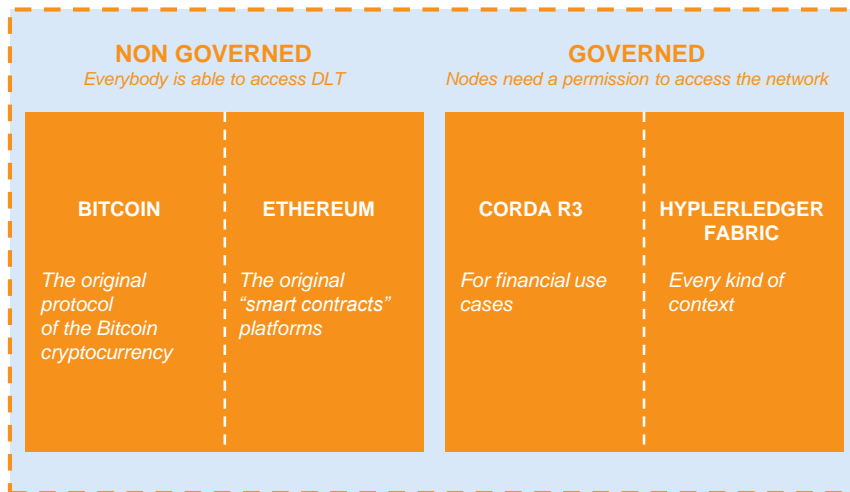
- All nodes are **known**
- Permission is required to become node
- The consensus does not need explicit incentive and reward



## TECHNOLOGY DIVERSITY

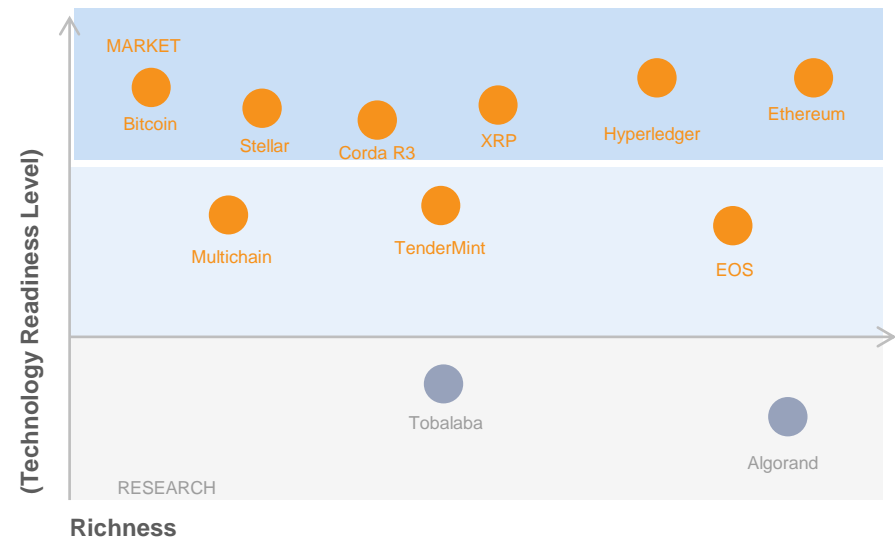
### DLT

*The chronological register of transaction data*








Classificazione-Progetto In2DREAMS CEFRIEL 2018

CEFRIEL'S MAPPING- APRIL 2019



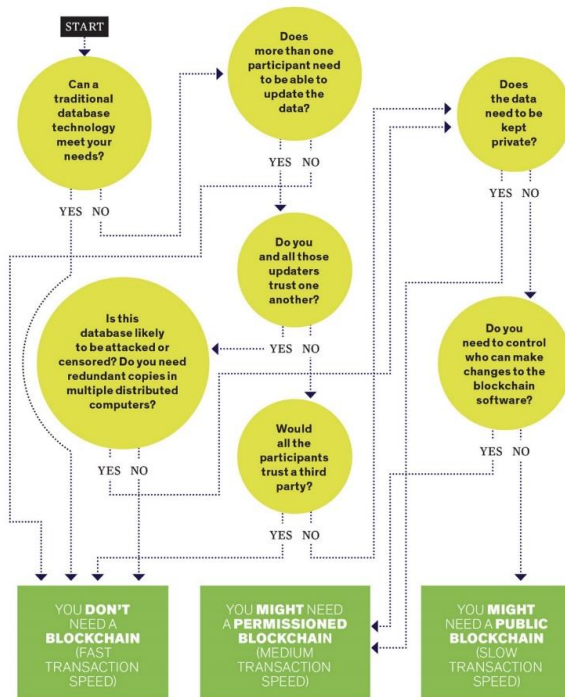
# IN2DREAMS DLT overview of assessment pillars

Self organizing governance	Network is scaling with same performance	Possibility to Create token	Pragmatic interoperability	Data, ledger and participants are encrypted
Agreement by all participants	Acceptable scaling and performance	Token standardization	Semantic interoperability	Compliance regulation
Agreement by certain nodes	Medium number of nodes for scaling	Token exchange	Common data format	Data is encrypted
Centralized governance	Limited amount of nodes for normal performance	Only one Native token	Communication Protocol	Unauthorized access of participants
One governor for the whole network	System failure	There is no token	Stand- Alone	Append-only Data structure
 <b>GOVERNANCE</b>	 <b>SCALABILITY AND PERFORMANCE</b>	 <b>TOKEN</b>	 <b>INTEROPERABILITY</b>	 <b>PRIVACY</b>

BLOCKCHAIN ASSESSMENT © All Rights RESERVED CEFRIEL 2019

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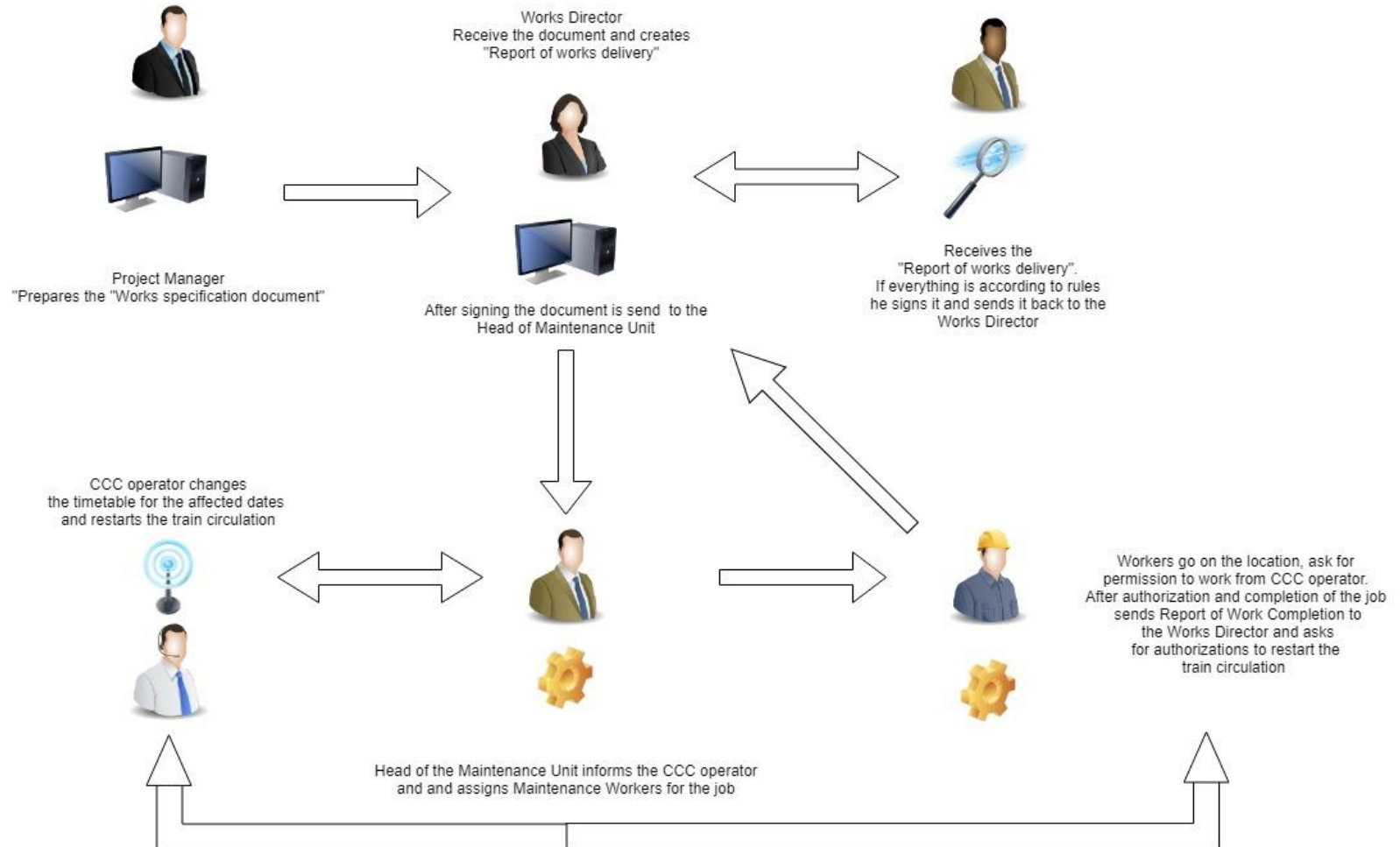
- **Asset Maintenance**-Manage the maintenance jobs workflow through the employment of smart contracts, automatically enforcing the rules and clauses (like SLA) of the maintenance contracts between the IM and the Contractor.
- **Public Procurement**-Employ the blockchain as a notarization platform for public procurements, taking advantage of its immutability property.
- **Data Monetisation**-Monetize owned data creating an ecosystem able to manage the access (and relative payments) to the data of the ecosystem's participants, employing smart contract to manage both the access rights and the payments.
- **Train Path Allocation**-Manage the international allocation of train path through the employment of smart contracts and blockchain to automatize the administrative workflow.



AS IS Legacy systems	TO BE <i>With a «Blockchain back bone» might be ABLE to</i>
<b>Do not guarantee</b> trust across all actors belonging to the "ecosystem"	<b>Trust Enhance and security enhance</b> thanks to crash tolerance and secure timestamping.
<b>Enforce business logic</b> by participants through contracts	<b>Enforce Business logic</b> automation through smart contracts
Enforcing subjective rules making the business nontransparent	Enhanced transparency through ledger auditability
Limited process efficiency due to dated communication methods	Potential efficiency gains in terms of time and complexity

### **Methodology**

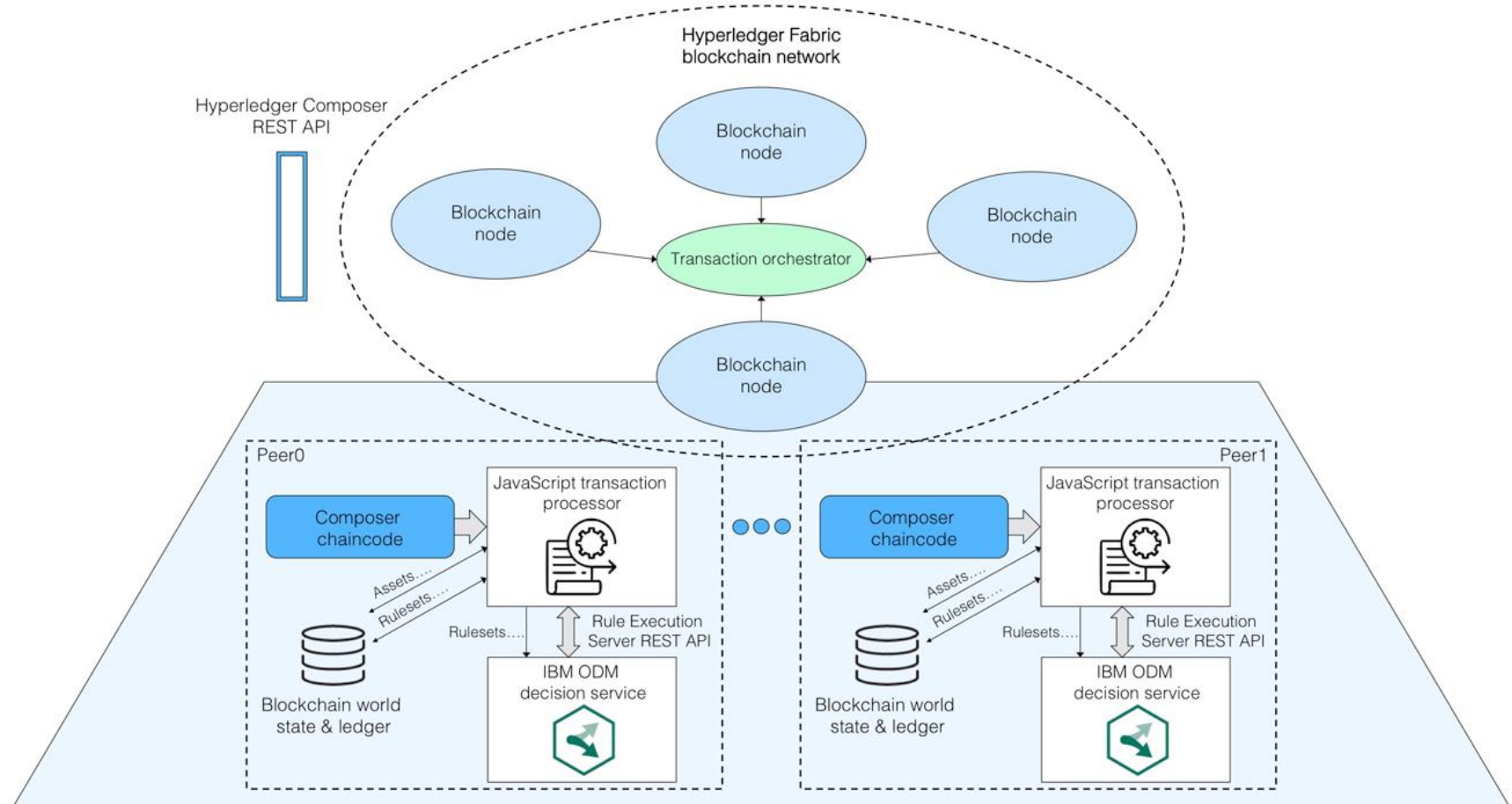
- Evaluation of available DLT framework and analysis of process workflows and bottlenecks
- Proof of concept



# IN2DREAMS App Layer: Functionalities

**Table 5: Features and Roles: requirements**

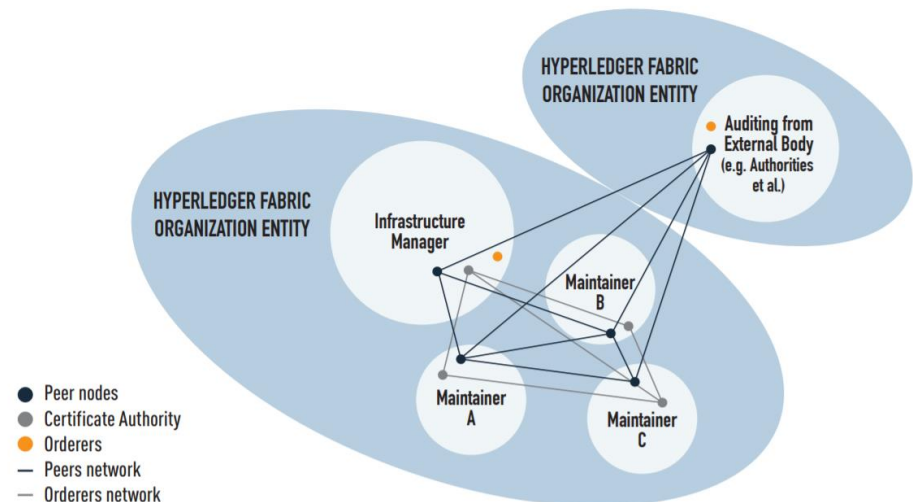
Features	PM	WorkDr	TechDr	CCC	HoM	Worker
Sign in and out, mgm of personal data	x	x	x	x	x	x
Creation of WSD	x					
Creation of RWD		x				
Change Status of WSD	x					
View all WSD in the system	x	x				
Sign RWD		x	x			
Able to view all RWD		x	x	x	x	
Assign workers			x			
Alert CCC			x			
Reschedule				x		
Create prediction document						x





- **Each organization** has **its own peers** and a **Certification Authority (CA)**.
- **Each CA** acts as a **Membership Service Provider (MSP)**
- The **network is globally administrated by the IM**
- Both the ledger and **the chaincodes** (smart contracts in Hyperledger Fabric) are replicated on every peer connected to the channel, providing redundancy of the data.
- The **ordering service** will be provided by a single orderer on a first testing phase, to be later developed to a crash tolerant Kafka cluster [1] on a later stage.

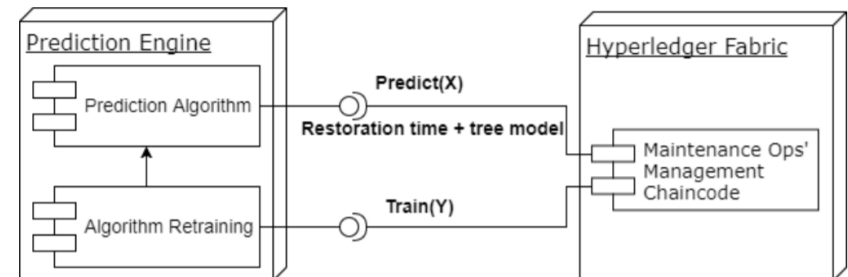
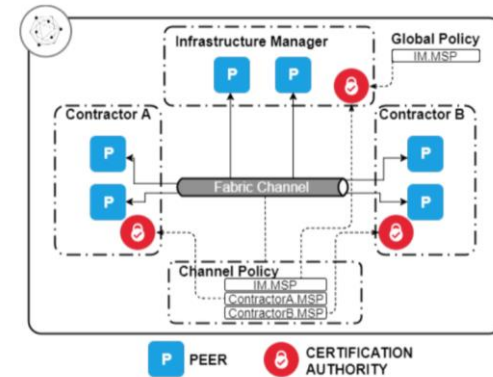
## Network detail view

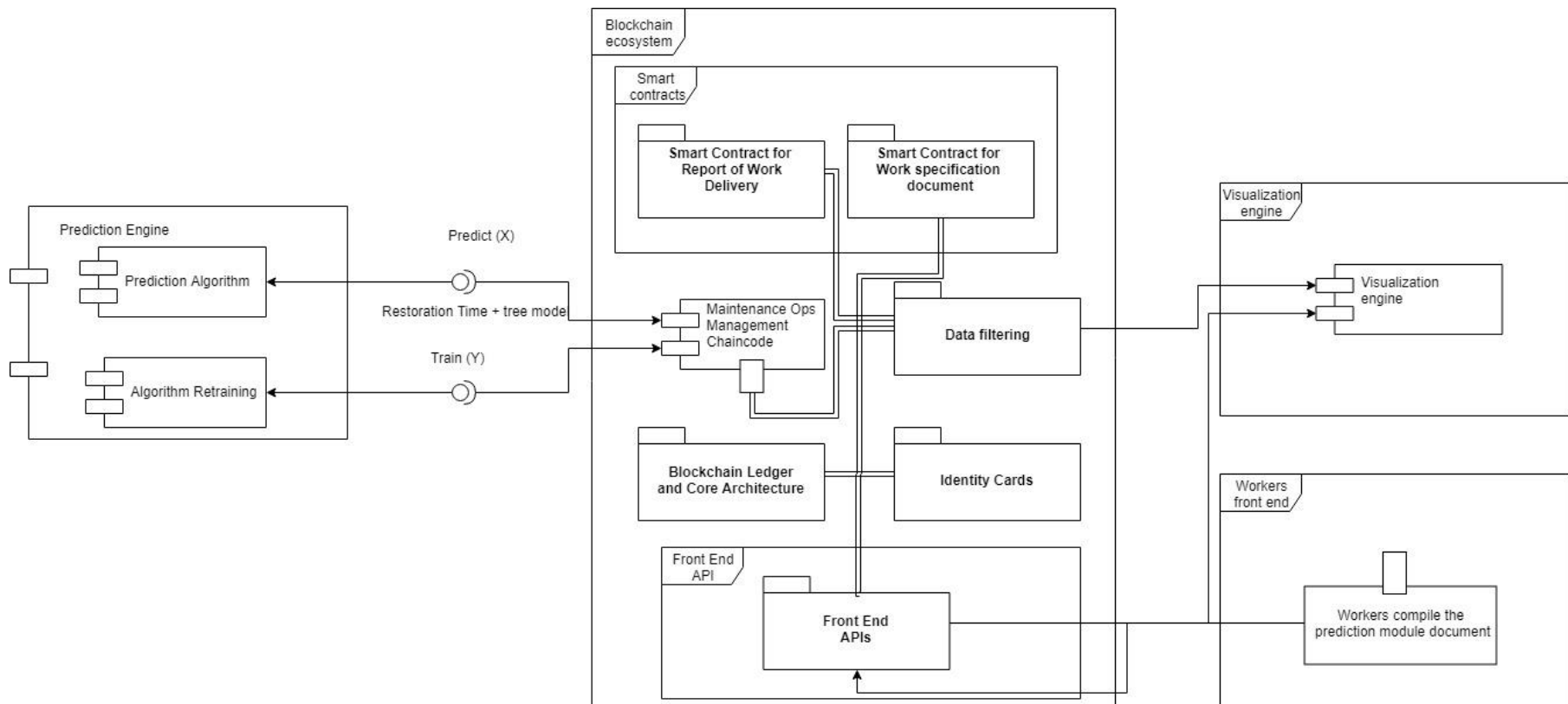


# IN2DREAMS Blockchain + Prediction Engine combined

Big Data and Distributed Ledger Technologies for Railway Maintenance

- **The prediction engine** needs to be able to automatically retrain (and therefore modify) the prediction algorithm, but chaincodes can only be updated manually.
- **The interconnection** between the two components will be developed through two REST APIs





The image displays three screenshots of the IN2DREAMS web application interface.

**Top Left Screenshot:** Shows the main dashboard with the IN2DREAMS logo and navigation tabs: Assets, Participants, and Transactions. A dropdown menu is open under the Transactions tab, showing options: WorkSpecificationDocument, ReportOfWorksDelivery, and Prediction. Below the navigation is a banner image with the text: "IN2DREAMS Intelligent solutions toward the Development of Railway Energy and Asset Management Systems in Europe".

**Top Right Screenshot:** Shows a form for creating a new WorkSpecificationDocument. The form includes fields for ID, Description, Location, Time, StartDate, and EndDate. A SafetyCheck section is also present, with radio buttons for documentStatus: OPEN, ASSIGNED, CLOSED, and REPORTED. A "Create Item" button is visible on the right.

**Bottom Right Screenshot:** Shows a section titled "safetyCheck" with a message: "When involved, this transaction will have the following attributes: workSpecificationDocument". A "Create Item" button is visible at the bottom.

- Testing
  - Network-Benchmarking ( Caliper)
  - Application –SC ( stress test, unit test)
- Trial and Survey
  - User Exp
  - Business aspects/process

Contract No. 777596

**Table 6: Summary results from the two test rounds**

Test	Name	Succ	Fail	Send Rate	Max Latency	Min Latency	Avg Latency	Throughput
1	in2dreams	25	0	8.3 tps	1.00 s	0.37 s	0.67 s	7.2 tps
2	in2dreams	50	0	13.2 tps	3.03 s	0.43 s	1.69 s	9.9 tps

**Table 7: Round 0 results of performance testing with Caliper**

Type	Name	Memory(max)	Memory(avg)	CPU(max)	CPU(avg)	Traffic In	Traffic Out	Disc Read	Disc Write
Process	node local-client.js(avg)	-	-	NaN%	NaN%	-	-	-	-
Docker	dev-peer0.org2..	99.7MB	99.7MB	0.01%	0.00%	0B	0B	0B	0B
Docker	dev-peer0.org1..	99.3MB	99.3MB	2.68%	0.89%	0B	0B	0B	0B
Docker	dev-peer0.org2..	82.0MB	74.3MB	30.19%	15.41%	378.8KB	355.8KB	0B	0B
Docker	dev-peer0.org1..	78.0MB	74.2MB	37.26%	27.44%	382.0KB	351.9KB	0B	0B
Docker	peer0.org2..	412.8MB	412.0MB	20.01%	13.73%	1.4MB	3.1MB	0B	456.0KB
Docker	peer0.org1..	374.6MB	345.0MB	29.96%	23.45%	1.4MB	3.1MB	0B	456.0KB
Docker	orderer.in2dreams.com	14.1MB	13.5MB	5.82%	3.34%	392.5KB	742.7KB	0B	432.0KB
Docker	ca.org1.in2dreams.com	9.9MB	9.9MB	0.00%	0.00%	0B	0B	0B	0B
Docker	couchdb.org1.example.com	104.8MB	103.6MB	51.18%	45.85%	384.9KB	590.1KB	0B	512.0KB
Docker	ca.org2.in2dreams.com	9.4MB	9.4MB	0.00%	0.00%	0B	0B	0B	0B
Docker	couchdb.org2.in2dreams.com	105.9MB	104.6MB	42.28%	30.55%	409.8KB	625.8KB	0B	524.0KB

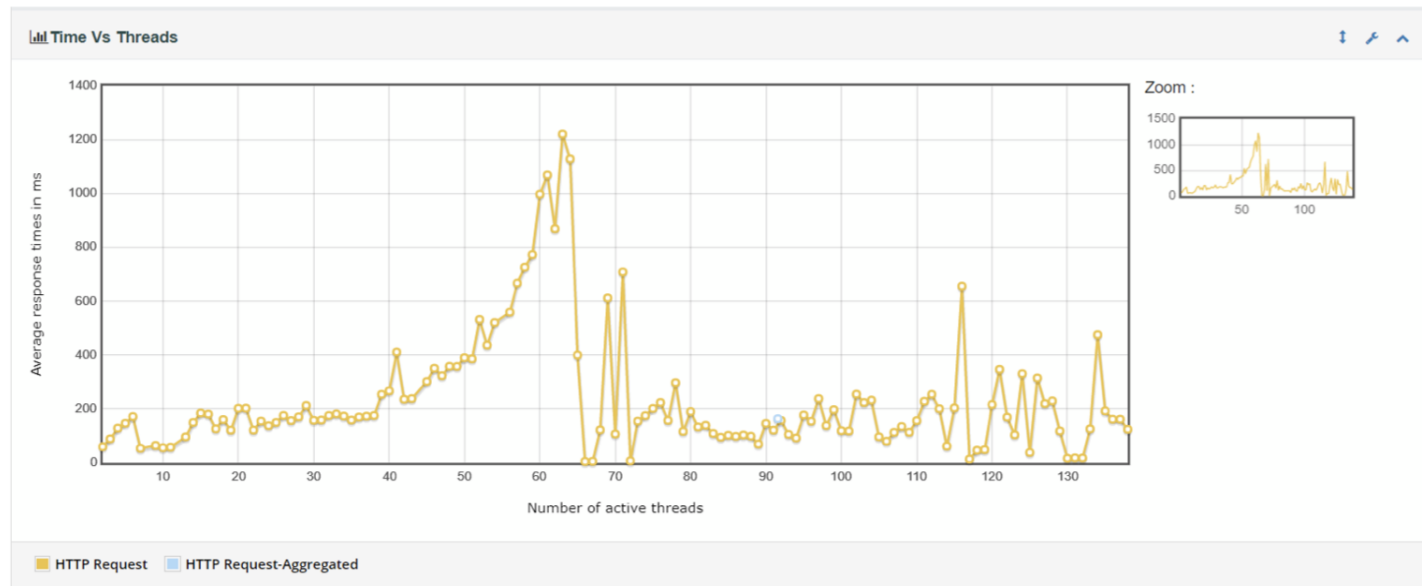


Figure 16: Average response Time of HTTP requests over Number of active threads



# IN2DREAMS Survey Results (after trial on field)

it does not allow the revoking of signature, and the data are checked during the process but not at the input phase.

Needs for developing a more friendly and friendly user interface;

Need for including in the process the tracking and registering of physical assets.

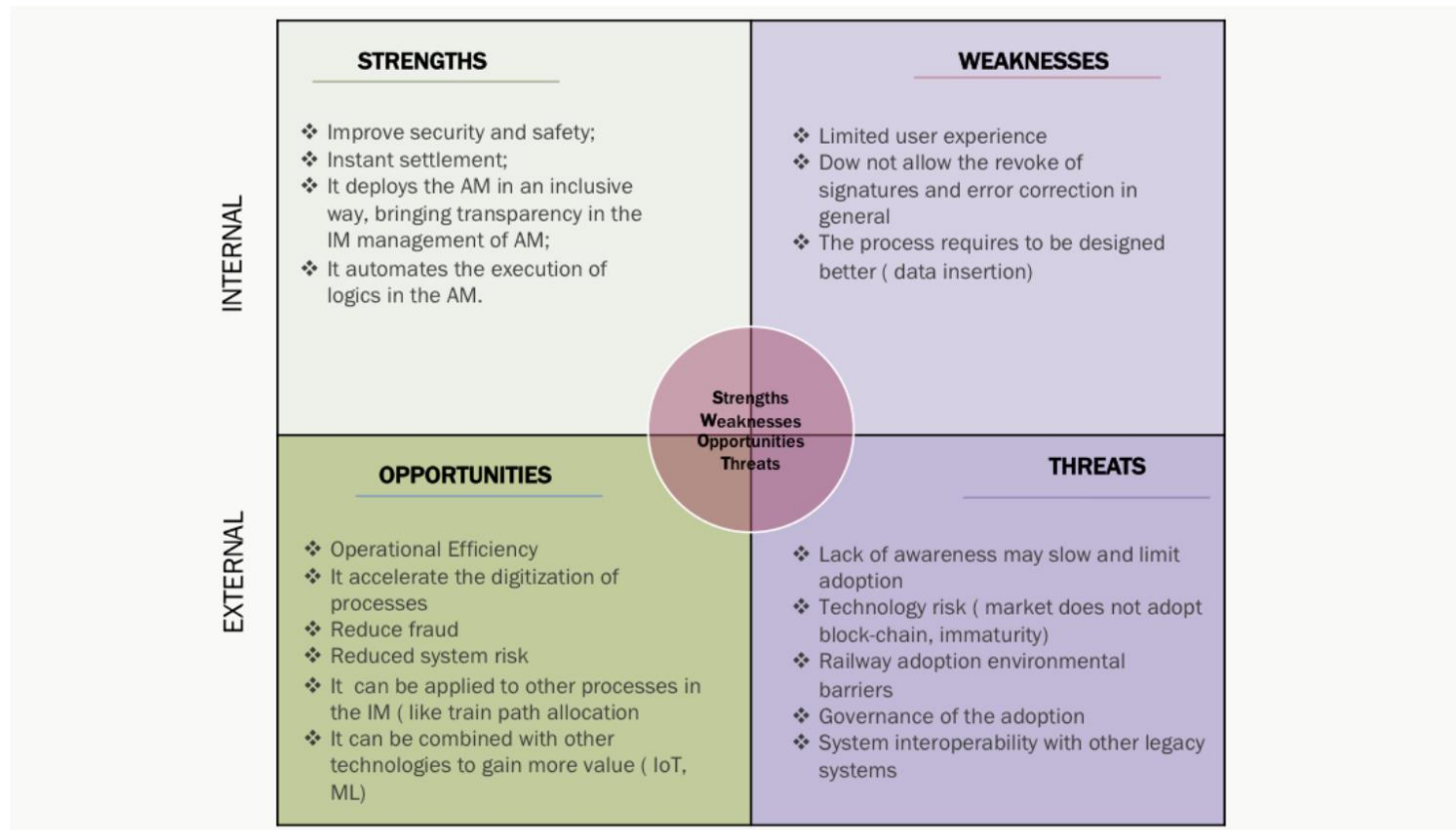


Figure 18: SWOT table of the final analysis of the PoC

- NETWORK
  - performance, scalability, integration and interoperability
- APP LAYER
  - revocation
  - data insertion
  - ux

**NOW (CONTEXT OF IN2DREAMS)**

## IMPROVE &amp; ENHANCE

- Complement the digital transformation processes; transformation processes
- Simplify ownership tracking and certification of processes
- Allow the actors to build an ecosystem where they can exchange value without setting up complex governance rules before.

**IN THE FUTURE**

## NEW MARKETS MODELS

- Create new business models;
- New economic models in parallel with existing.

In this context, the PoC for SC has revealed that this approach can contribute to innovation of IM processes for **one main reason**:

It slows **down cost** for programmed AM life-cycle **while** maintaining, even not increasing, the **safety** of the process.

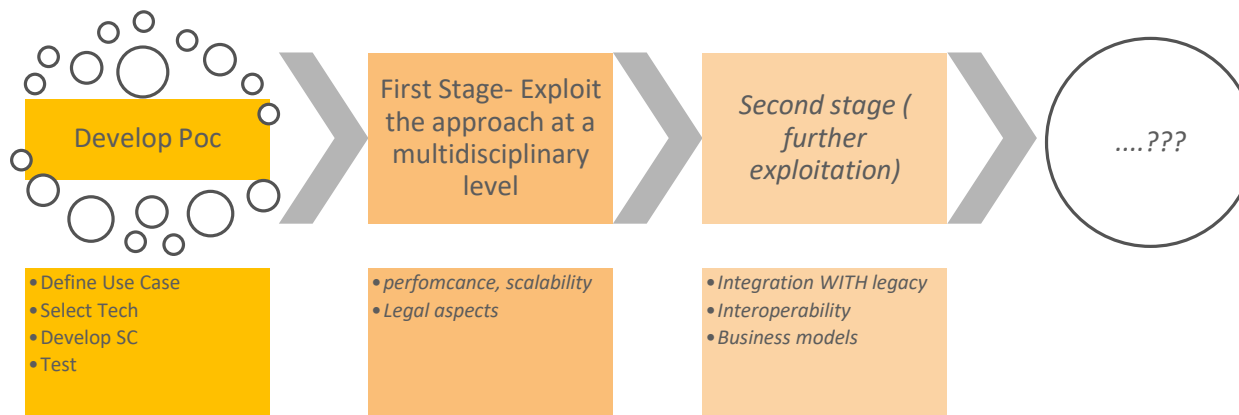
The IM context is paradigmatic for application of DLT, since of its intrinsic complexity

**Many actors**, many roles, different systems not integrated ( silos), need to improve efficiency and automation **but** well defined and stable procedures

- **There is space** in the Railway Ecosystem, beyond cryptocurrencies and financial applications, to continue and try the «decentralization paradigm»
- The **potential opportunities** and challenges of deploying blockchain technology in AM and IM are **strongly related to sectorial issues** of enhancing safety, digital transformation, and simplification.
- The technology is still at the **embryonic stage AND THE** challenges ARE :
  - *performance and scalability,*
  - *integration with legacy infrastructures,*
  - *interoperability between different blockchains.*



- The required approach FOR continuing the work has to be multidisciplinary since it has implication on rules, trust, economic aspects.



# IN2DREAMS

Knowledge extraction from Railway Asset Data

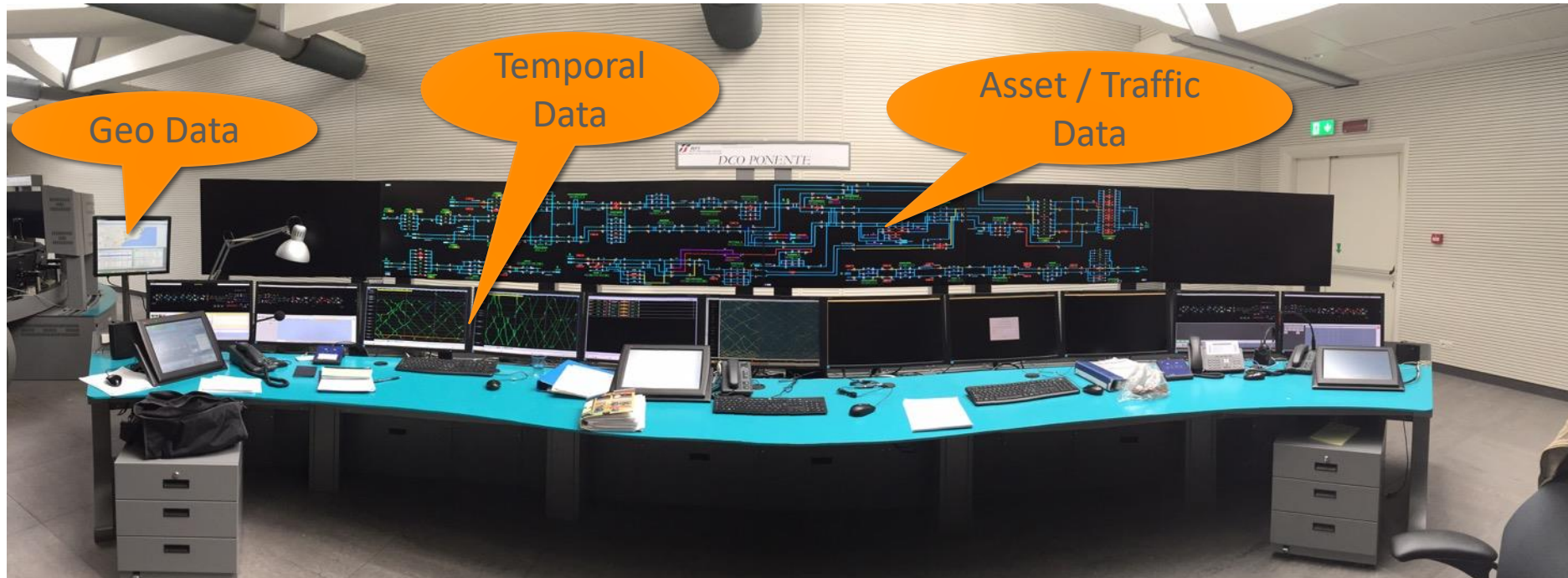
DAVIDE ANGUITA (UNIVERSITY OF GENOA)

IN2DREAMS Final Conference, Milan, 02.10.2019



- UNIGE - UNIVERSITÀ DEGLI STUDI DI GENOVA
- EE - EVOLUTION ENERGIE
- CEF - CEFRIEL
- KUL - KATHOLIEKE UNIVERSITEIT LEUVEN
- UKON - UNIVERSITÄT KONSTANZ
- RFI - RETE FERROVIARIA ITALIANA

- IN2DREAMS-WS2 will concentrate on defining IT **solutions and methodologies for business-secure decision support** in the field of **data processing and analytics** for railway asset management.
- The general aim is to study and proof the application of **smart contracts** in the railway ecosystems, by addressing also **legal and regulatory** implications, and advanced **visual and rule-based data analytics**, including **metrics for performance assessment**.



Courtesy of RFI

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 PRANAV DAR , JANUARY 18, 2018 /  3

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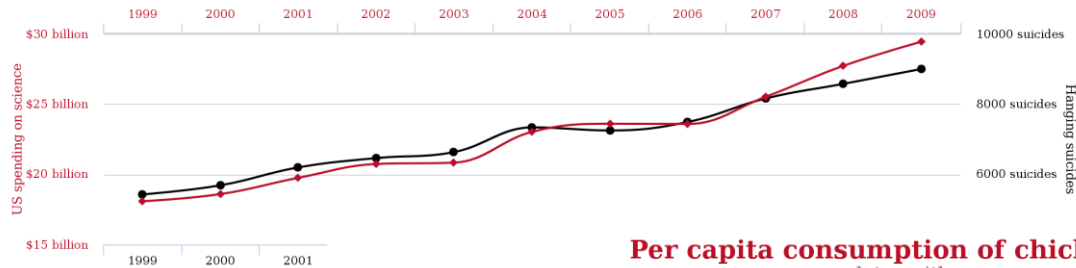
## «How Far Can We Trust Big Data Analytics?»

Mike Ames, Director of Analytics, SAS

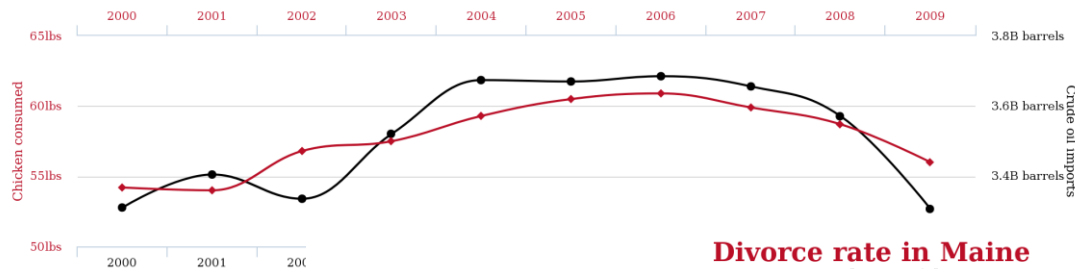
Nigel King, Vice President of Applications Development, Oracle

**Big Data Analytics Conference**, Tuesday, October 21, 2014, San Jose, California 95113, United States

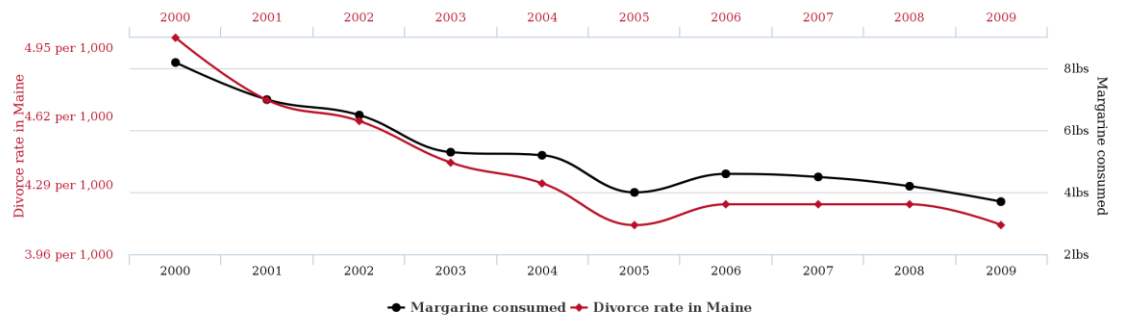
## US spending on science, space, and technology correlates with Suicides by hanging, strangulation and suffocation



## Per capita consumption of chicken correlates with Total US crude oil imports



## Divorce rate in Maine correlates with Per capita consumption of margarine



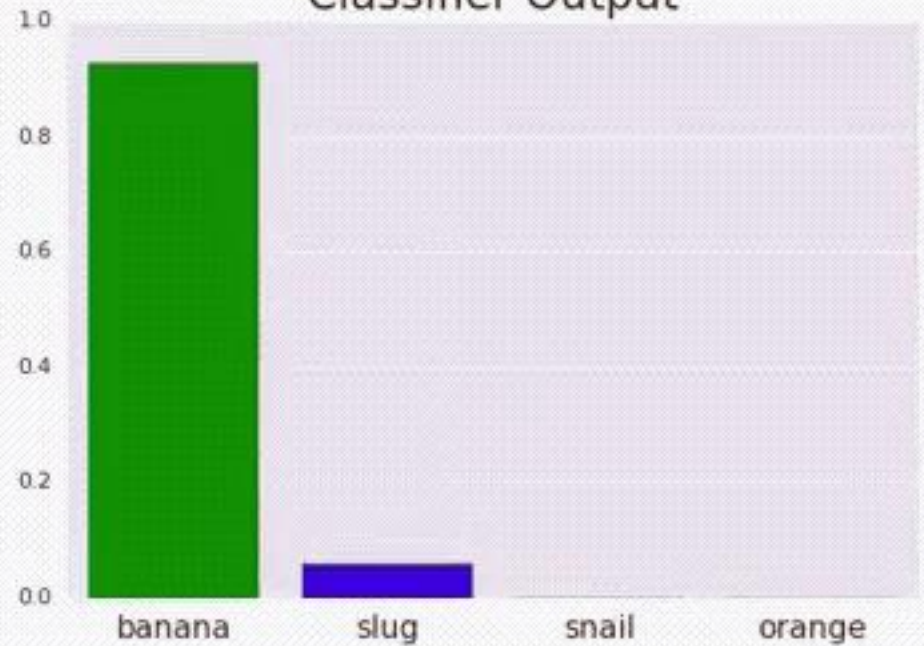
Source: Spurious Correlations



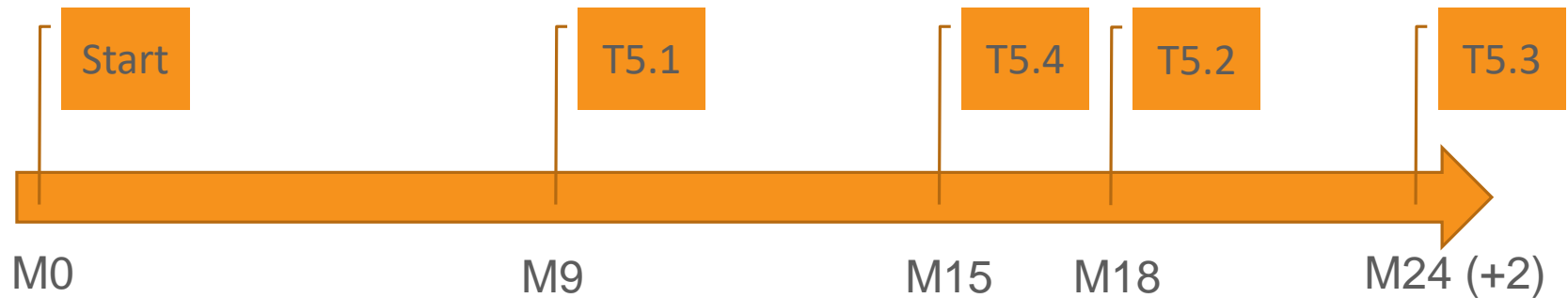
Classifier Input



Classifier Output



- IN2DREAMS-WS2 will concentrate on defining IT **solutions and methodologies for business-secure decision support** in the field of **data processing and analytics** for railway asset management.
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- T5.1 - Definition of data analytics scenarios
- T5.4 - Methods for rule-based and visual analytics knowledge extraction
- T5.2 - Metrics and tools for data analytics algorithms assessment
- T5.3 - Development and Testing of knowledge extraction solutions

- **Definition of data analytics scenarios**
- **Objective:** definition of a **set of analytics scenarios** that will be used as **case studies** for the development of data analytics algorithms and metrics for their assessment
- **Result:** 7 analytics scenarios identified

**CROSS-SCENARIOS**

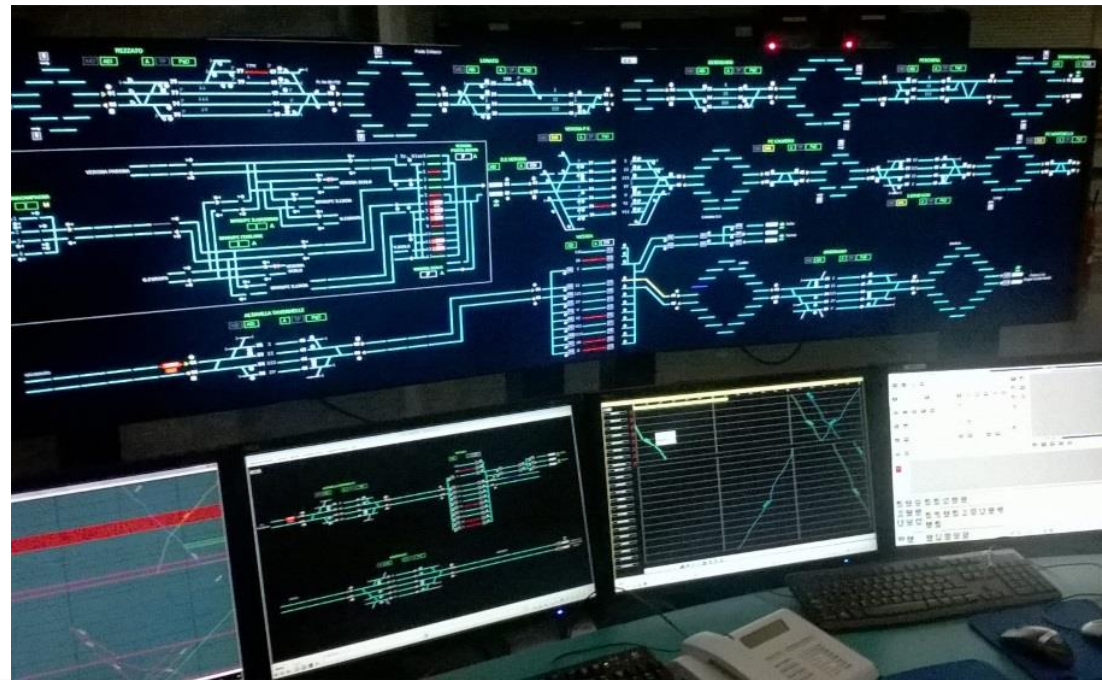
1. Visual analytics in Control Center
2. Marketplace of Data and Data Monetization (WP4 IN2DREAMS and IN2SMART connections)

**SPECIFIC SCENARIOS**

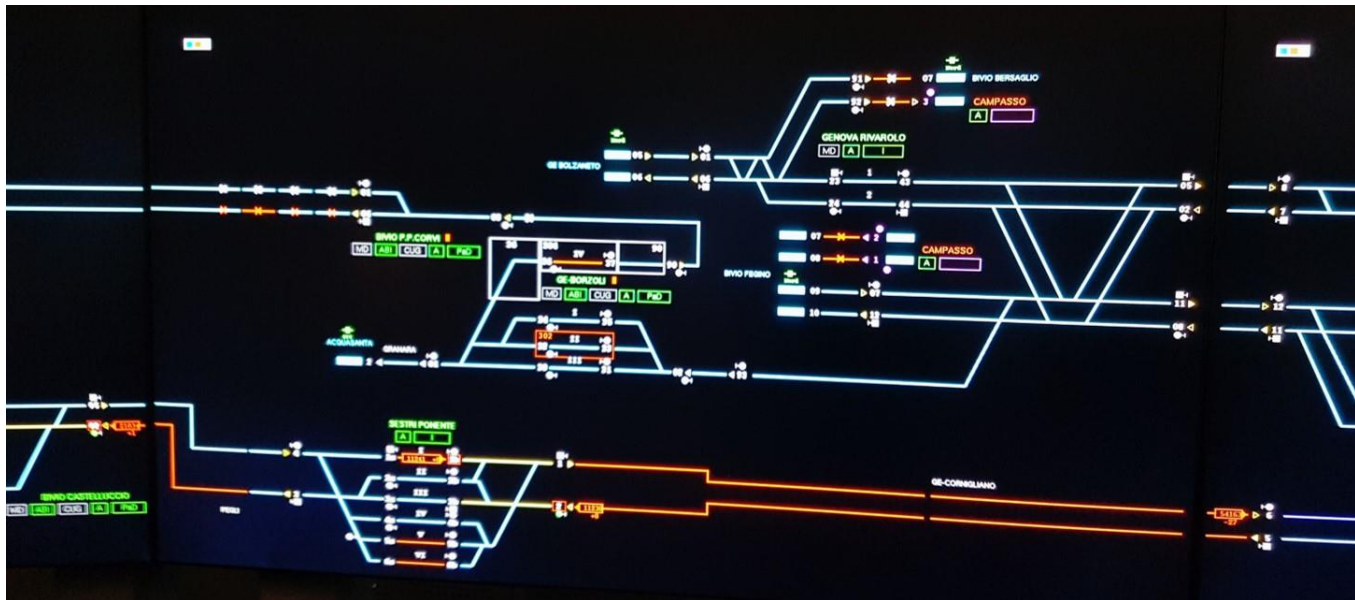
3. Track Circuits state monitoring (ASTS as advisor from IN2SMART)
4. Train Delays and Penalties (extension of IN2RAIL)
5. Restoration Time (STRUKTON as advisor from IN2SMART)
6. Switches (STRUKTON as advisor from IN2SMART)
7. Energy Consumption (from WS1)

## Improving Information Visualization and Operator Interaction in the Railway Control Center

- Information already displayed
- Operators interaction
- Result of Data Driven Models
- Result of Rule Extraction Methods
- Quality of the predictions



1. Conflict resolution
2. Alert Management and prioritization
3. Awareness of the operator





# Marketplace of Data and Data Monetization

Blockchain



Data Lakes





- **Background**

- Blockchain allows the creation of a “marketplace” of the data inserted/exploited in data lakes by the different actors

- **Goals**

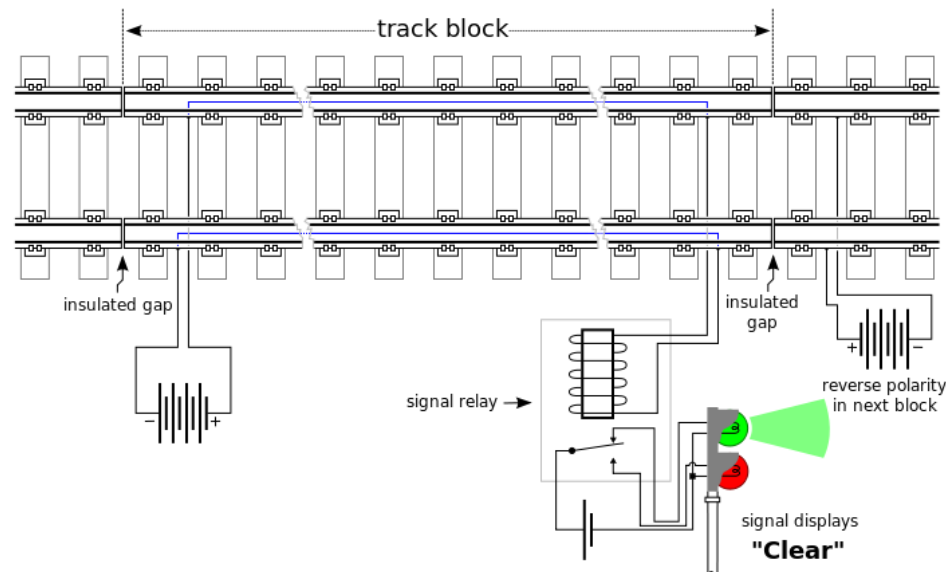
- Use the Blockchain for certifying the access/injection/use of the data (WP4)
- Use of the Smart Contract to associate a value to the data based on IF-THEN-ELSE rules (WP4)
  - Payments with Bank
  - Payments with Cryptocurrencies
- **Insert predictive models inside the Smart Contract (WP5)**
  - historic archive of transactions -> automatic evaluation of value of a new datasource or future value of an already available source of information
  - Estimate the quality of the predictive model

- Too futuristic for actual POC

- Worth exploring (WP4 & WP5) for potentially disruptive impact on B2B data “marketplace” creation

## Track Circuit State Monitoring (from IN2SMART)

- TC are used to detect the occupation of a section of the track
- Problem: false occupation, failure, etc.



## Train Delay forecasting

- Developing interpretable models
- Handling change in the timetable
- Taking into account the costs of a delay (e.g. misprediction -> penalties)



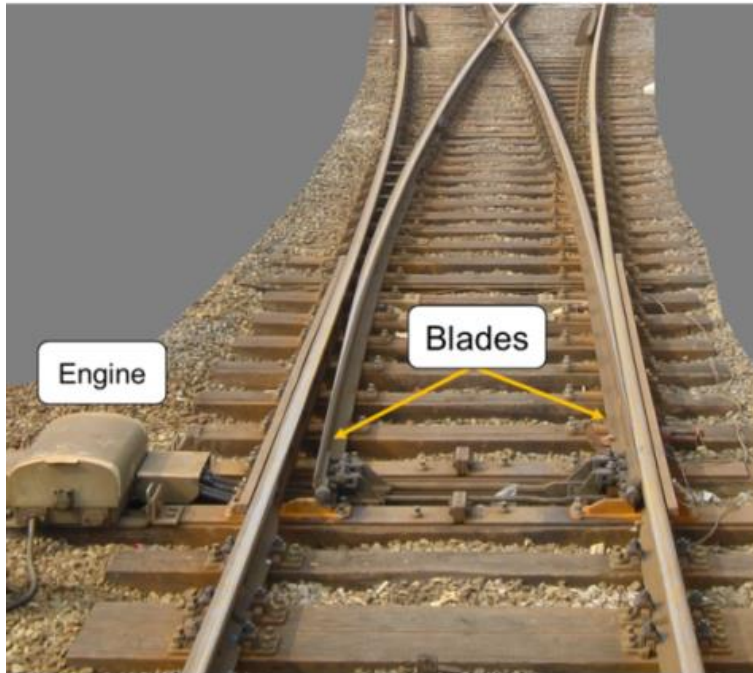
TREND	DESTINAZIONE	ORARIO	RIT	BIN
EXP 900	TORINO P.N.	03:25	310'	.
EXP 1616	MILANO P.GAR	03:43	245'	7
IC 780	MILANO C.LE	04:12	250'	.
IC 784	MILANO C.LE	05:45	160'	.
EXP 906	TORINO P.N.	06:18	200'	.
EXP 1646	MILANO C.LE	06:33	135'	.
EXP 926	MILANO C.LE	07:00	225'	.
EXP 1576	MESTRE	07:05	120'	.
REG 11520	S.PIETRO C.	07:21	25'	11

## Prediction of Restoration Time (Maintenance)

- **Information collection:**
  - **Weather condition:** data retrieved from the national weather services;
  - **Maintenance/Repair actions:** historical datasets about maintenance/repair activities, including their duration;
  - **Malfunctions:** historical datasets about failures/malfunctions.
- **Goal:** estimating the time needed to restore the asset in operational conditions.



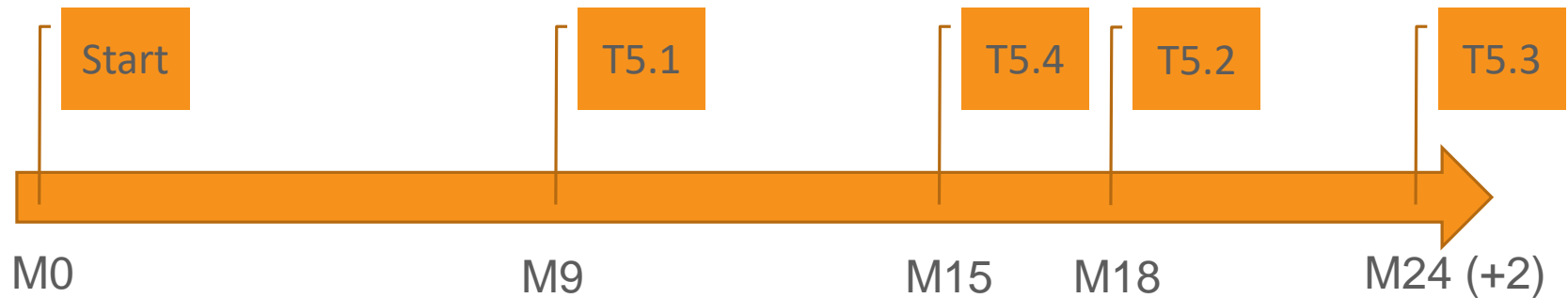
## Prediction of Switch failure



- Complex electro-mechanical system
- Exposed to harsh conditions
- POSS:
  - SR monitoring system
  - Engine current curve during switch movement
- Not all failures can be detected
- Weather conditions data

## Train energy consumption model

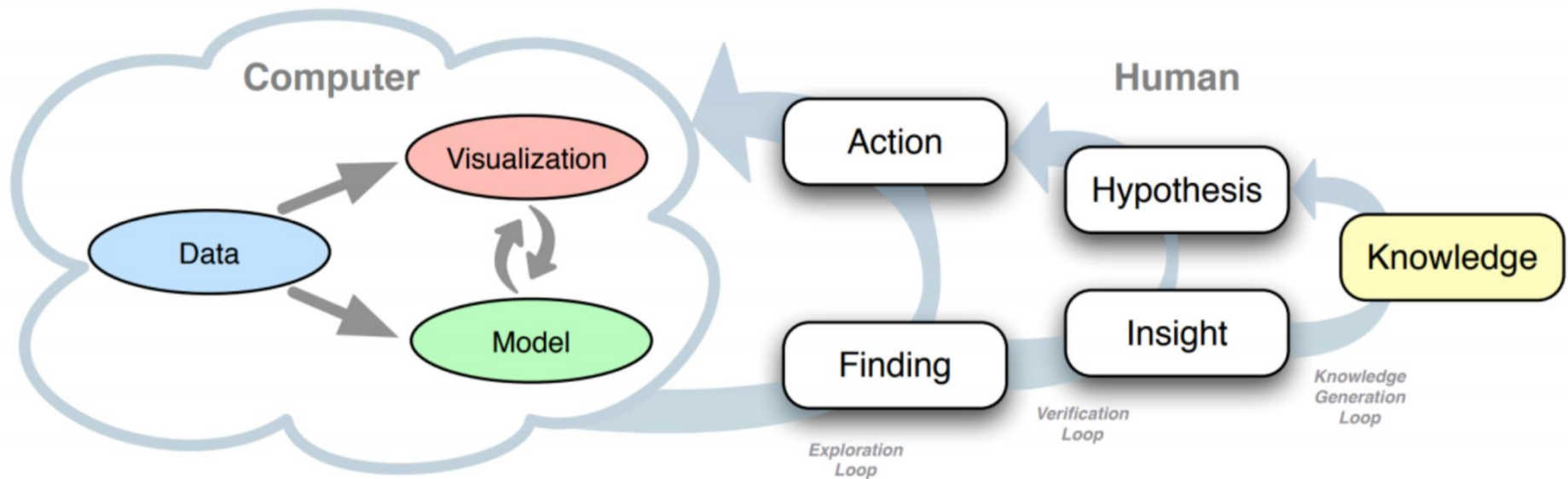
- **Goal:** building an interpretable and reliable data-driven train power consumption forecast model and use this prediction to compare the results to the real data.
- **Impact:** better understanding of the system and better management of energy.



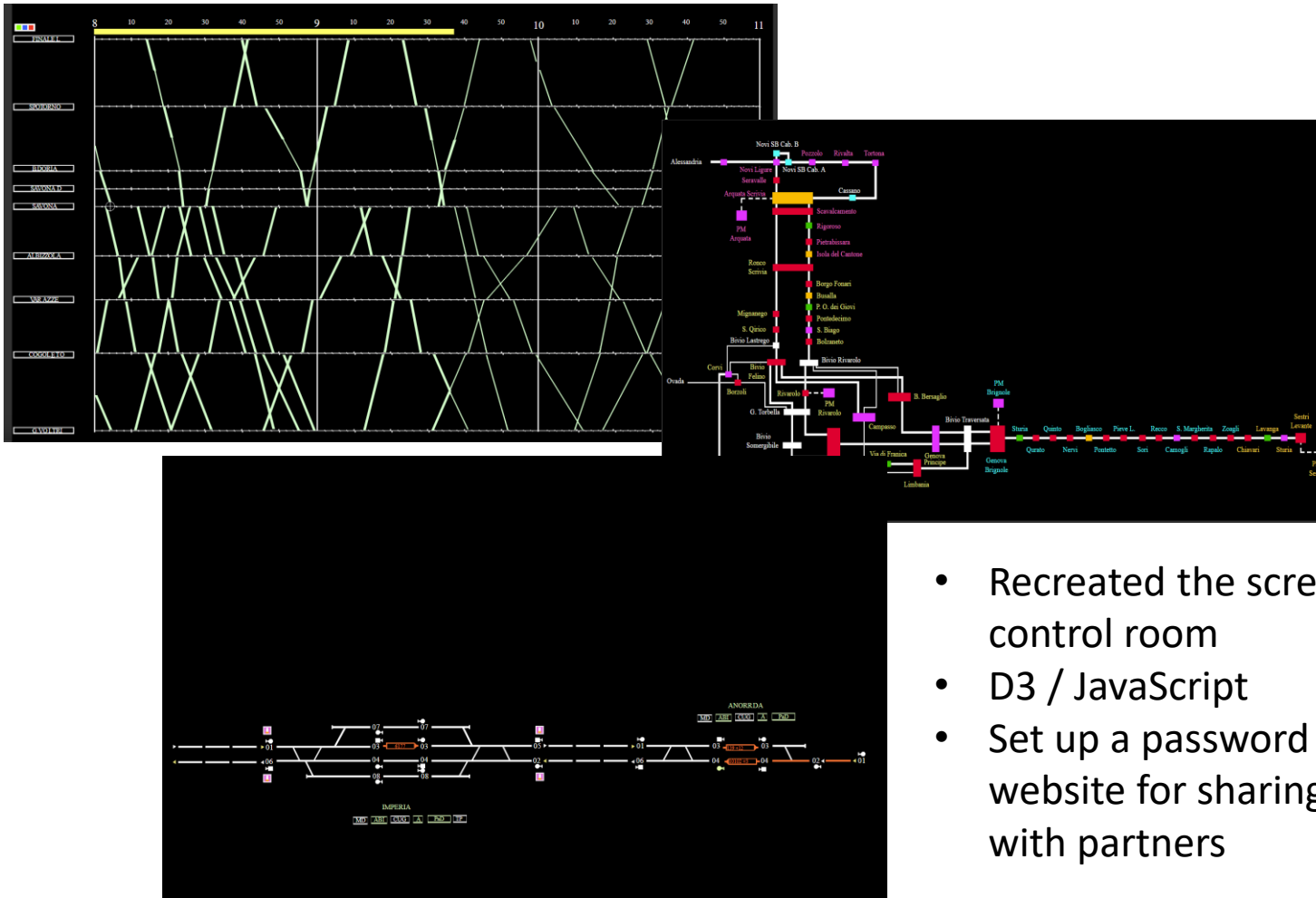
- T5.1 - Definition of data analytics scenarios
- T5.4 - Methods for rule-based and visual analytics knowledge extraction
- T5.2 - Metrics and tools for data analytics algorithms assessment
- T5.3 - Development and Testing of knowledge extraction solutions

- **Methods for rule-based and visual analytics knowledge extraction**
- **Objective:** enhance the capability of **understanding information content** included in (railway asset) data
- **Results:** innovative application of **visual analytics** tools, innovative application of **rule-based analytics** tools

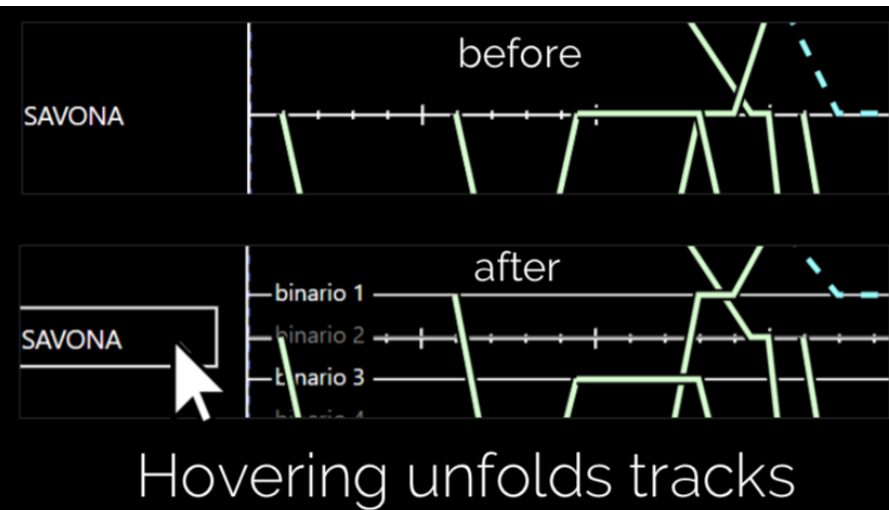
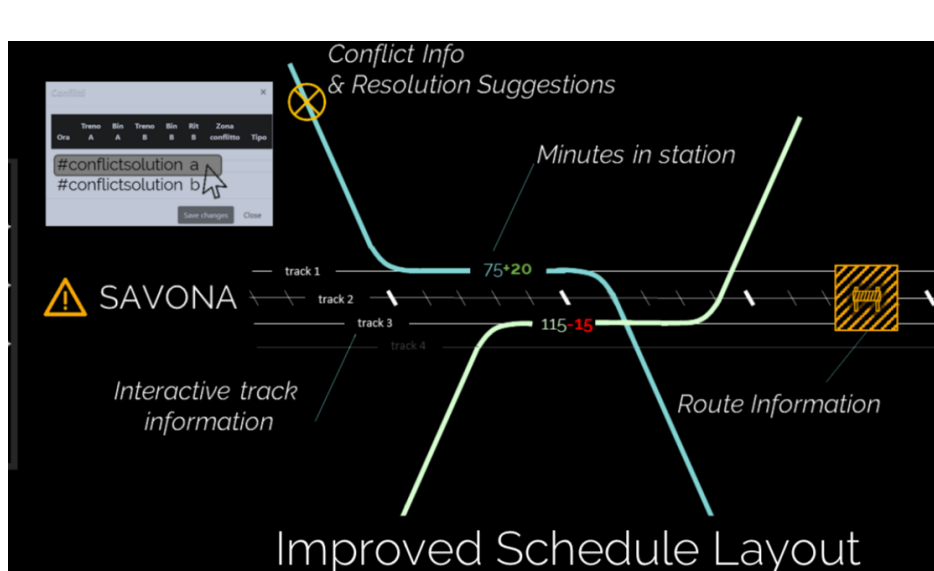
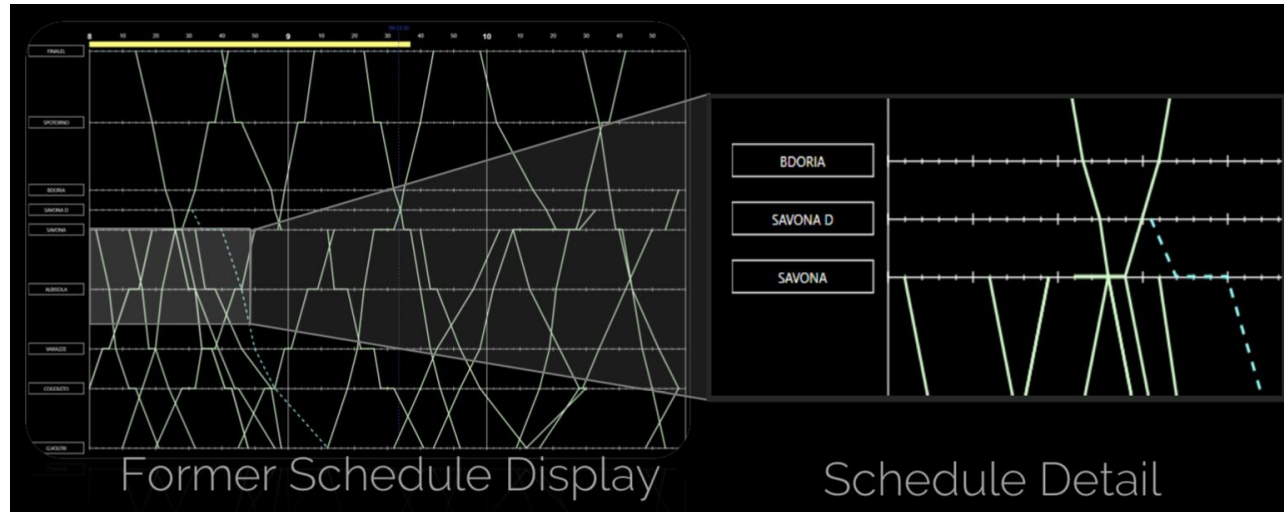


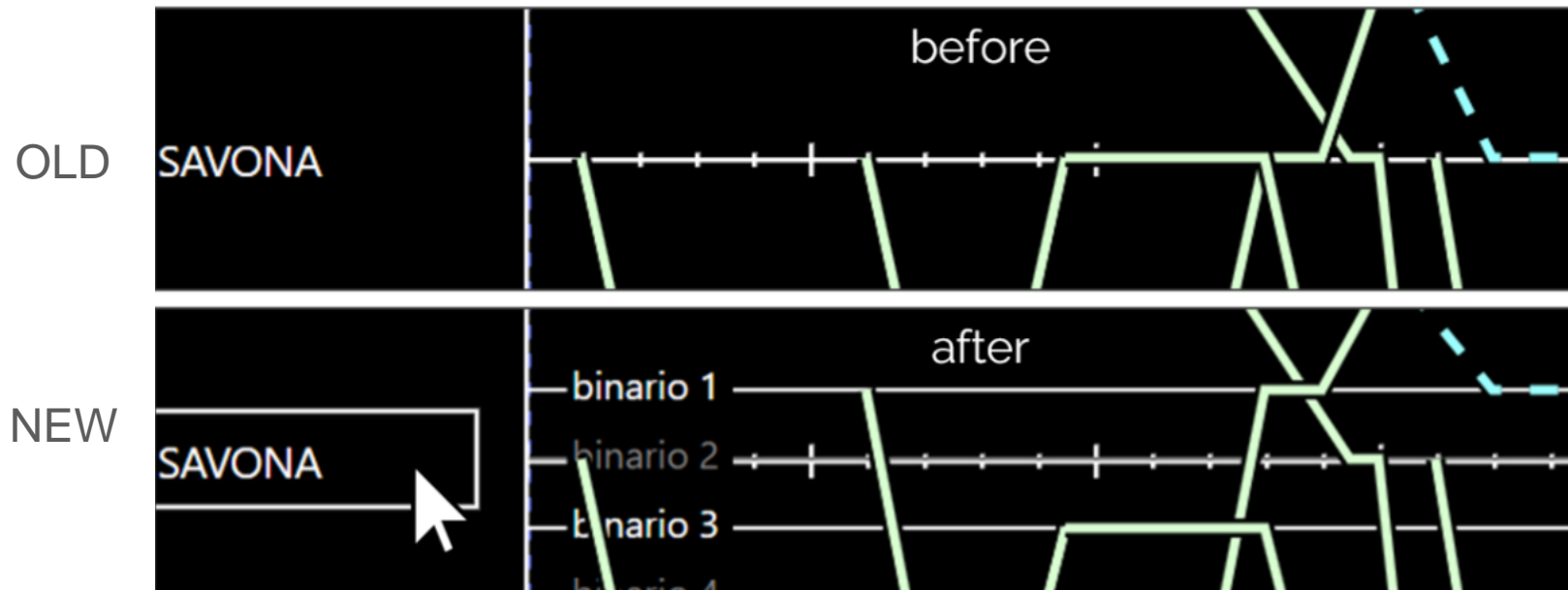


Courtesy of University of Konstanz



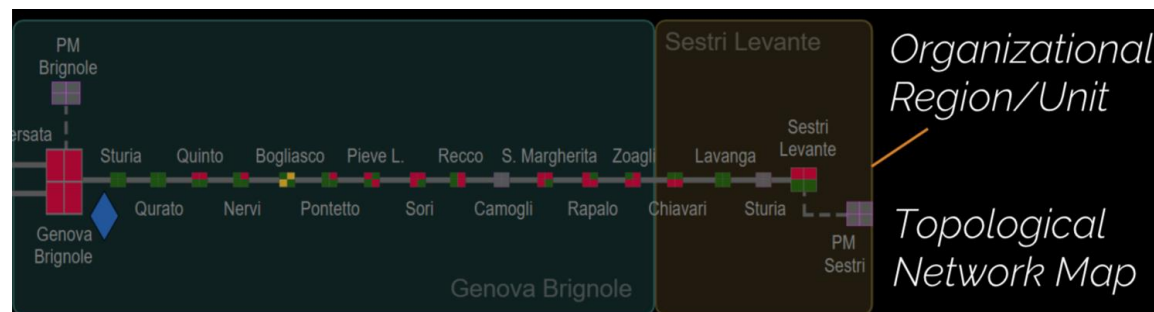
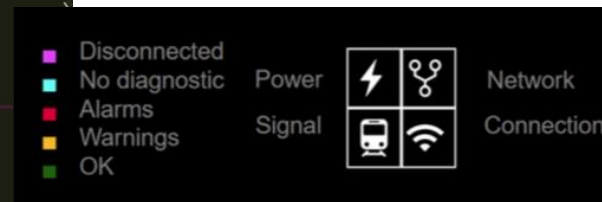
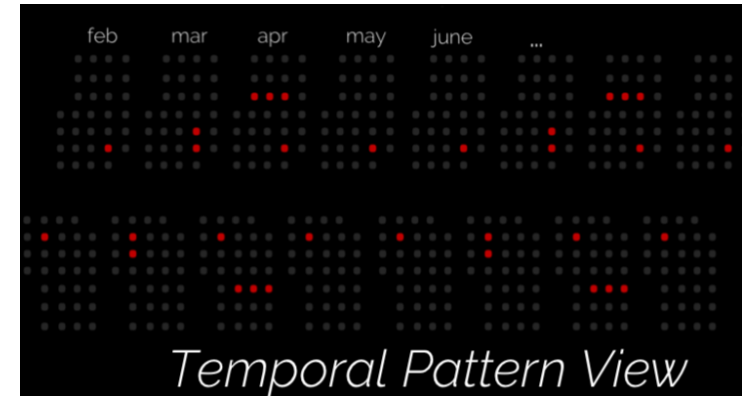
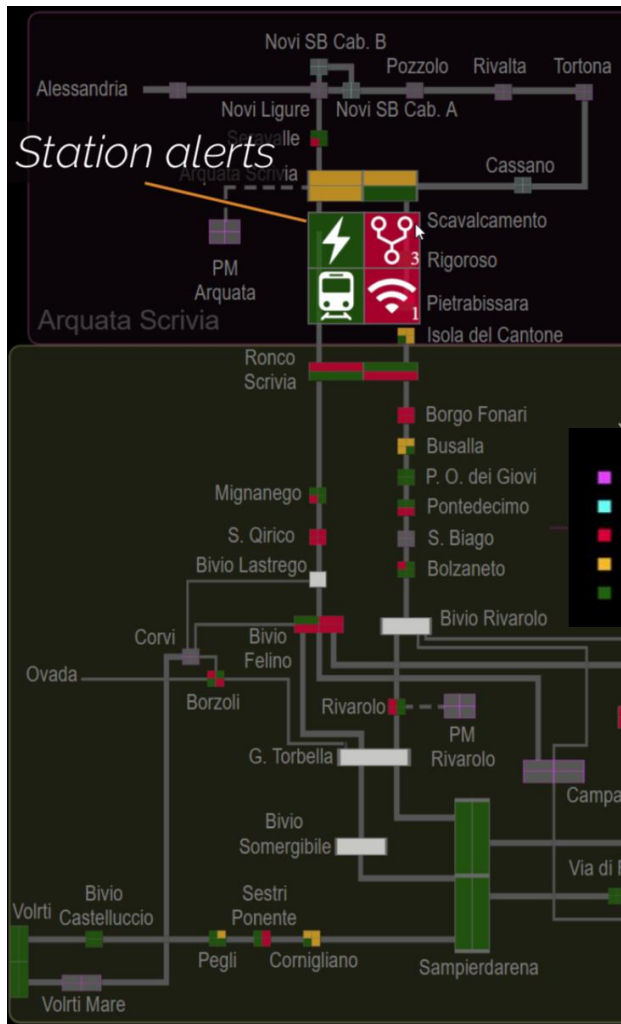
- Recreated the screens of the control room
- D3 / JavaScript
- Set up a password protected website for sharing with partners

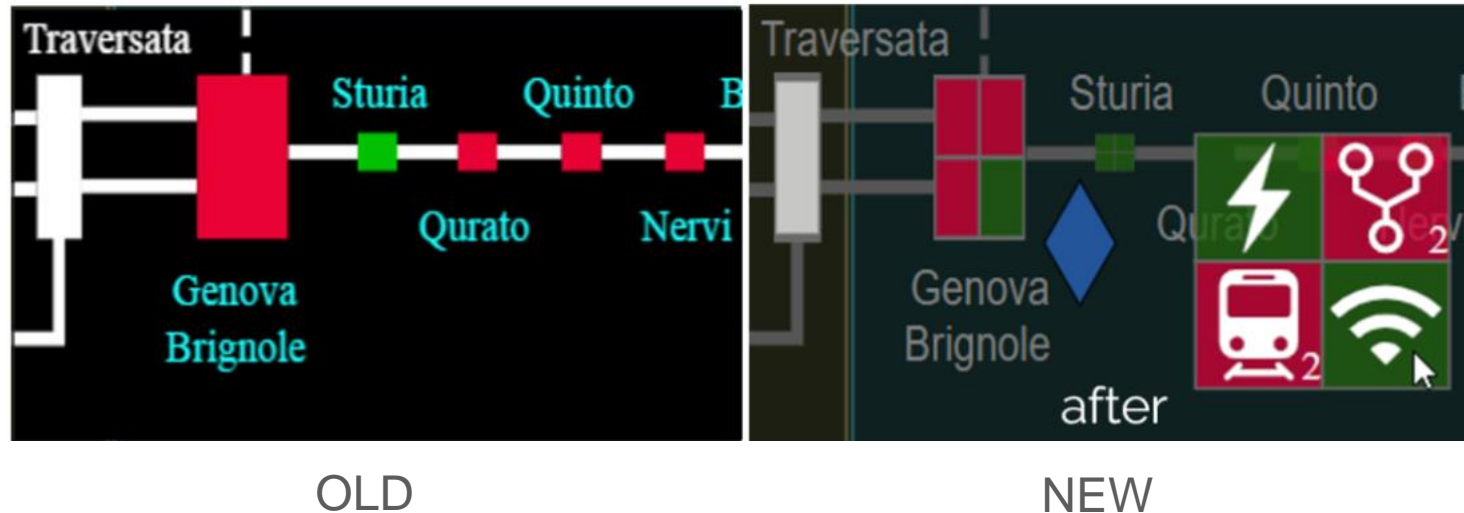




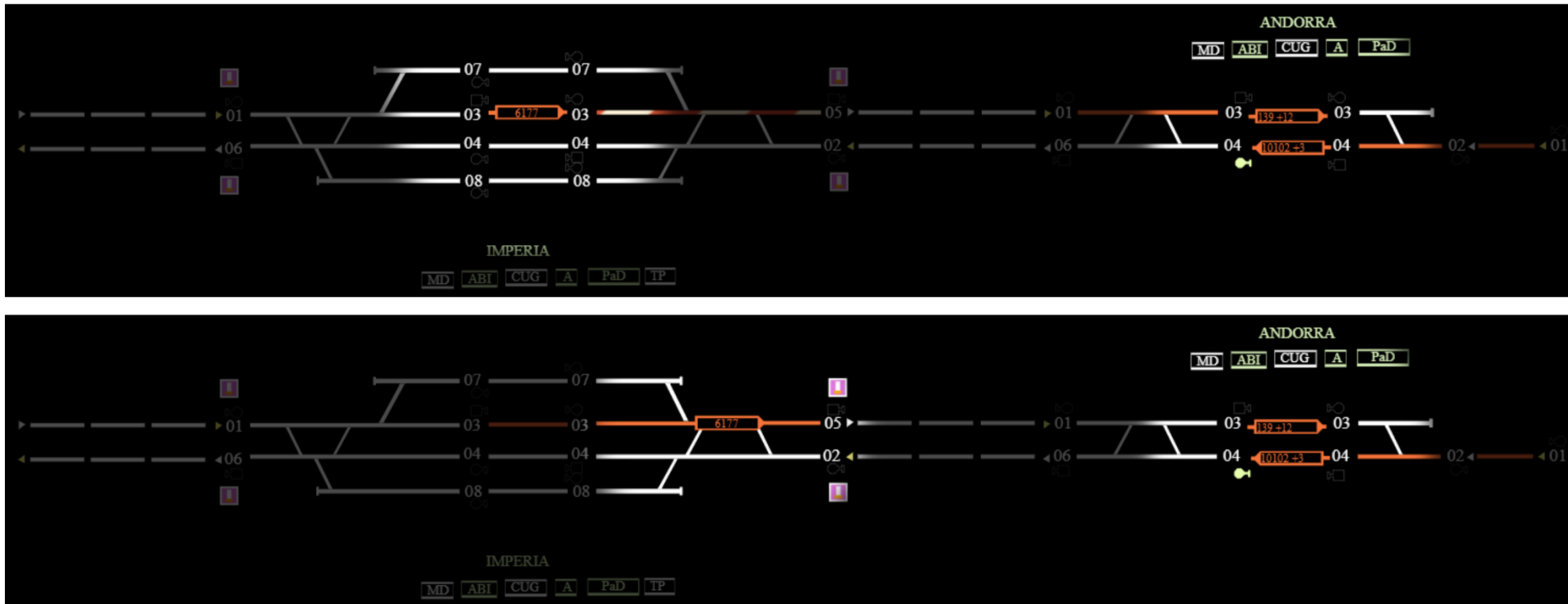
Improved schedule view with interactive platform visualization  
and adapted layout

# IN2DREAMS Objective 2 - Alert Management and Prioritization System





Added contextual icons and semantic alert view. The numbers relate to the amount of alerts present at this station, the color to their severity.



Reduction of non-important symbols

«Glowing effect» to keep the operator focused while maintaining the overview of the system as well as keeping the spatial referencing



## Visualization For Train Management: Improving Overviews in Safety-critical Control Room Environments

Eren Cakmak

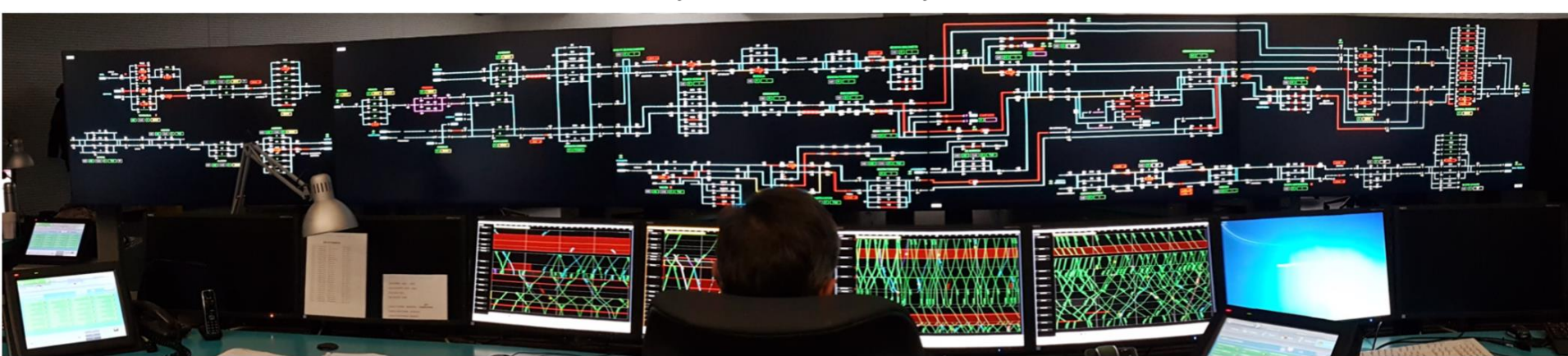
Giuliano Castiglia

Wolfgang Jentner

Juri Buchmüller

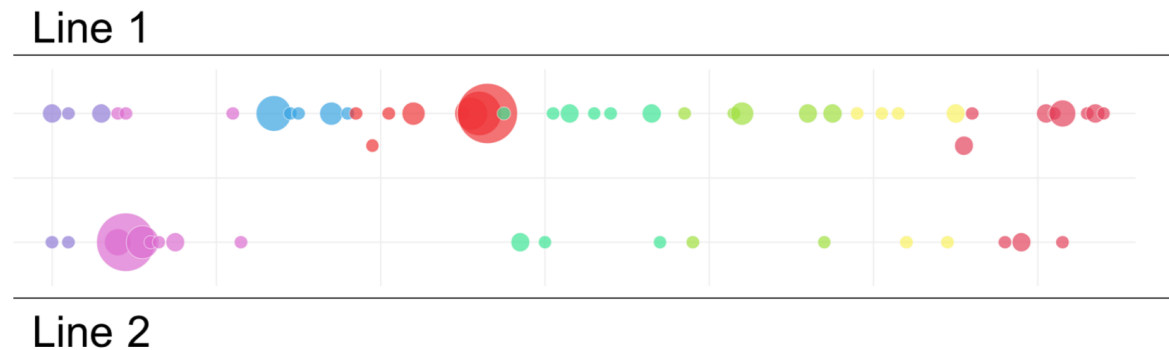
Daniel A. Keim

University of Konstanz, Germany\*

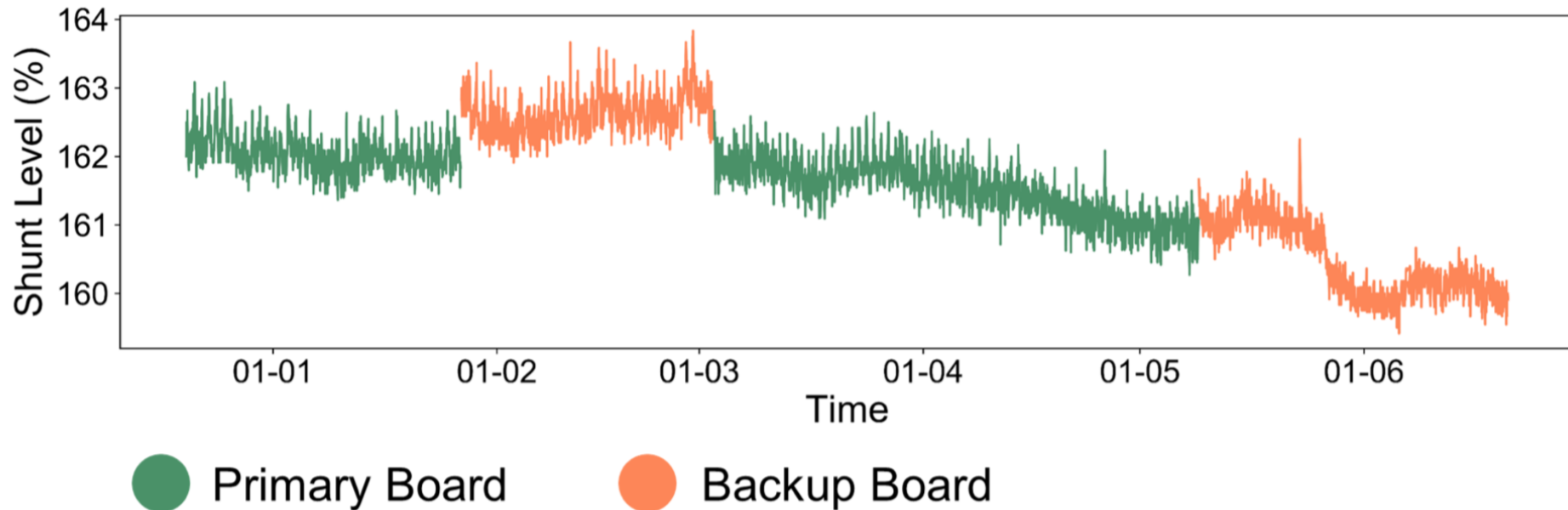


4th International Symposium on Big Data Visual and Immersive Analytics

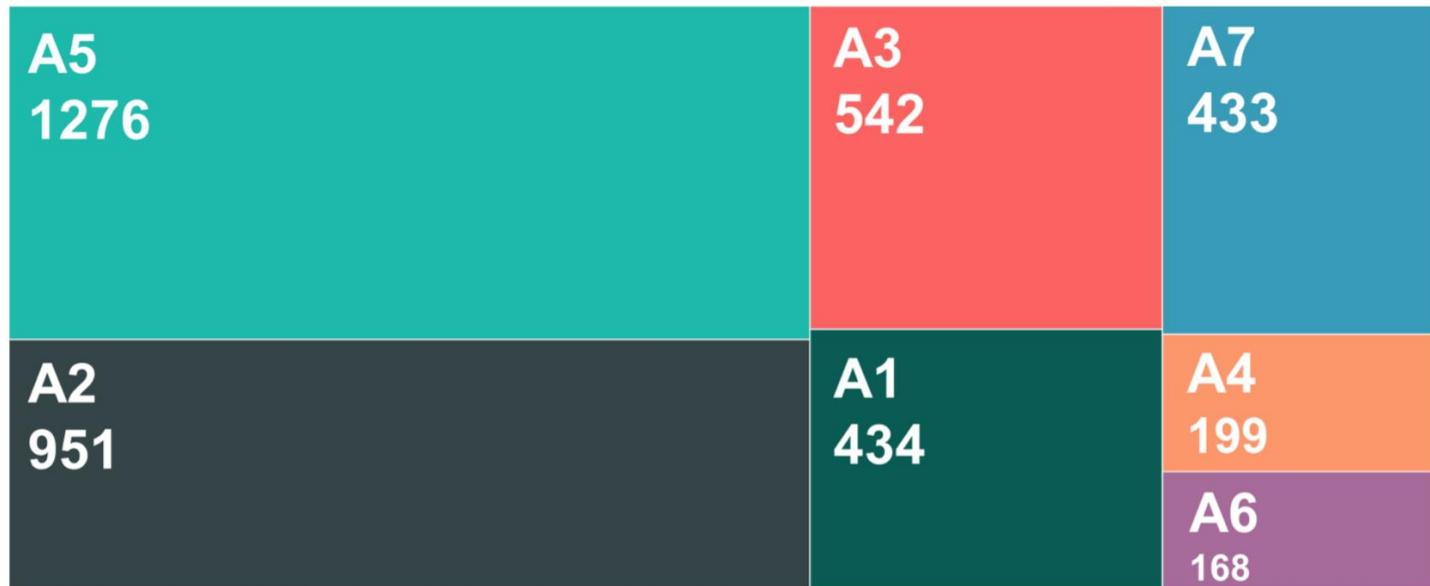




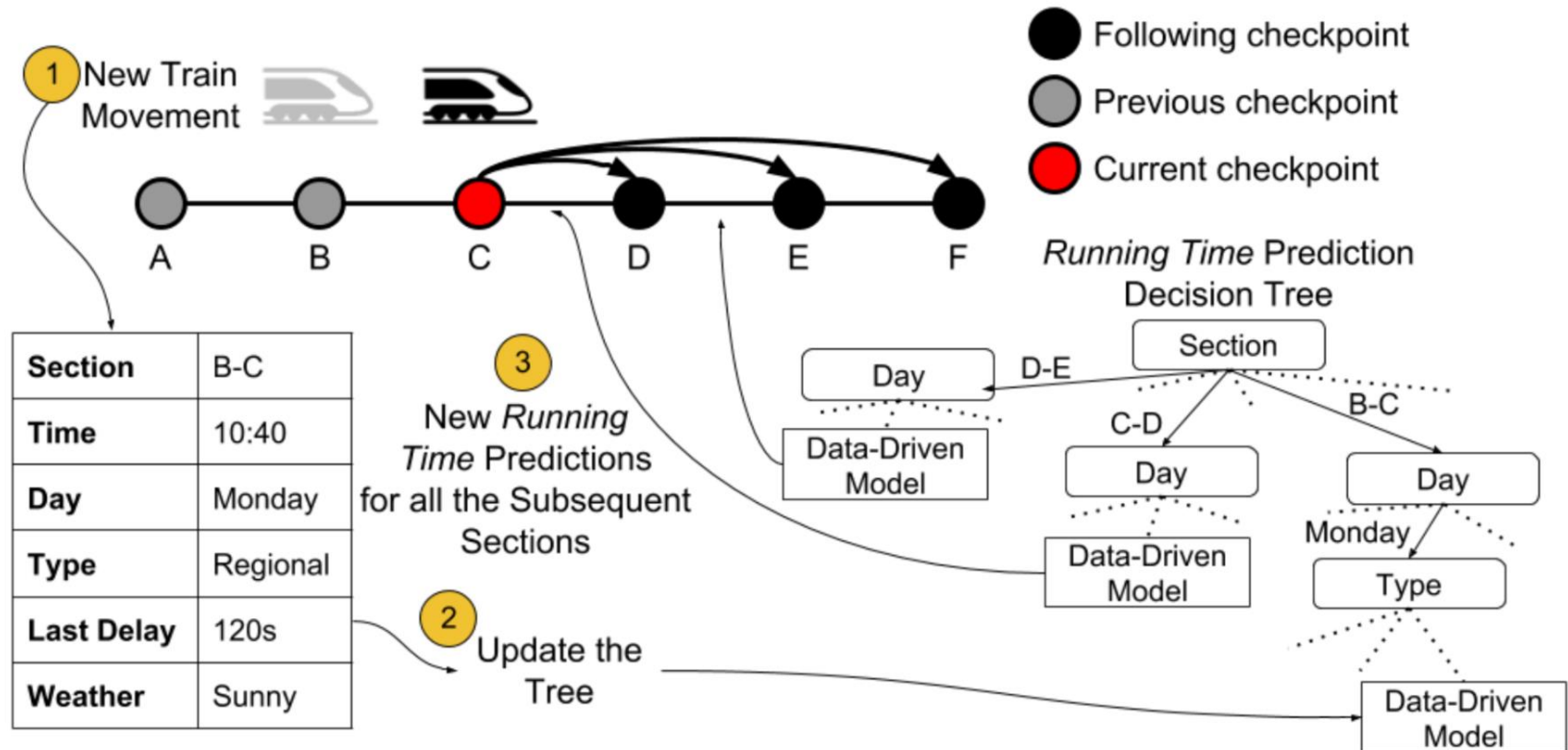
Bubble plot of alarms occurrences along the railway lines. Three different kinds of information are represented: geographical (bubble distribution) quantitative (bubbles dimension) and categorical (the color represents the zone to which an asset belongs).



Example of a possible representation of the Shunt Level trend over time after the data processing step: color informaton is added to identfy which board is active in a specific moment



- Primary Board Failure
- False Occupancy
- Speed Block Applied (1)
- Communication Failure
- Backup Board Failure
- Train ID Lost
- Speed Block Applied (2)

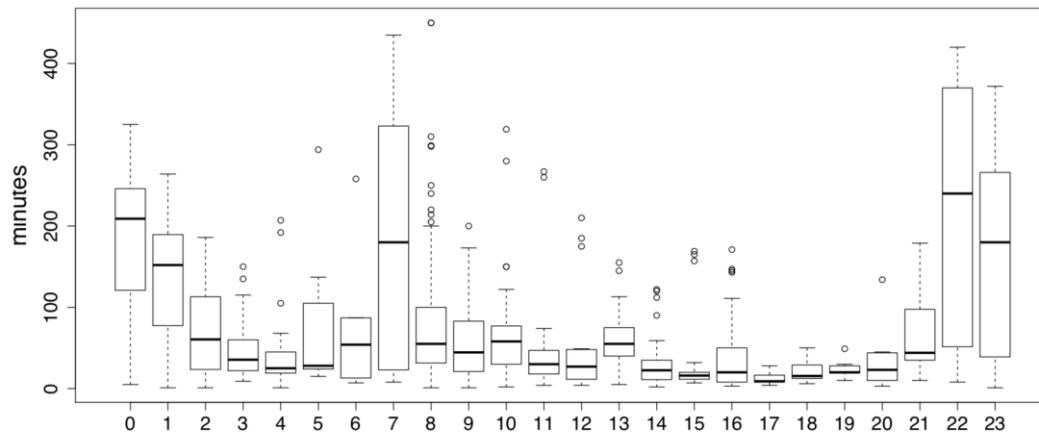


## Scenario 3: Restoration time

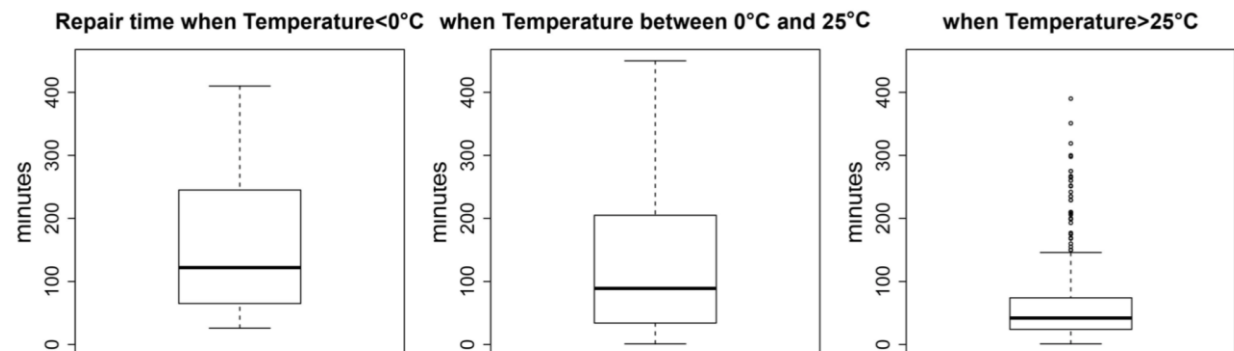


Strukton: maintenance works from 01-01-2010 to 31-12-2015 (17243 observations, 47 variables)

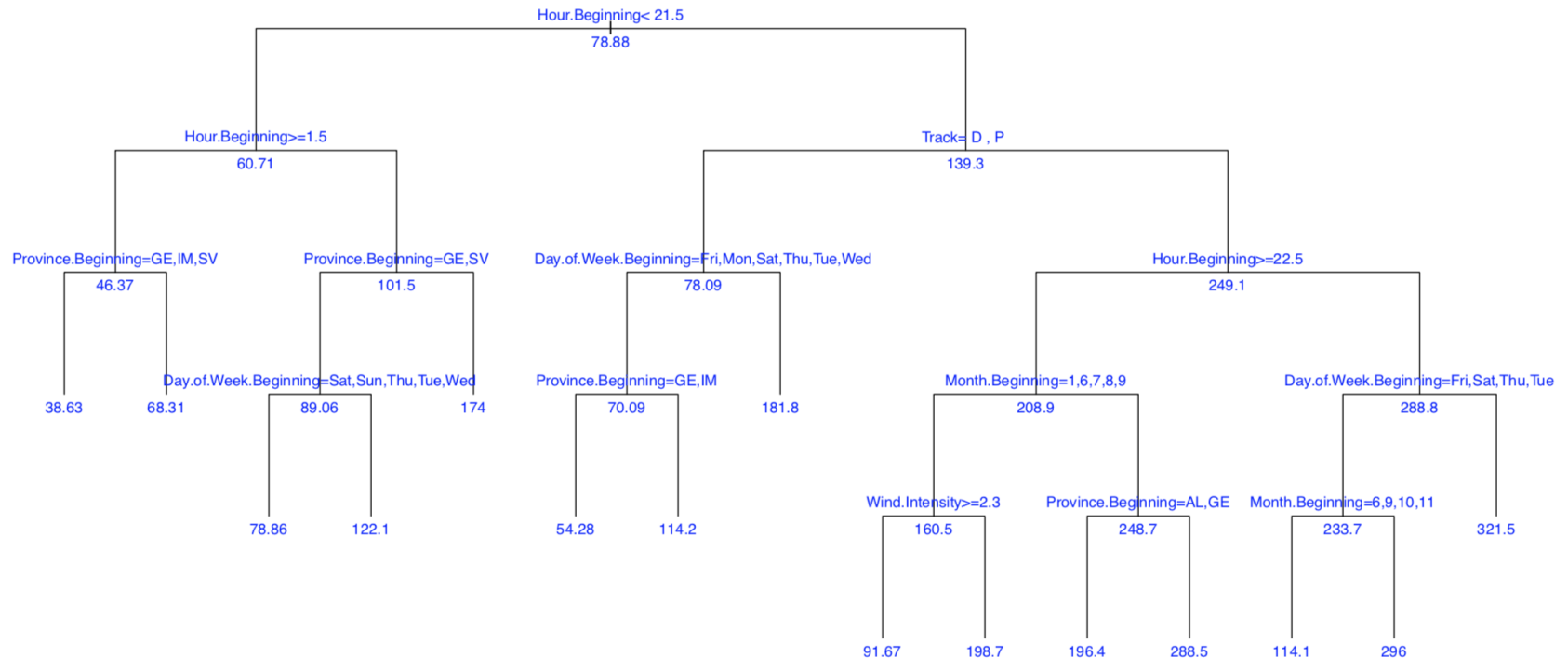
RFI: maintenance works from 01-06-2017 to 31-01-2018 (4945 samples and 13 variables)



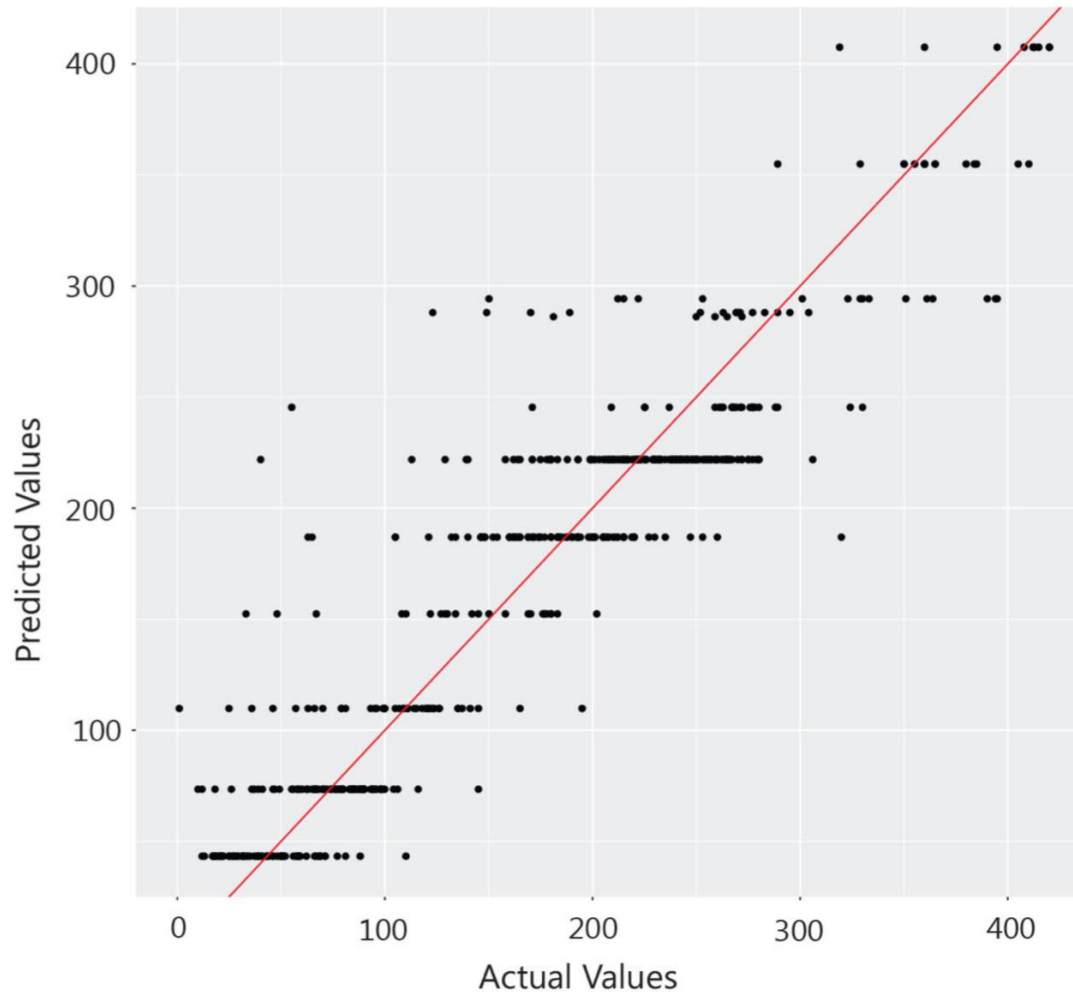
Repair Time as a function of «Hour of the day»



Pruned Regression Tree for Repair Time

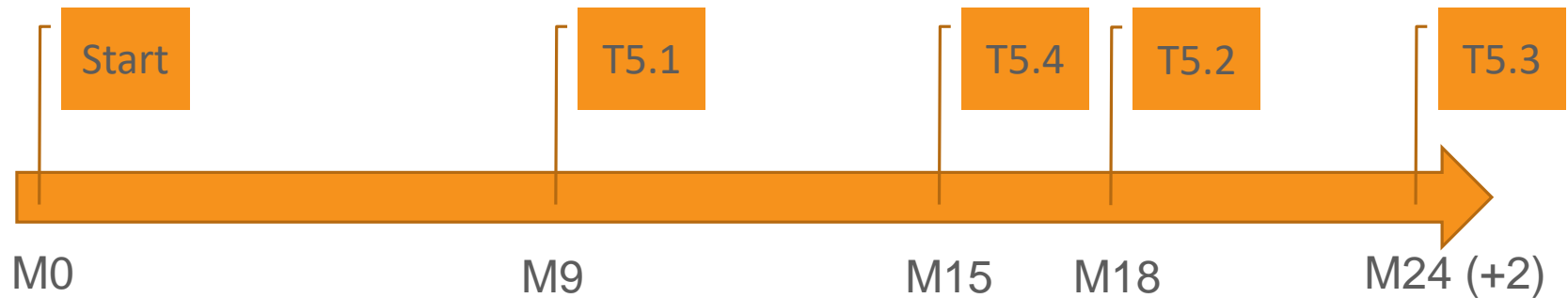


Learning a Decision Tree  
 User has access to the model «reasoning»  
 (how the model is taking decisions?)





- L. Oneto, I. Buselli, A. Lulli, R. Canepa, S. Petralli, and D. Anguita. *A dynamic, interpretable, and robust hybrid data analytics system for train movements in large-scale railway networks*. International Journal of Data Science and Analytics, 2019.
- R. Spigolon, L. Oneto, D. Anastasovski, N. Fabrizio, M. Swiatek, R. Canepa, and D. Anguita. *Improving railway maintenance actions with big data and distributed ledger technologies*. In *INNS Big Data and Deep Learning (INNSBDDL)*, 2019
- L. Oneto, I. Buselli, P. Sanetti, R. Canepa, S. Petralli, and D. Anguita. *Restoration time prediction in large scale railway networks: Big data and interpretability*. In *INNS Big Data and Deep Learning (INNSBDDL)*, 2019
- L. Oneto, I. Buselli, A. Luli, R. Canepa, S. Petralli, and D. Anguita. *Train overtaking prediction in railway networks: a big data perspective*. In *INNS Big Data and Deep Learning*, 2019
- U. Schlegel, W. Jentner, J. Buchmueller, E. Cakmak, G. Castiglia, R. Canepa, S. Petralli, L. Oneto, D. A. Keim, and D. Anguita. *Visual analytics for supporting conflict resolution in large railway networks*. In *INNS Big Data and Deep Learning*, 2019
- A. Lulli, L. Oneto, R. Canepa, S. Petralli, and D. Anguita. *Large-scale railway networks train movements: a dynamic, interpretable, and robust hybrid data analytics system*. In *IEEE International Conference on Data Science and Advanced Analytics (DSAA)*, 2018



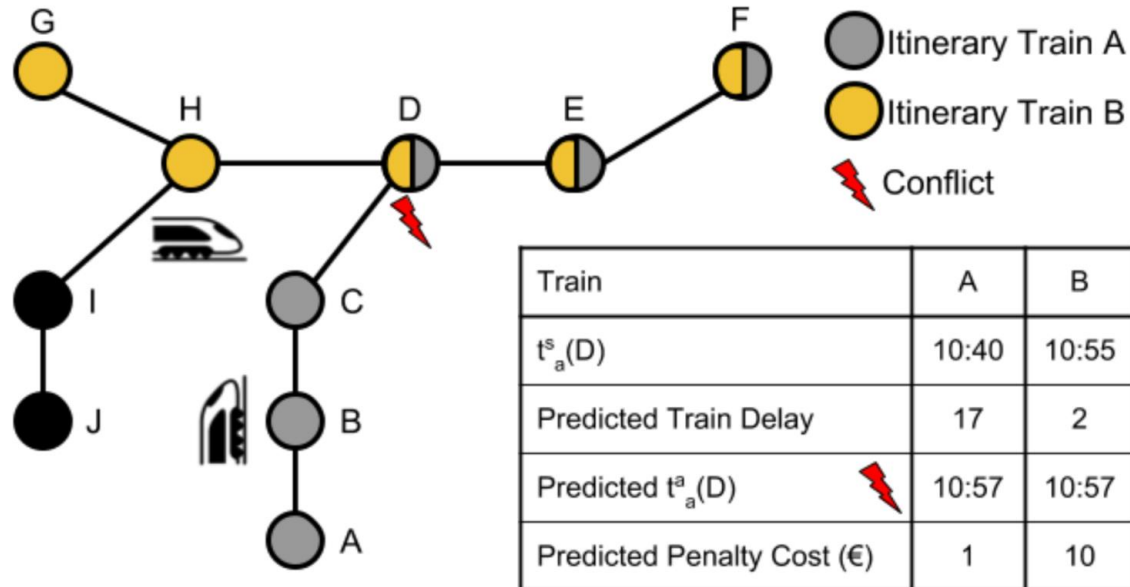
- T5.1 - Definition of data analytics scenarios
- T5.4 - Methods for rule-based and visual analytics knowledge extraction
- T5.2 - Metrics and tools for data analytics algorithms assessment
- T5.3 - Development and Testing of knowledge extraction solutions

- **Metrics and tools for data analytics algorithms assessment**
- **Objective:** assessing the risk associated with the usage of data-driven algorithms, considering also legal aspects
- **Results:** tools for assessment of predictive algorithms, identification of legal challenges

- Algorithmic transparency
- Algorithmic accountability
- Right to an explanation
- Human-in-the-loop

Impact on legal procedures

C.Ducuing, L.Oneto, R.Canepa, «Fairness and Accountability of Machine Learning Models in Railway Market: are Applicable Railway Laws up to Regulate Them?» European Symposium on Artificial Neural Networks and Machine Learning, 2019.

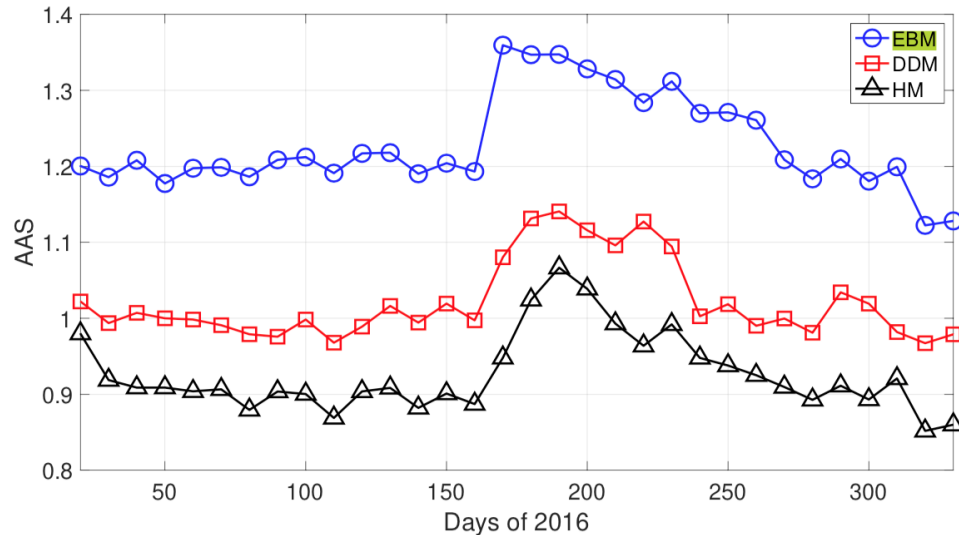


Impact on costs

### Train Delay vs. Penalty Costs

Penalty Cost

$$P = P_U \sum_{j \in \mathcal{I}} m_j r_j C_T C_N C_D$$



EBM = Empirical Based Mode  
DDM = Data Driven Model  
HM = Hybrid Model

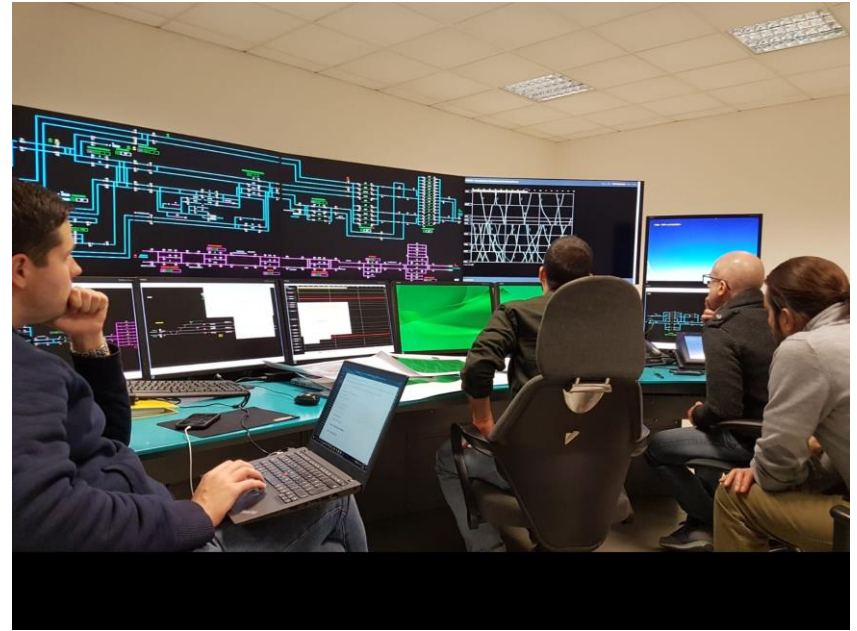
AAS = Average Accuracy  
delay prediction on all  
Sections

Up to 20% improvement

	<i>EBM</i>	<i>DDM</i>	<i>HM</i>
AAP Regional	4.15	3.01	<b>2.49</b>
AAP High Speed	0.21	0.15	<b>0.14</b>
AAP Freight	0.11	0.09	<b>0.08</b>
AAP	4.44	3.05	<b>2.71</b>

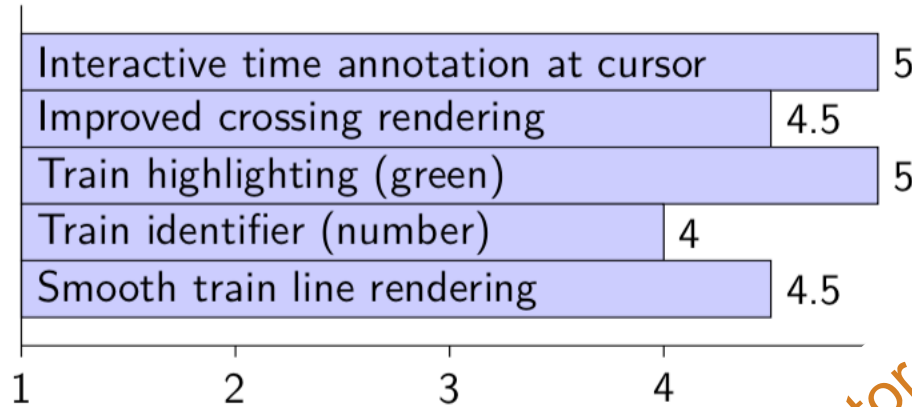
AAP = Average Accuracy of  
Penalty Costs predictions

Up to 50% improvement

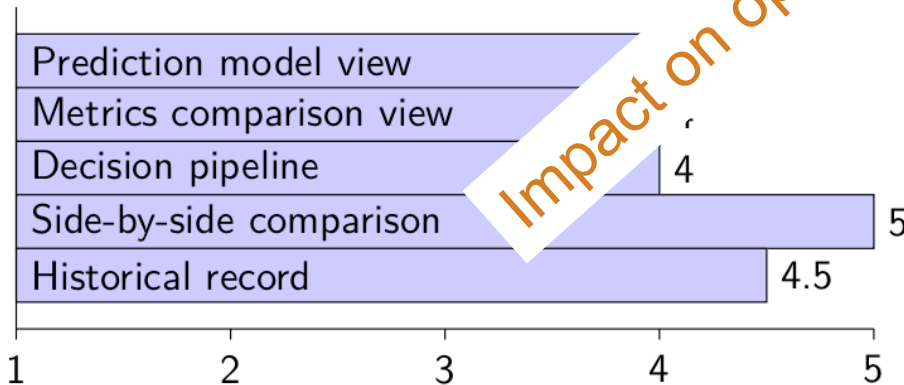
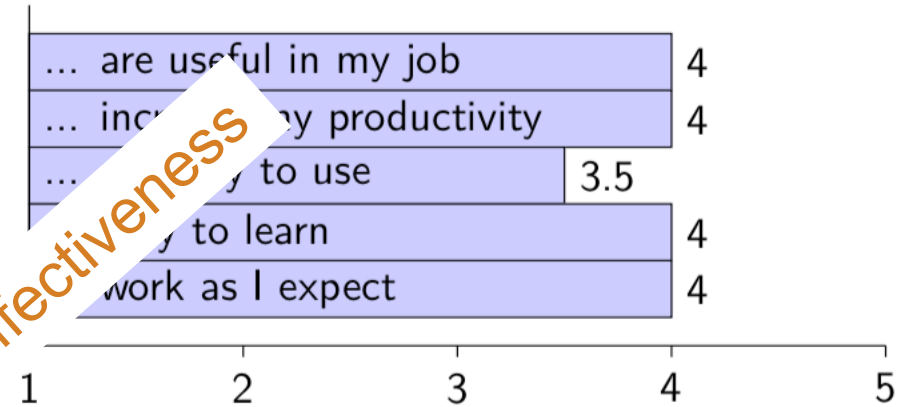


Approaches validated by RFI

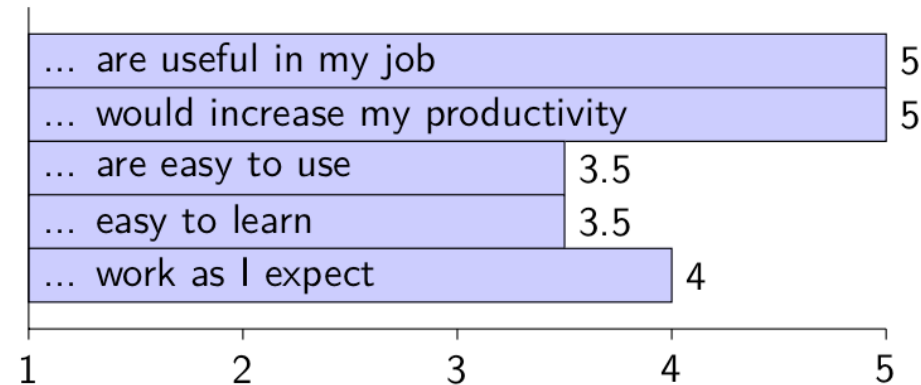
(RFI Control Center test, Genoa, Italy)



Revised Schedule View



Revised Conflict Resolution View



Impact on operator effectiveness

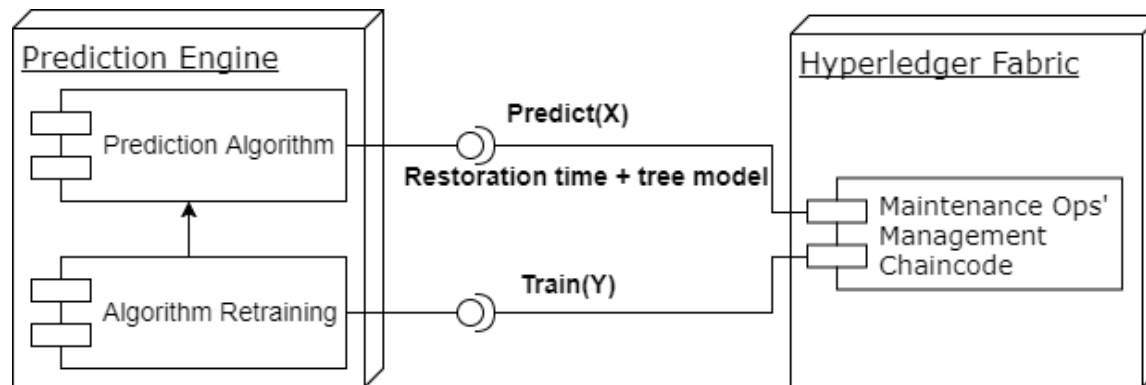


- **Development and Testing of knowledge extraction solutions**
- **Objective:** demonstrate the achievements of previous tasks
- **Result:** a POC combining the capabilities of a Distributed Ledger Technology implementation (from WP4) with a highly-transparent data-driven prediction algorithm for maintenance process operations

- Demonstrator for WS2 (both WP4 and WP5)
  - CEFRIEL -> handling maintenance with blockchain
  - UNIGE -> predicting restoration time due to maintenance
  - UKON -> visualisation in the control center (visualisation for maintainers and train dispatchers)
  - RFI -> test room (Control Center)

From WP5

From WP4



Architecture

*Thank you!*